

# VIROLOGY

## Engineering Viral Genomes: **Retrovirus Vectors**

# Family *Retroviridae*

## *Genus*

## *Examples*

## *Features*

**Alpharetrovirus**

Rous sarcoma virus,  
Avian leukosis virus

oncogene present in  
many members

**Betaretrovirus**

Mouse mammary tumor virus

mammary carcinomas

**Gammaretrovirus**

Moloney murine leukemia  
virus, Feline leukemia virus

oncogene present in  
many members

**Deltaretrovirus**

Human T-cell lymphotropic  
viruses (1, 2 and 5), bovine  
leukemia virus

T- and B-cell lymphomas

**Epsilonretrovirus**

Walleye dermal sarcoma virus

sarcomas

**Lentivirus**

Human immunodeficiency  
virus (HIV-1, HIV-2), simian  
immunodeficiency virus (SIV)

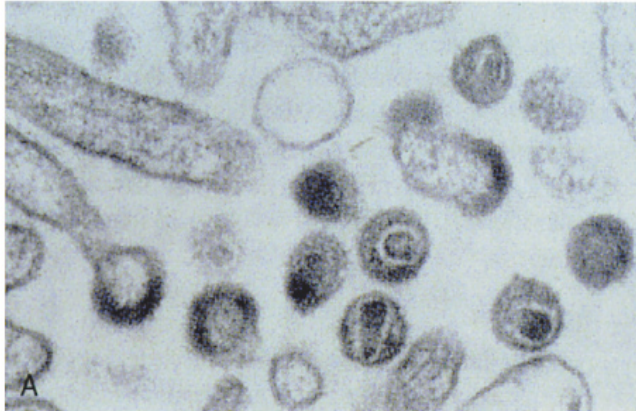
AIDS

**Spumavirus**

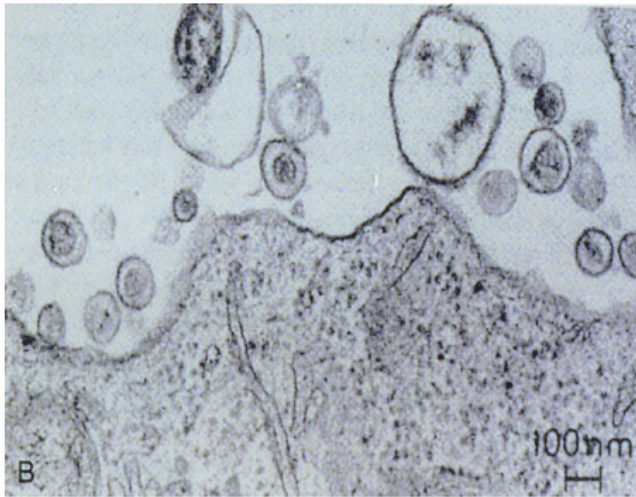
simian foamy virus, human  
foamy virus

benign

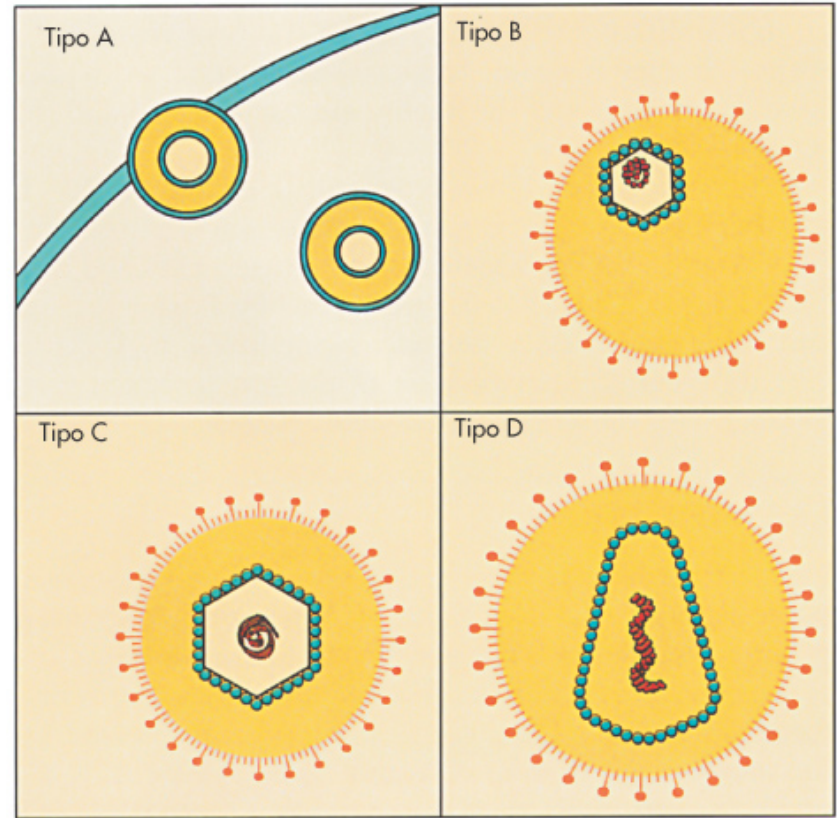
# Morphology of Retroviruses



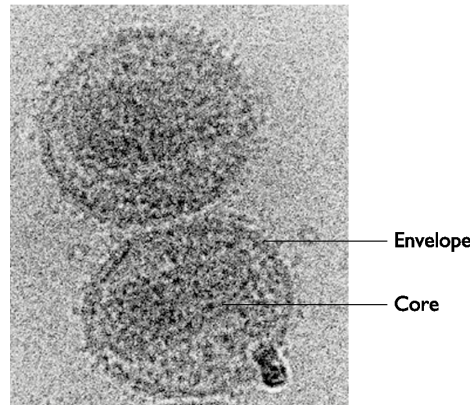
HIV-1



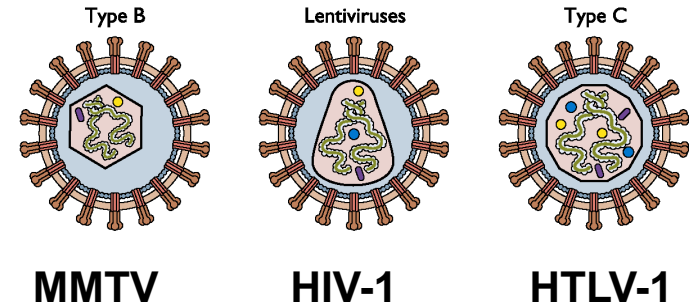
HTLV-1



A



B



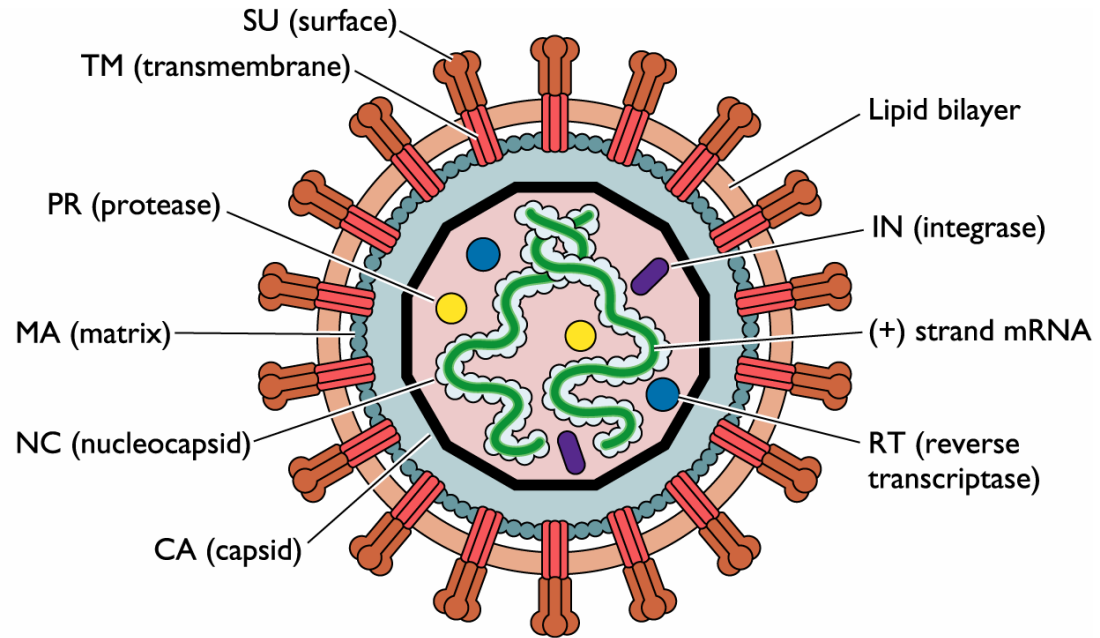
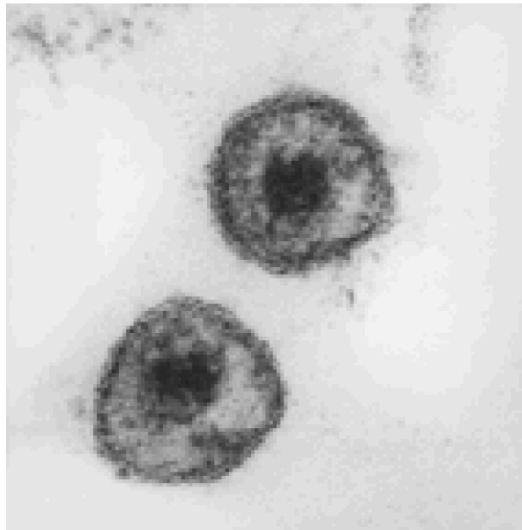
MMTV

HIV-1

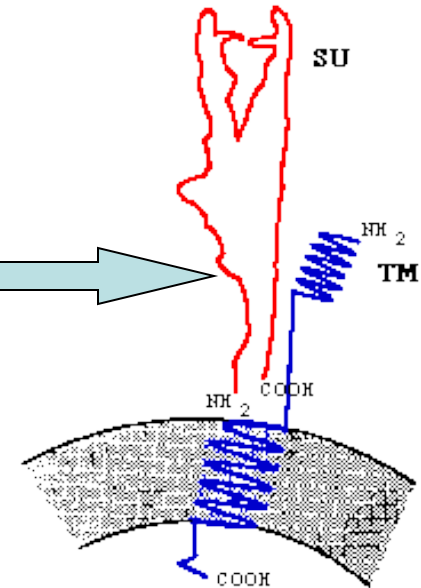
HTLV-1

# Structure of a Retrovirus

A

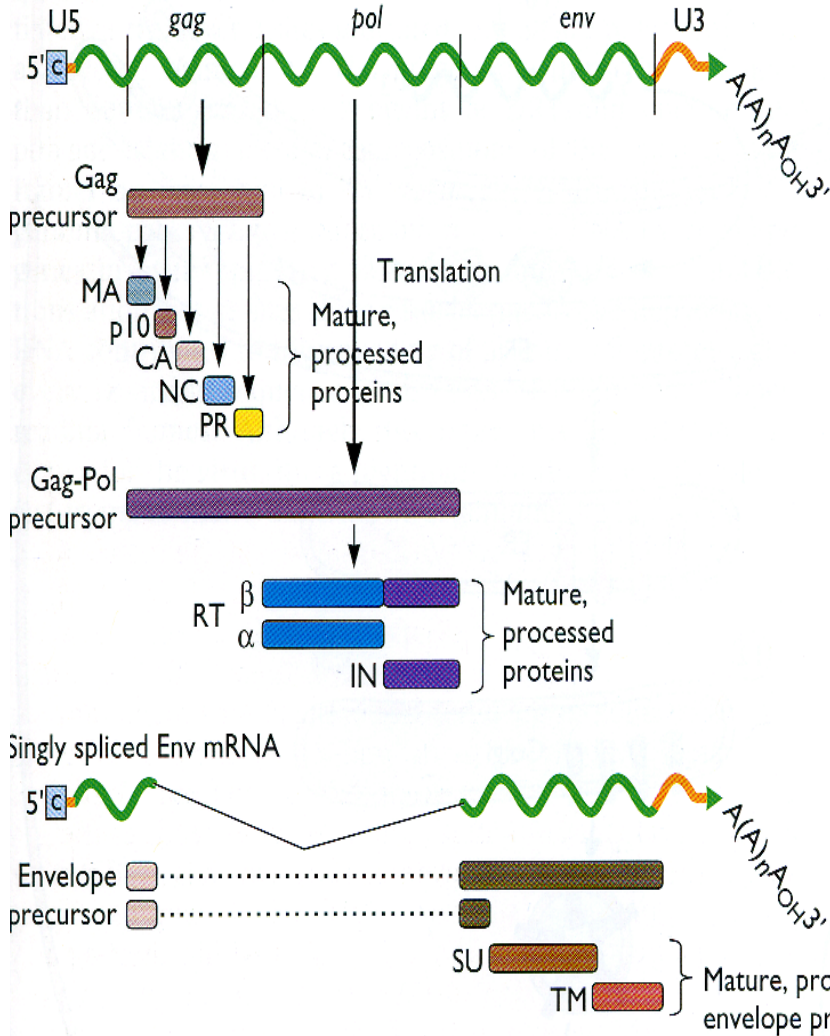


Lollipop-like spikes



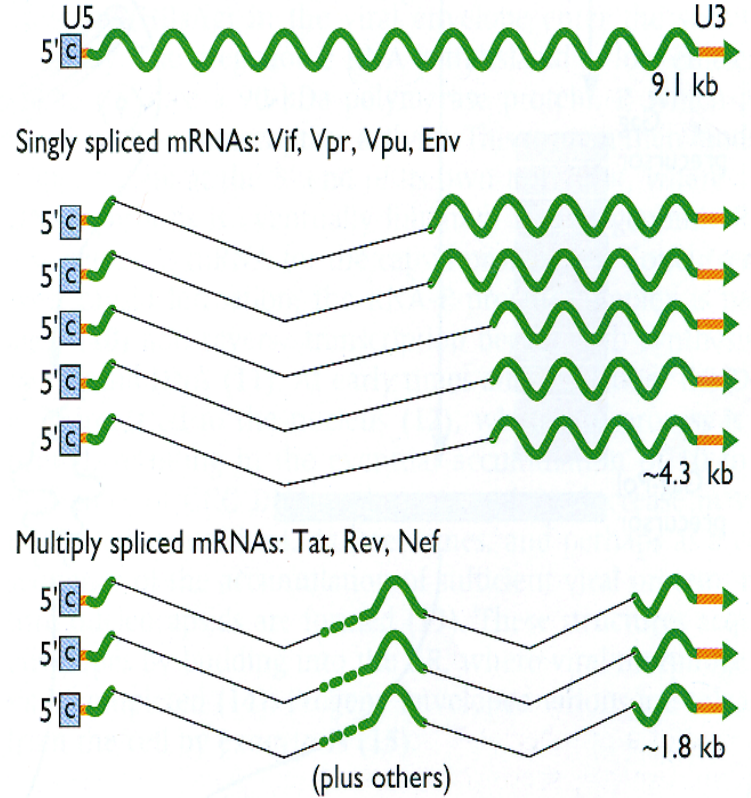
## Genome expression

Genomic RNA, Gag-Pol mRNA, pre-mRNA

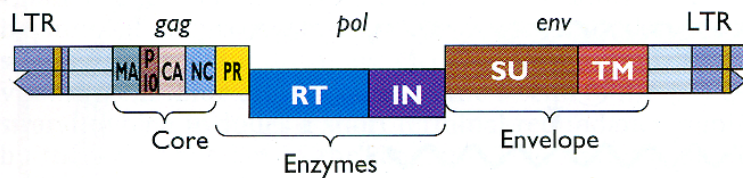


## Genome expression

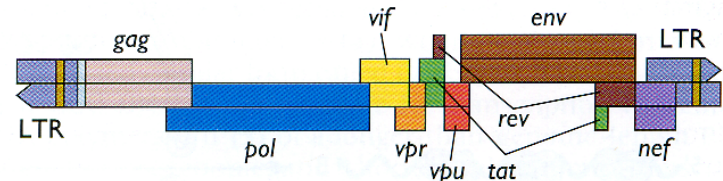
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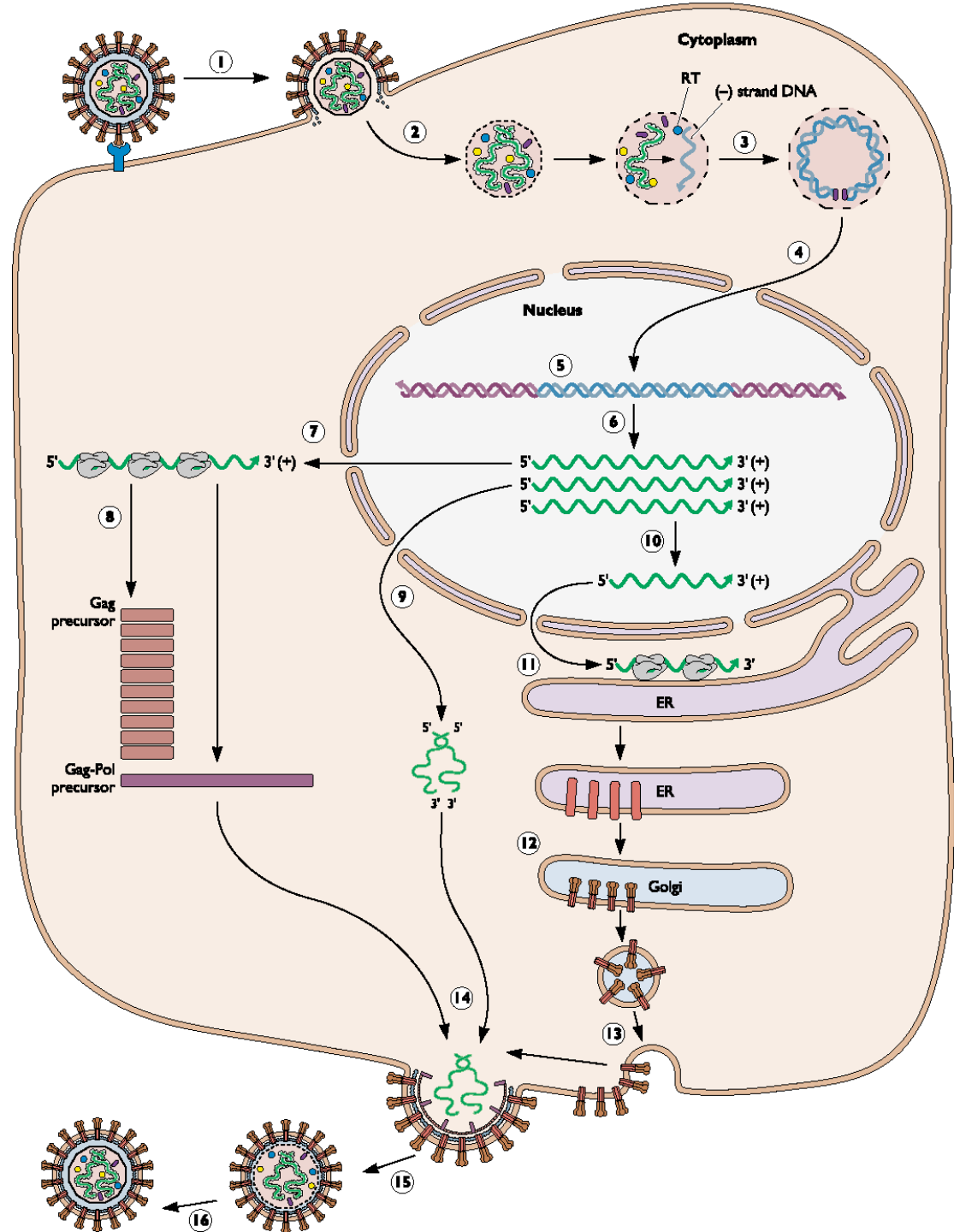


## B Simple retrovirus (ALV)

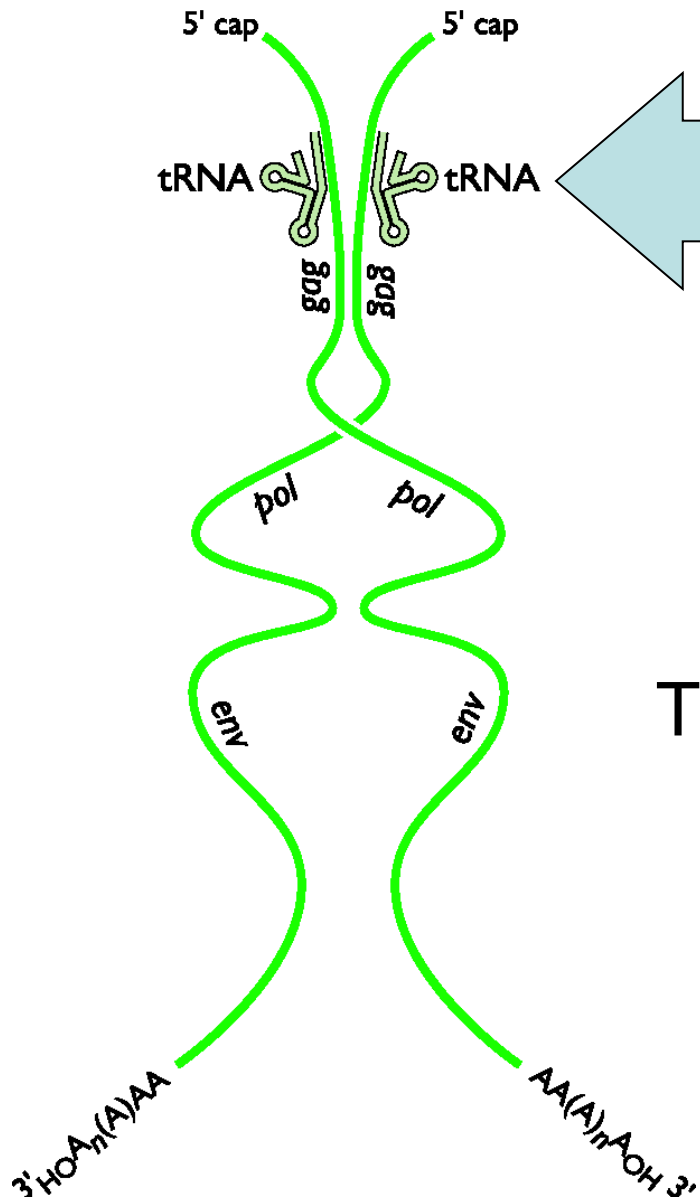


## Complex retrovirus (HIV-1)





Retrovirus  
replicative cycle

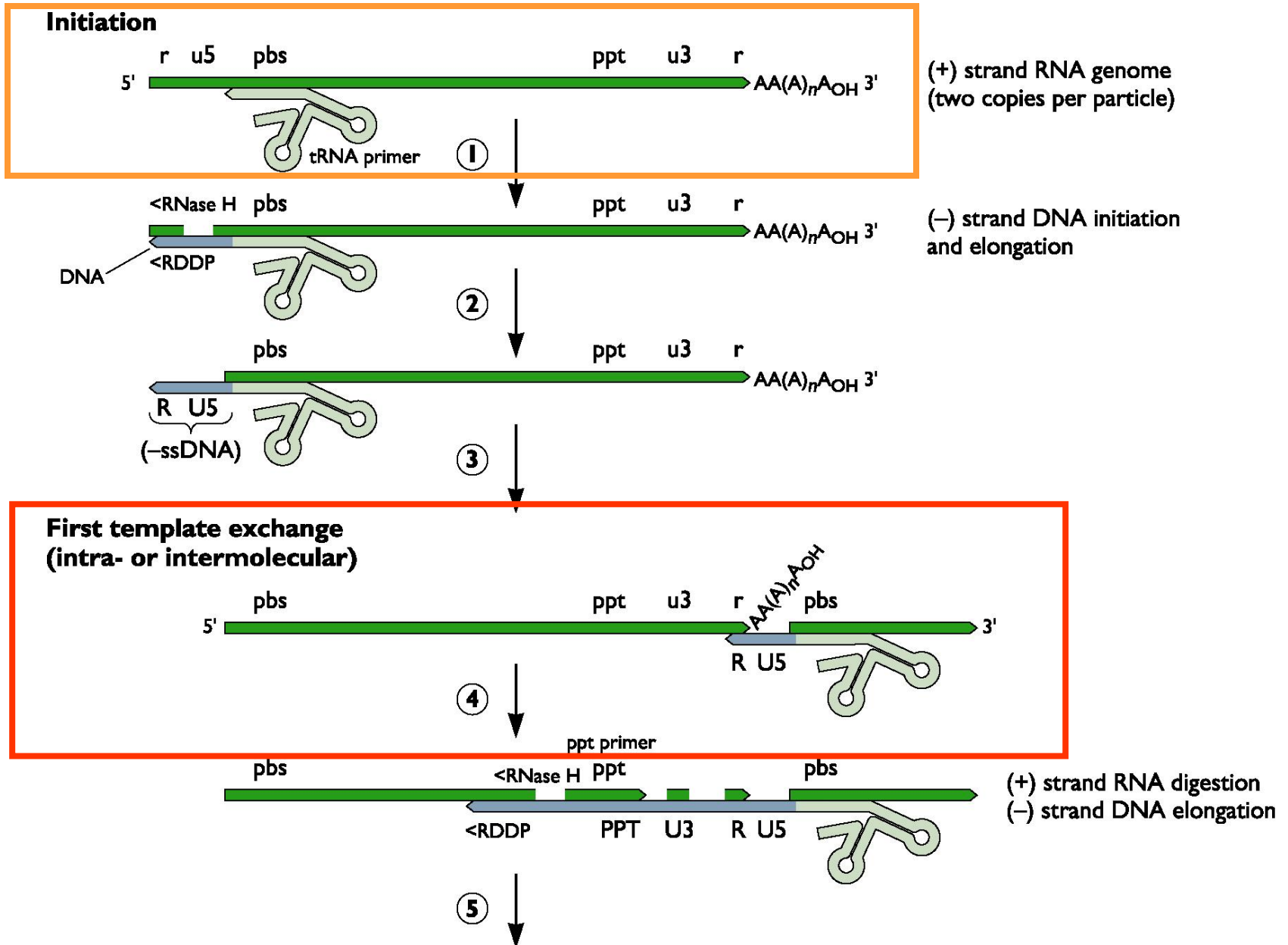


Most mammalian retroviruses use tRNA<sup>PRO</sup>, tRNA<sup>Lys3</sup>, tRNA<sup>Lys1,2</sup>

The partially unfolded tRNA is annealed via at least 18 nt at its 3' end to a site on RNA genome called the **primer-binding site (pbs)**

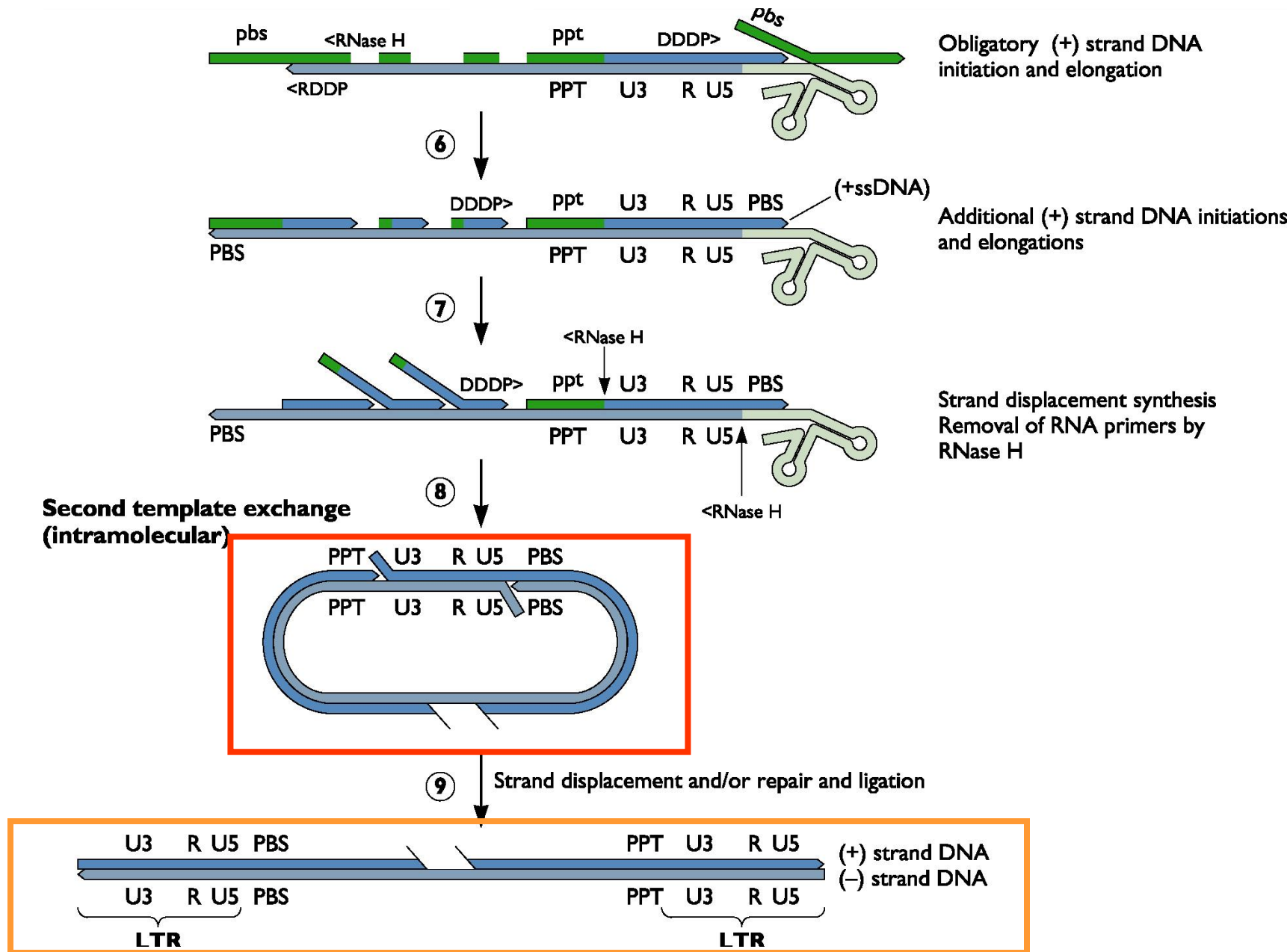
## The diploid retroviral genome

# The reverse transcription process -1





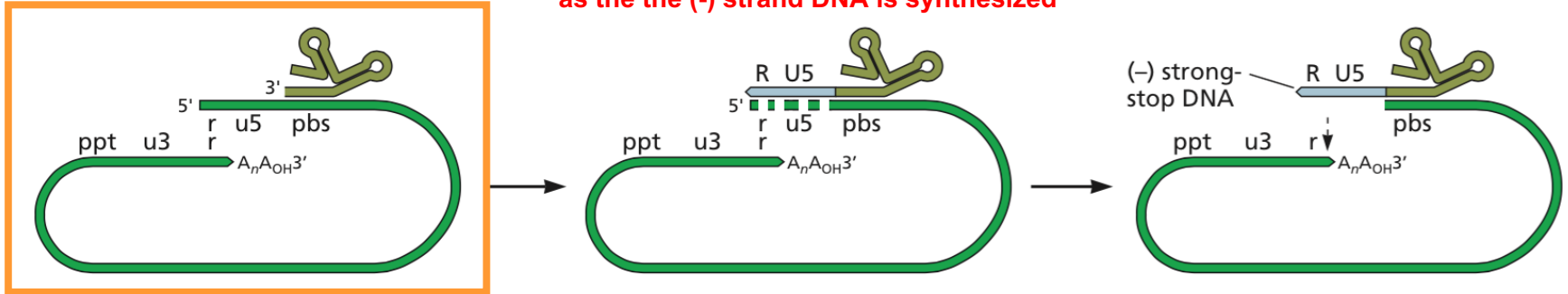
# The reverse transcription process -2



### Initiation of (-) strand DNA synthesis

The 5' end of the viral RNA genome is degraded by the RNase H activity of RT as the (-) strand DNA is synthesized

1

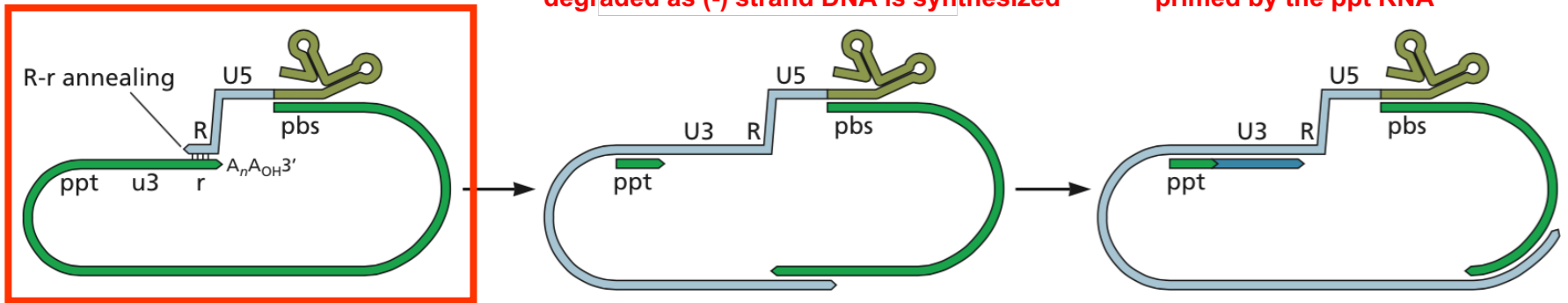


### First template exchange

The RNA genome continues to be degraded as (-) strand DNA is synthesized

(+) strand DNA synthesis begins primed by the ppt RNA

2



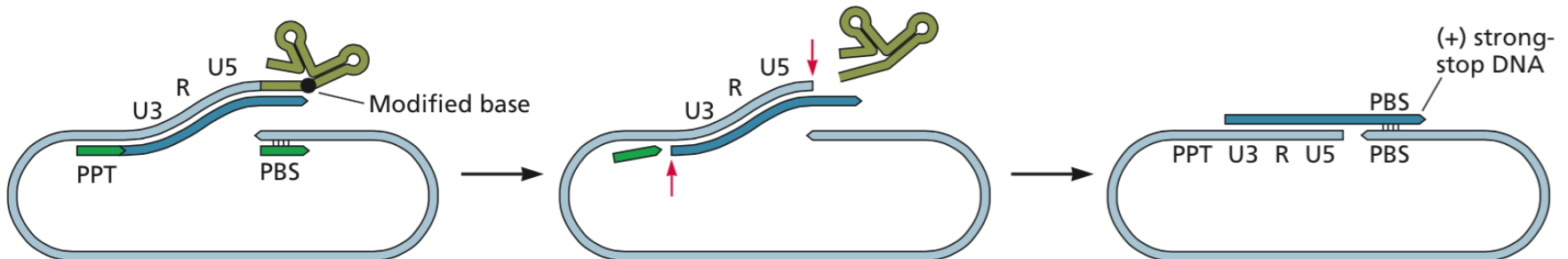
### (+) strand DNA synthesis

The PBS sequence is copied twice: from the RNA genome and from the tRNA primer (+)

RNase H activity of RT removes both primer RNAs

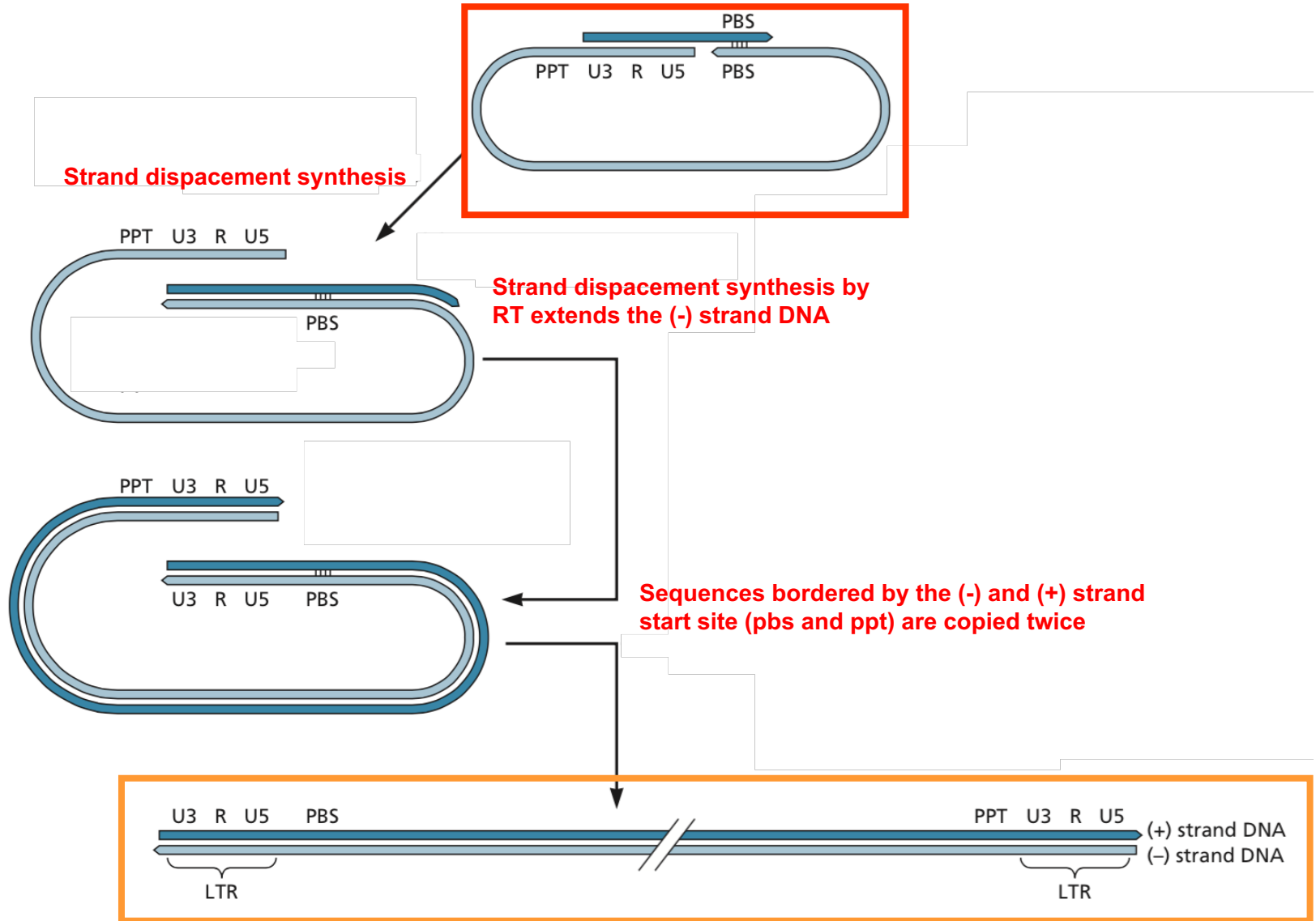
DNA ends are juxtaposed by annealing at complementary PBS sequences

3

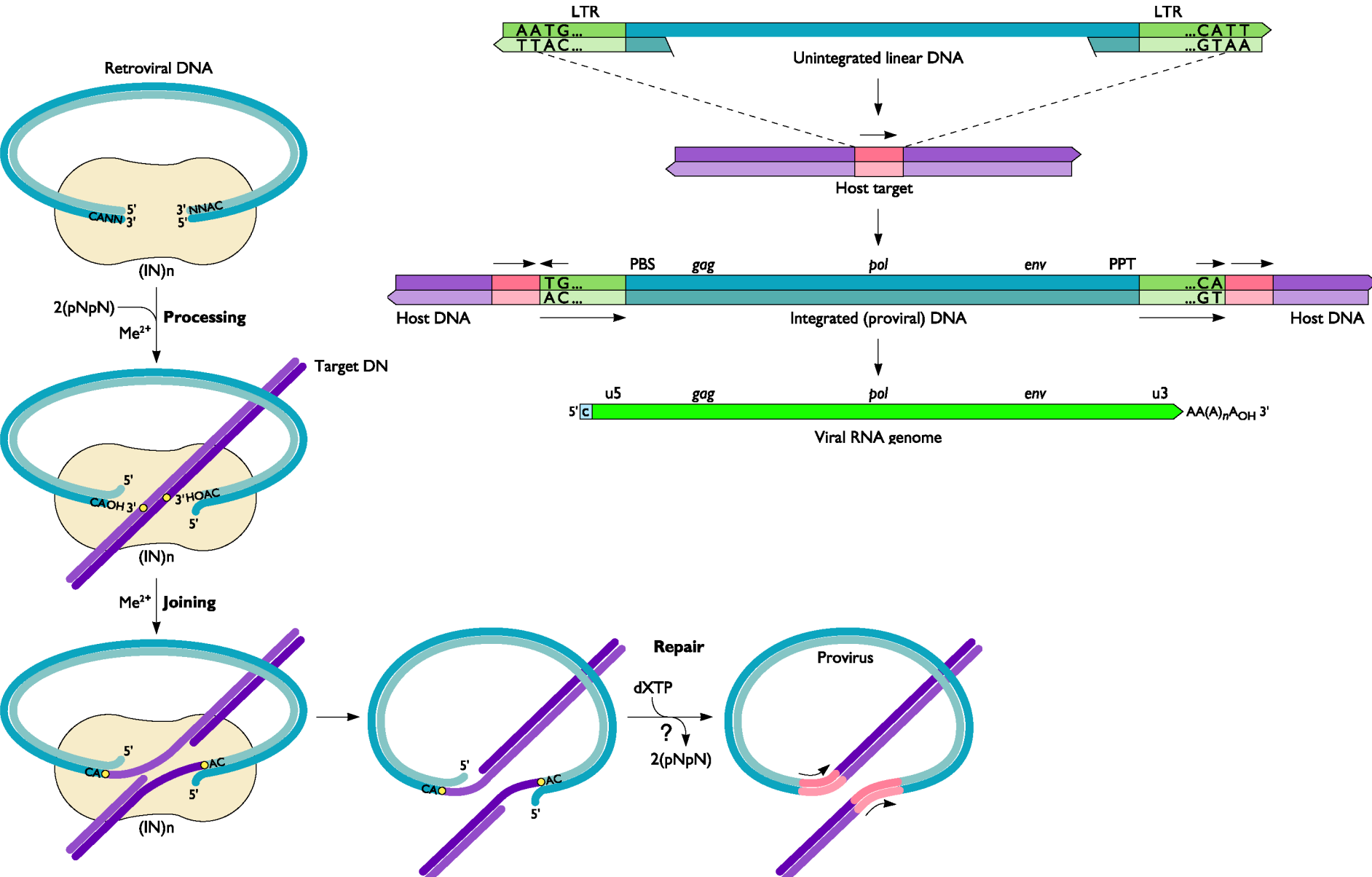


Second template exchange is facilitated by annealing of PBS sequences

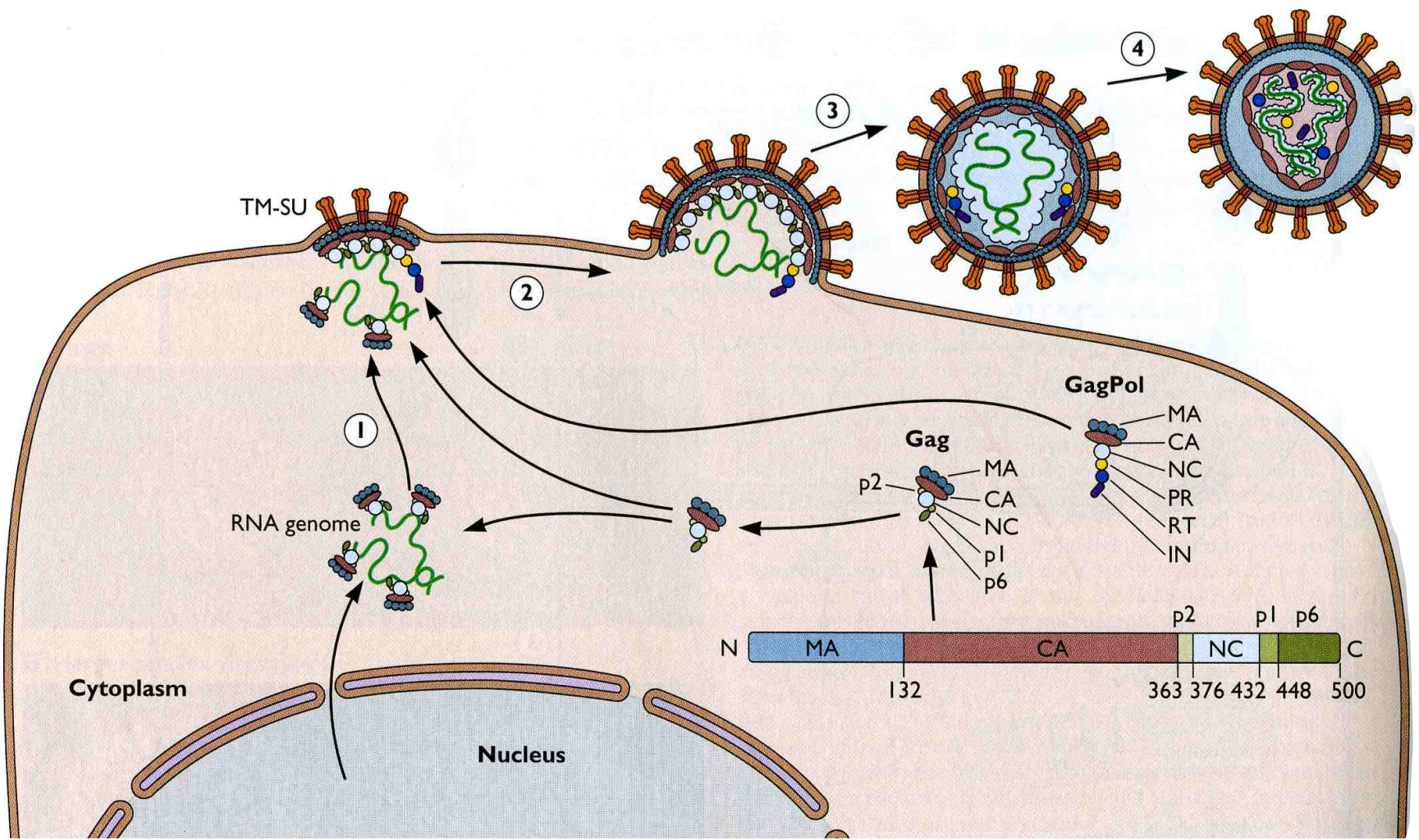
4



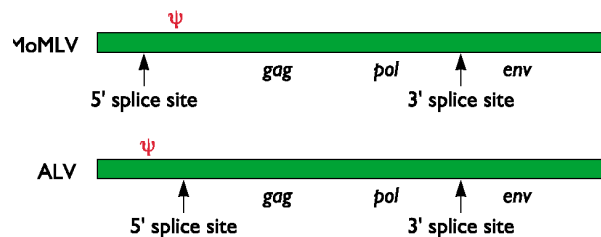
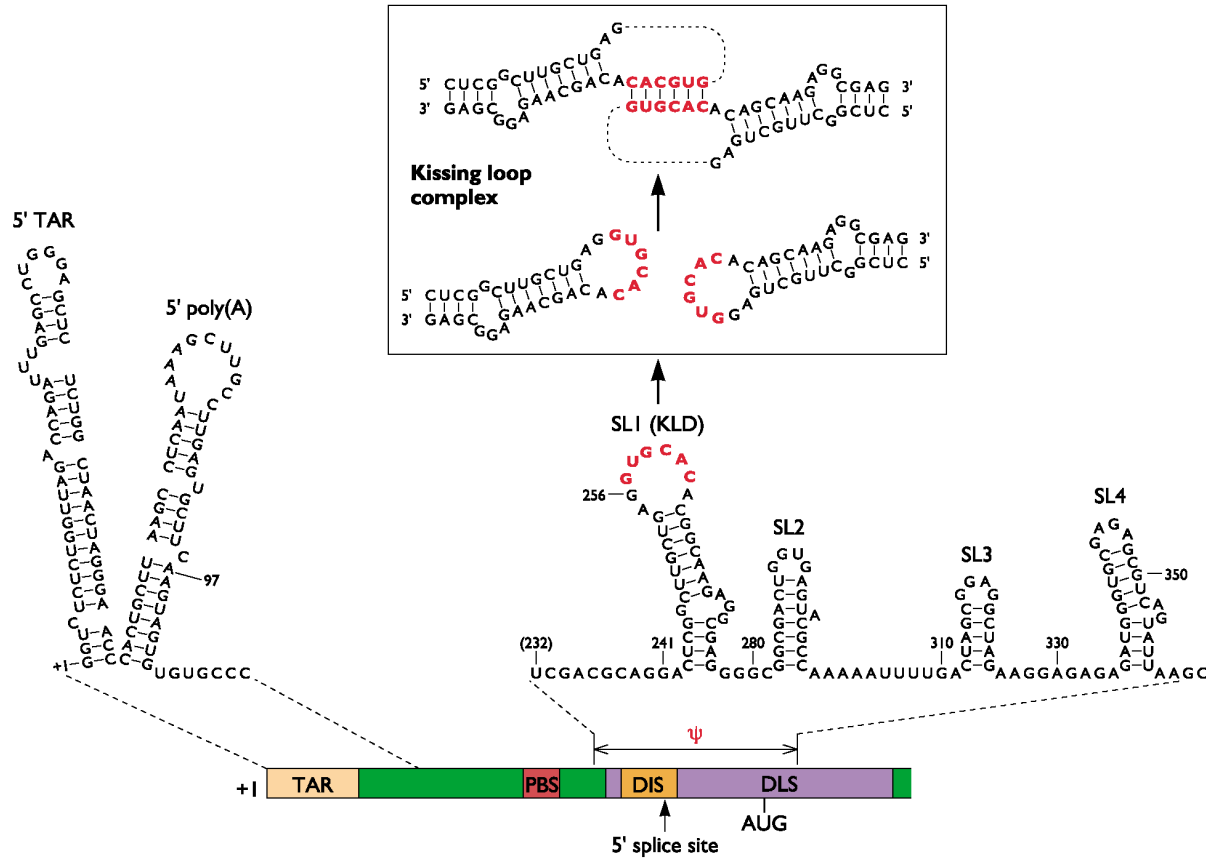
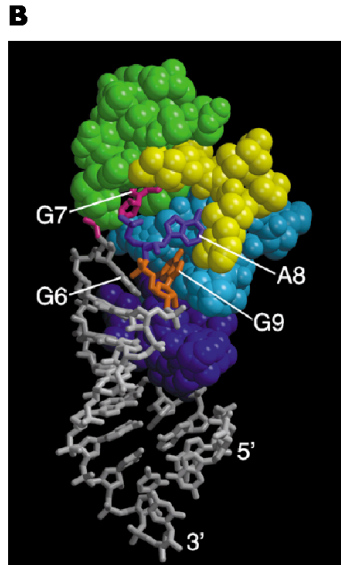
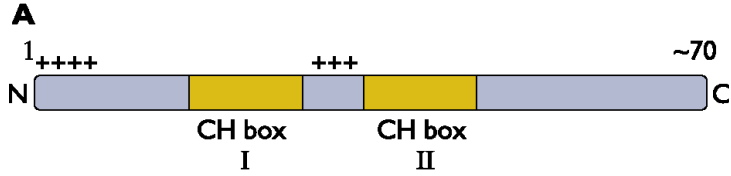
# Characteristics of retroviral integration



# Assembly of a retrovirus from polyprotein precursors



# Sequences important in packaging of retroviral genomes



# Viral vectors

Virus	Insert size	Integration	Duration of expression	Advantages	Disadvantages
Adeno-associated virus	~4.5–9 (?) kb	Low efficiency	Long	Nonpathogenic, episomal, infects nondividing cells	Immunogenic, toxicity
Adenovirus	2–38 kb	No	Short	Efficient gene delivery	Transient, immunogenic
Alphavirus	~5 kb	No	Short	Broad host range, high-level expression	Virulence
Herpes simplex virus	~30 kb	No	Long in central nervous system, short elsewhere	Neurotropic, large capacity	Virulence, persistence in neurons
Influenza virus	Unknown	No	Short	Strong immune response	Virulence
Lentivirus	7–18 kb	Yes	Long	Stable integration; infects nondividing and terminally differentiated mammalian cells	Insertional mutagenesis
Poliovirus	~300 bp for helper-free virus; ~3 kb for defective virus	No	Short	Excellent mucosal immunity	Limited capacity, reversion to neurovirulence
Retrovirus	1–7.5 kb	Yes	Shorter than formerly believed	Stable integration	May rearrange genome, insertional mutagenesis, require cell division
Rhabdovirus	Unknown	No	Short	High-level expression, rapid cell killing	Virulence, highly cytopathic
Vaccinia virus	At least ~25 kb, probably ~75–100 kb	No	Short	Wide host range, ease of isolation, large capacity, high-level expression	Transient, immunogenic

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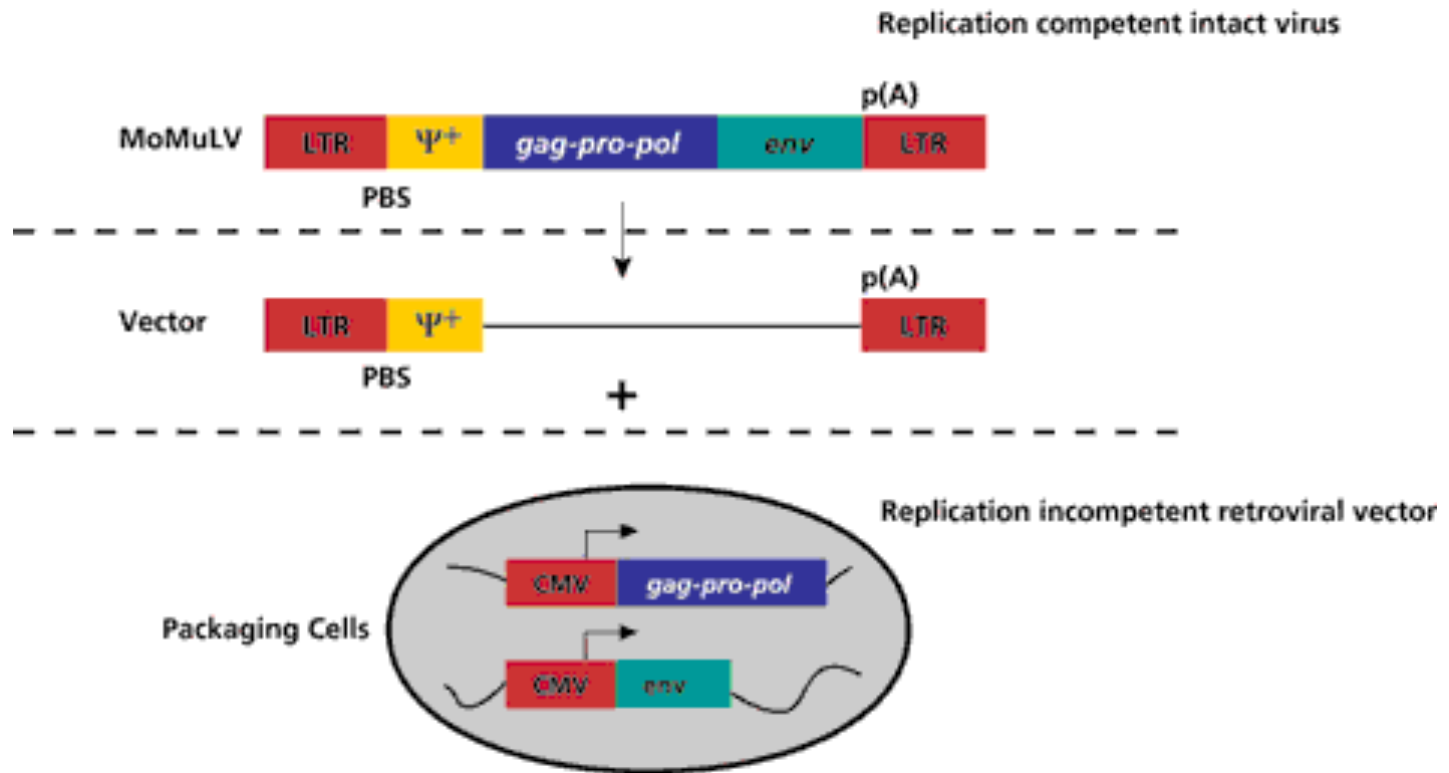
# Favorable Features of Retroviruses as Vectors

- Well characterized
- Easily to manipulate (genomes 7-9 kb)
- They require 3 trans (gag, pol, env) and 7 major cis-active control elements (U3, R, U5, PBS, SD,  $\Psi$ , SA) in order to replicate
- Stability of recombinants vectors (plasmids)
- High efficiency of gene transfer
- Most are replication-defective
- Stable and precise integration of the transgene
- Low immunogenicity
- Can be pseudotyped to infect a broad range of cells

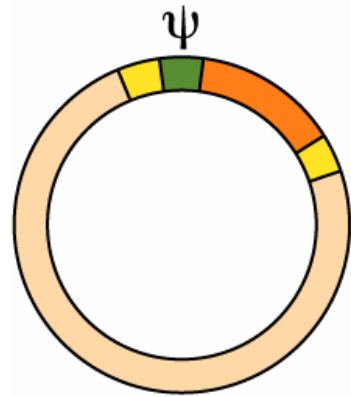
# Retrovirus as vectors

- Replication-incompetent vectors
  - They bear deletions of some or all of the viral genes
  - They retain *cis*-acting viral sequences necessary for transmission (U3, R, U5, PBS, SD,  $\Psi$ , SA)
  - They need to be propagated in “packaging” cell lines that provide in *trans* gag, pol, env
- Replication-competent vectors (RCR)
  - Avian vectors (up to 2 kb inserts)

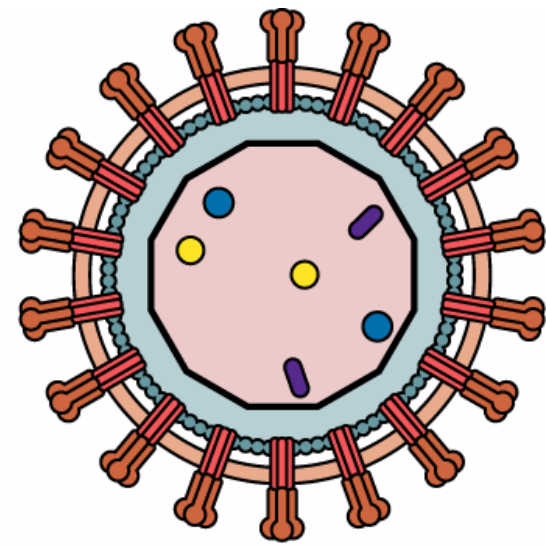
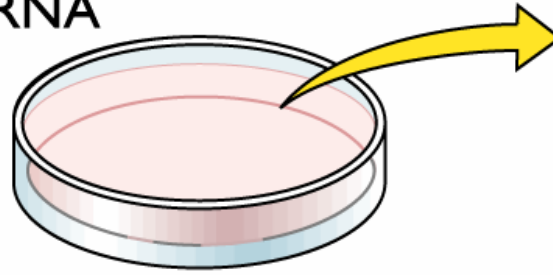
# Replication-incompetent vectors



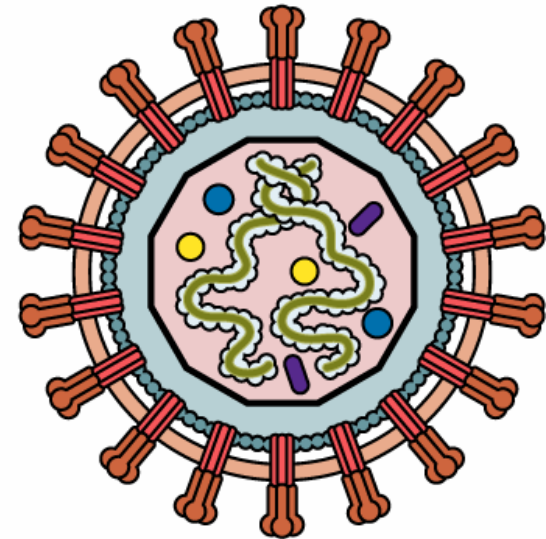
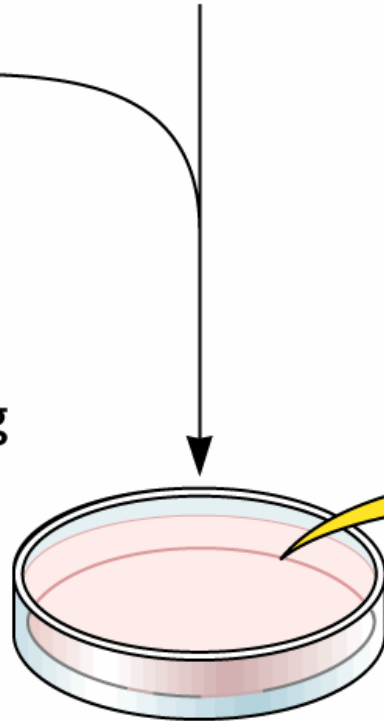
Packaging cell line that expresses viral structural proteins but no packageable viral RNA



Transfect vector plasmid containing foreign gene

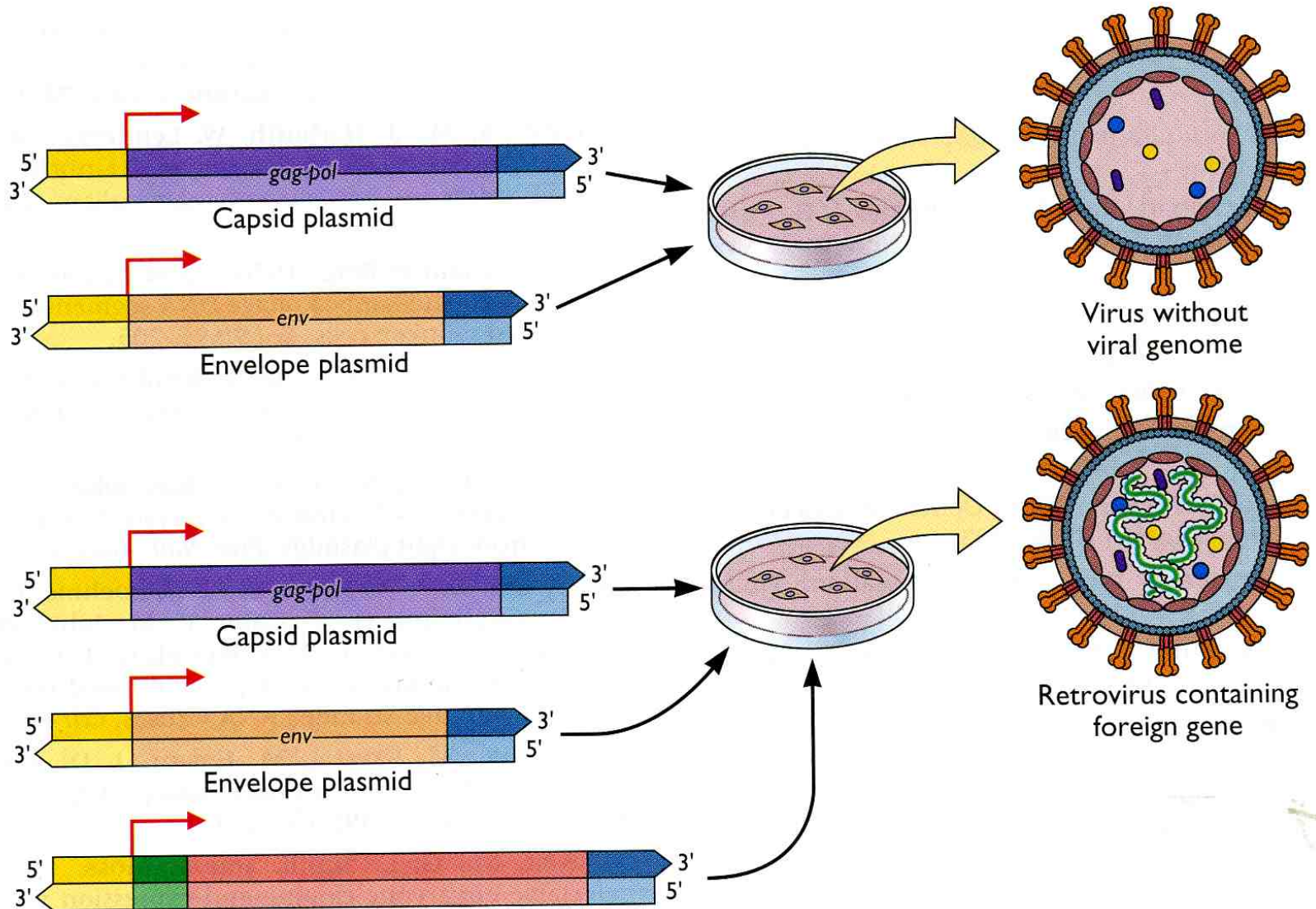


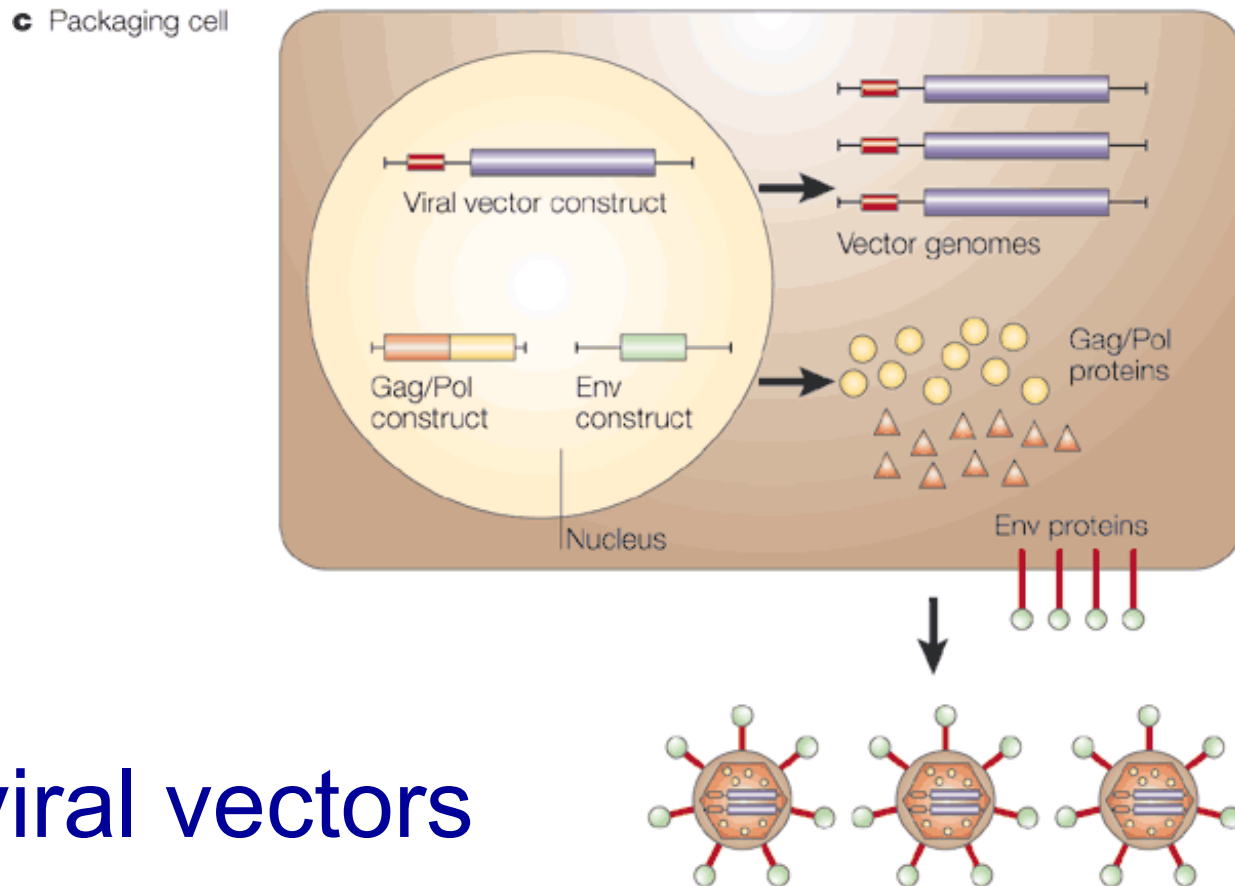
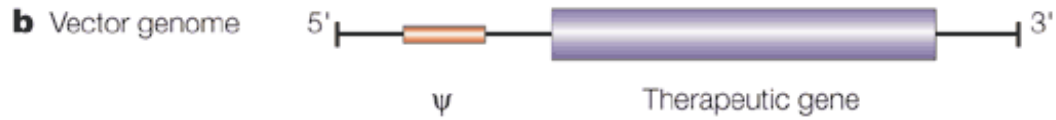
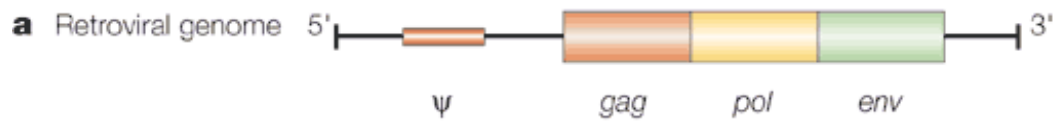
Virus without viral genome



Retrovirus containing foreign gene

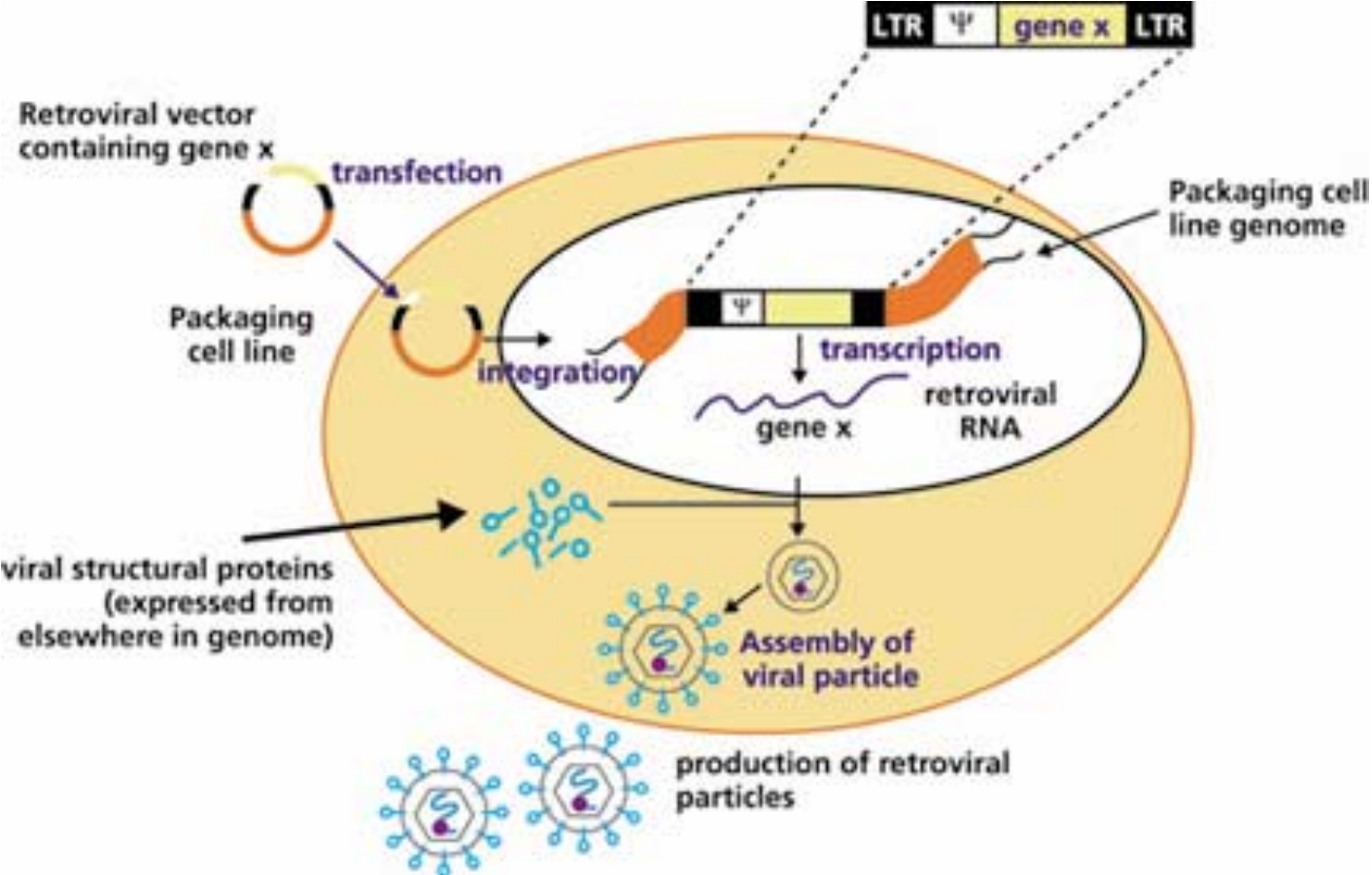
# Retroviral vectors



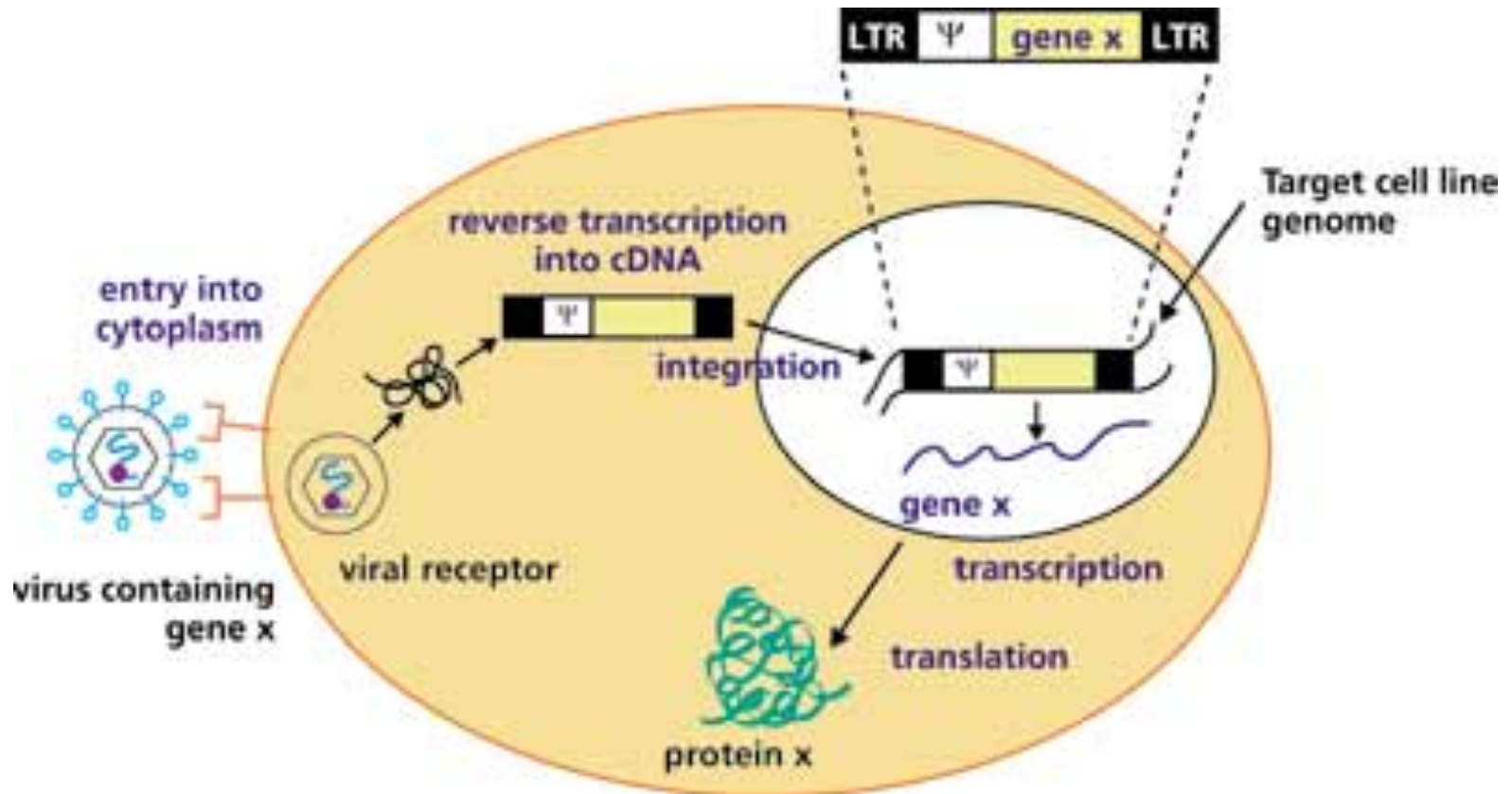


# Retroviral vectors

# Production of Recombinant Retrovirus in the Packaging Cell

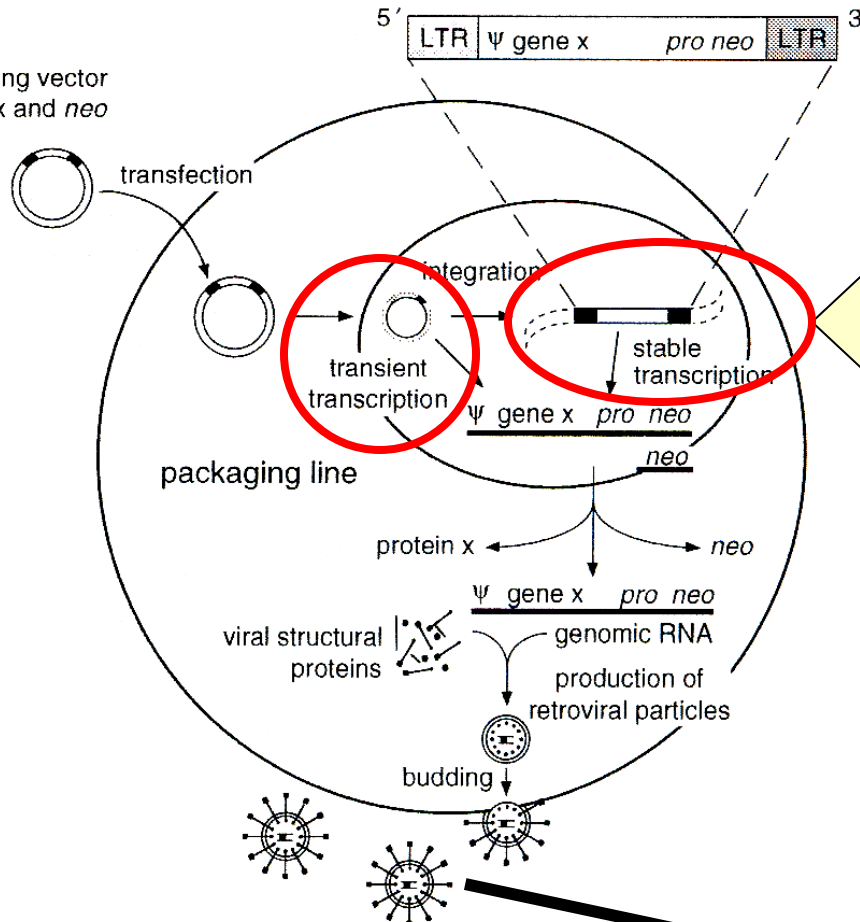


# Infection of a Target cell and Expression by a Replication-Incompetent Retrovirus Vector



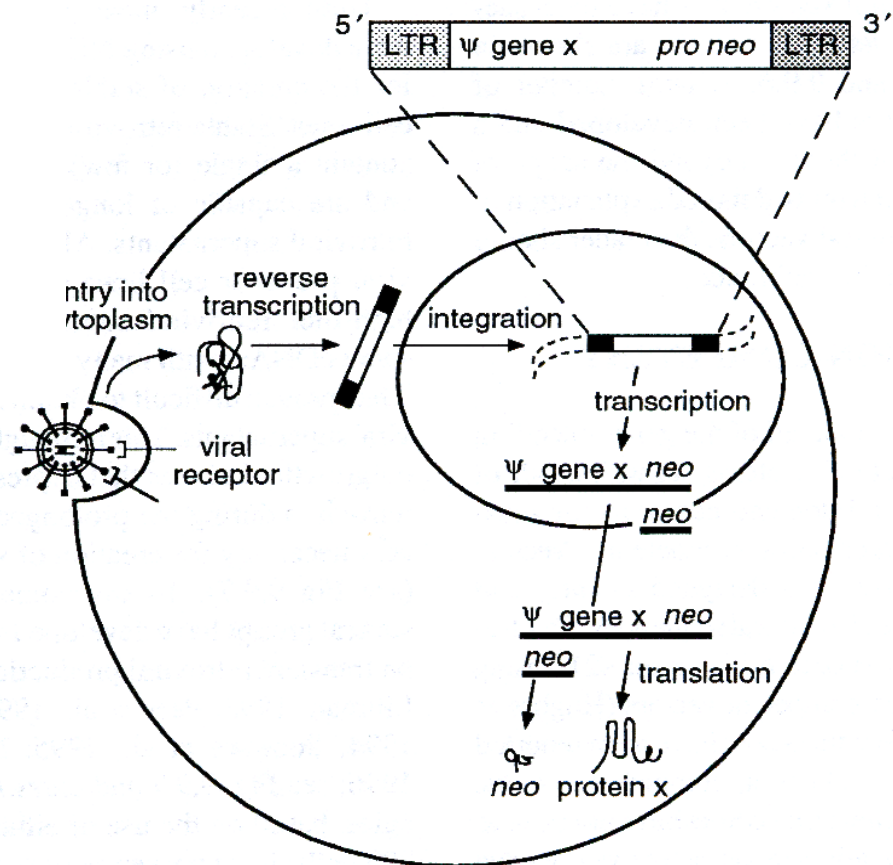


plasmid containing vector encoding gene x and *neo*



**Production** of infectious retroviral particles containing the gene of interest

**Infection** of target cells and expression by a replication-incompetent retrovirus vector



# Tropism of Retrovirus Vectors

Retrovirus tropism is determined at three levels:

- 1) Viral envelope proteins (gpSU);
- 2) Nuclear translocation and integration  
- defined by structural features of p30<sup>CA</sup>
- 3) Transcriptional activity of the LTR in the transfected cell  
- MLV LTR is active in most mammalian cell types

# Retroviridae host-cell receptors and co-receptors

Human immunodeficiency virus type 1	CD4	Ig-like	Chemokine receptors (Ccr5, Cxcr4, Ccr3)
	Galactosylceramide	Glycolipid	
Human immunodeficiency virus type 2	CD4	Ig-like	Chemokine receptors
	Cxcr4	7-transmembrane superfamily	
Simian immunodeficiency virus	CD4	Ig-like	Chemokine receptors
Gibbon ape leukemia virus	Glvr1	Sodium-dependent phosphate transport protein	← A
Feline leukemia virus B	Glvr1	Sodium-dependent phosphate transport protein	
Amphotropic murine leukemia virus	Ram-1	Sodium-dependent phosphate transport protein	← A
Ecotropic murine leukemia virus	Cat	Cationic amino acid transport protein	
Subgroup A avian leukosis and sarcoma virus	Tva	Low-density lipoprotein receptor protein family	
Subgroup B and D avian leukosis and sarcoma viruses	Car1	Tnf receptor family protein superfamily	
Bovine leukemia virus	BLVRcp 1	Unknown	
Feline immunodeficiency virus	Cxcr4	7-transmembrane superfamily	
Visna virus	Major histocompatibility complex class II molecule	Ig-like	

**The envelope determines which cells the retrovirus enter**

# Host-range of MoMuLV-derived Vectors

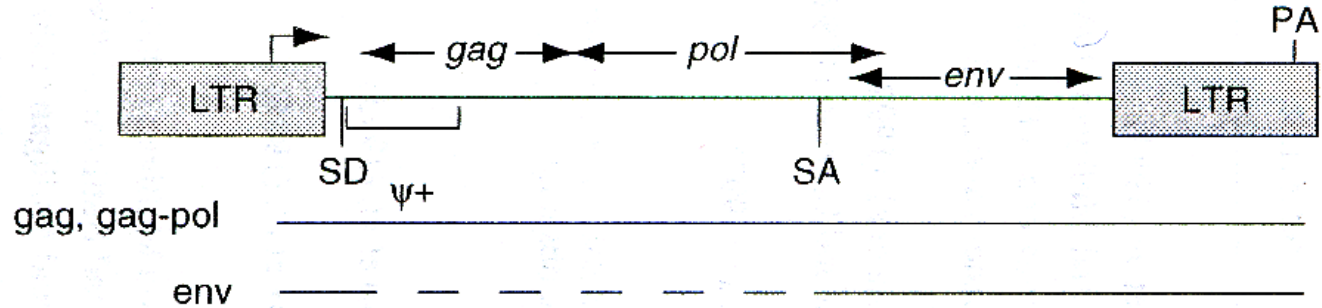
- **Ecotropic** glycoprotein, gp70, allows infection of rat and mouse cells
- **Amphotropic** glycoprotein gp70 endows a murine virus with a very broad host range (mouse, human, chicken, dog, cat, mink cells)
- **Polytropic** receptors can be utilized for retrovirus entry. Pseudotyping the retroviral envelope with the VSV G protein confers a host range capable to infect mammalian, fish, frogs and insect cells

# Host-range of Retroviral Vectors

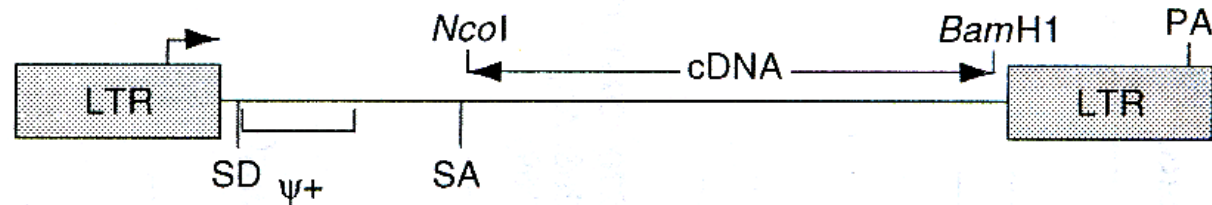
Vector pseudotype	Cells that can be transduced	
	Mouse	Human
Ecotropic	Yes	No
Amphotropic	Yes	Yes
GALV	No	Yes
VSV G	Yes	Yes
RD114	No	Yes
10A1	Yes	Yes

# Development of retroviral vector design

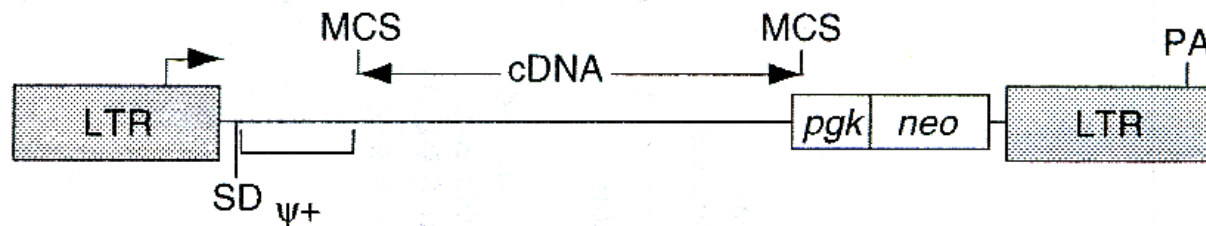
## A MoMuLV (wild-type retrovirus)



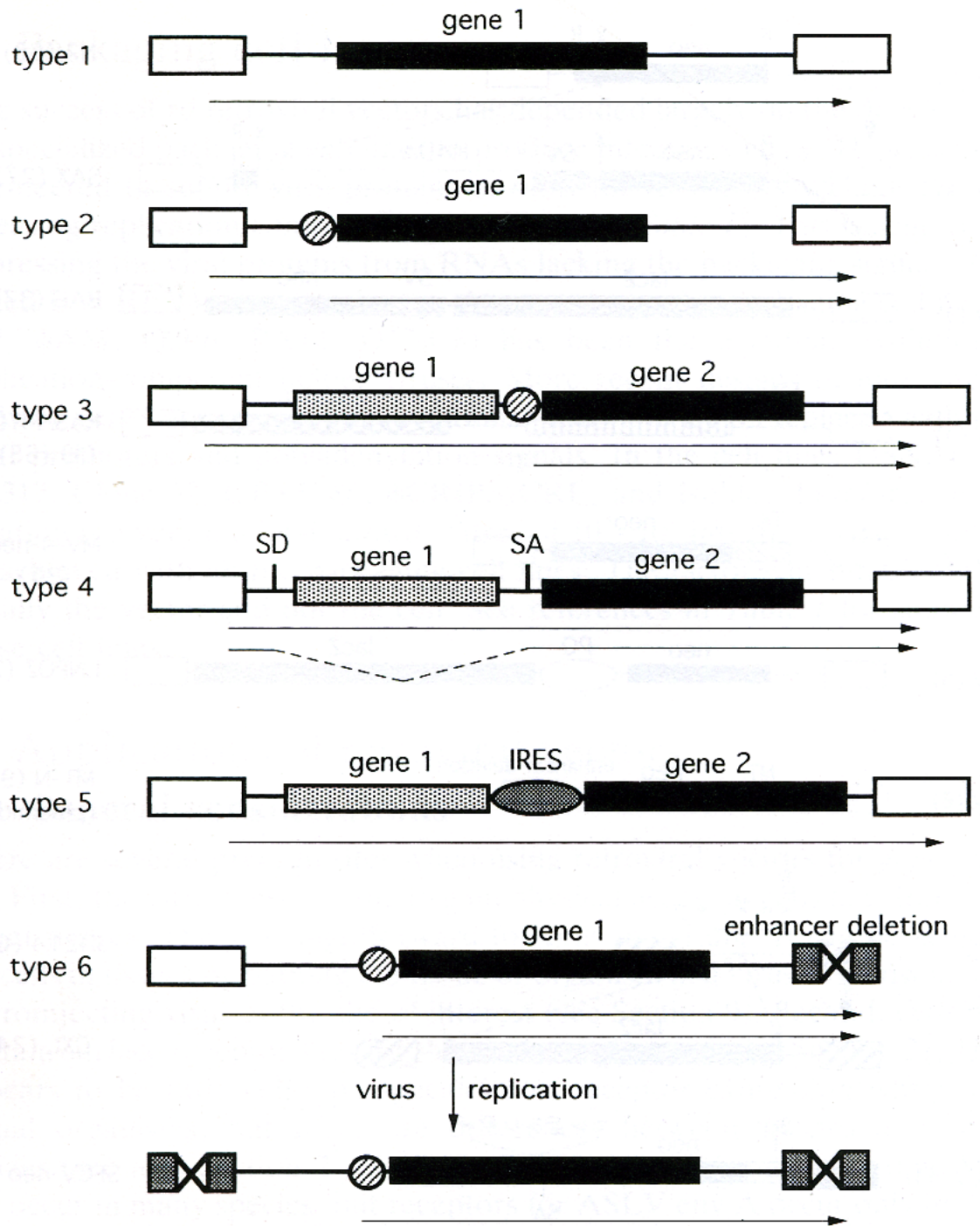
## B splicing retroviral vector



## C nonsplicing retroviral vector with internal promoter



# Replication-defective retroviral vectors

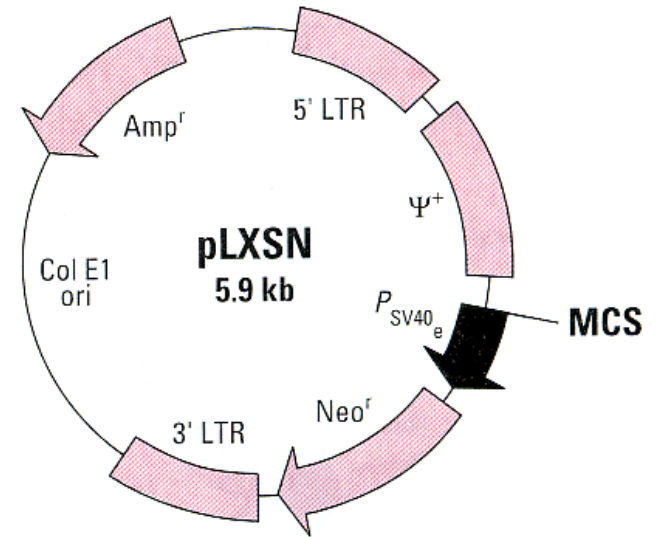
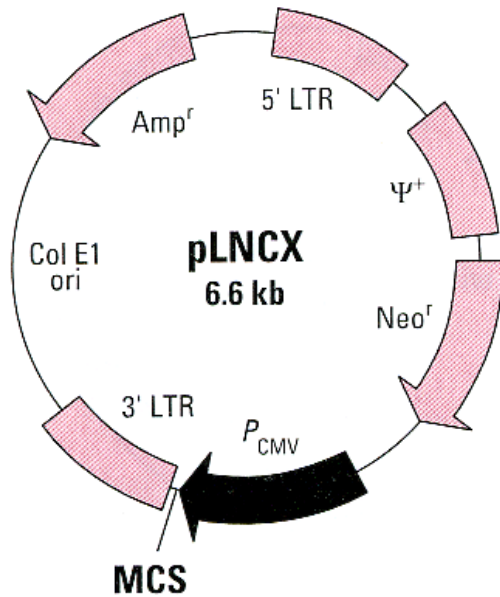


□ LTR

● Internal promoter

● IRES

# Retroviral expression vectors

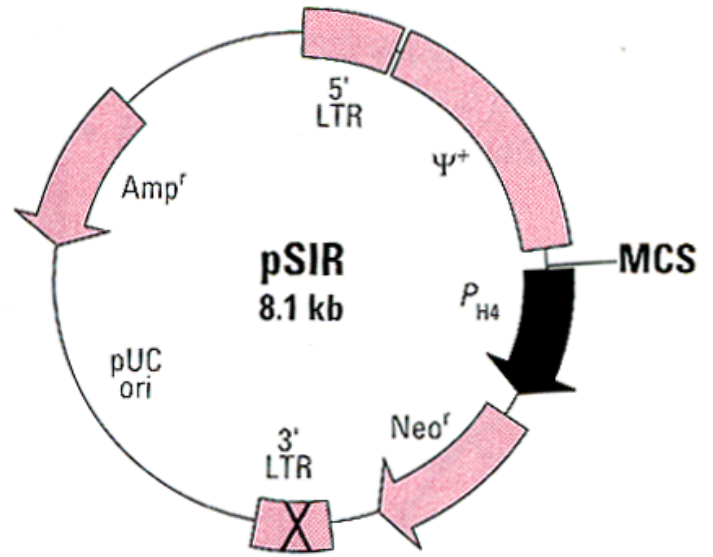
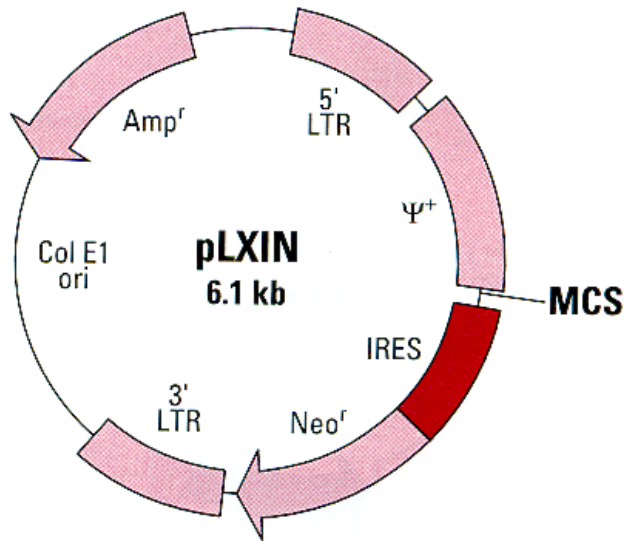


GCGGCCCAAGCTTGTTAACATCGATAAAATA  
*Hind* III *Hpa* I *Cla* I

GCGCCGGAATTCGTTAACTCGAGGATCCGGCTGTG  
*Eco* RI *Hpa* I *Xho* I *Bam* HI



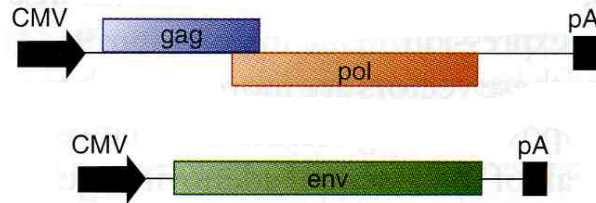
# Retroviral expression vectors



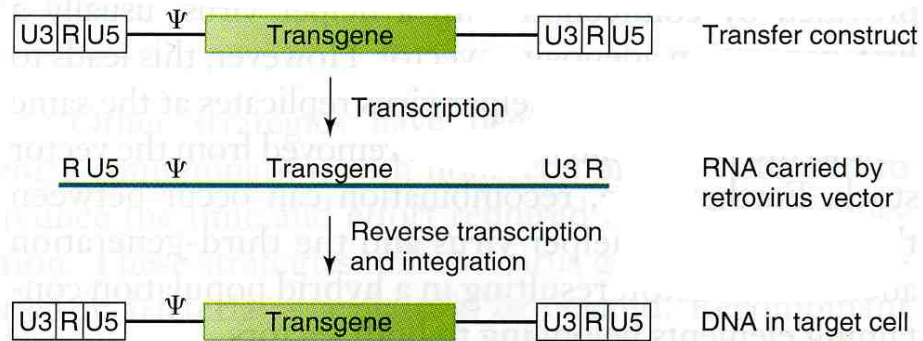
GGAATTCGTTAACTCGAGGATCCACTAGTAACGGCCGCCAGAATTCG  
*EcoRI* **HpaI** **XhoI** **BamHI** *EcoRI*

CCCCTCGAGAAGCTTGTCGACGGATCCGAATTC  
**XhoI** **Hind III** **BamHI** **EcoRI**

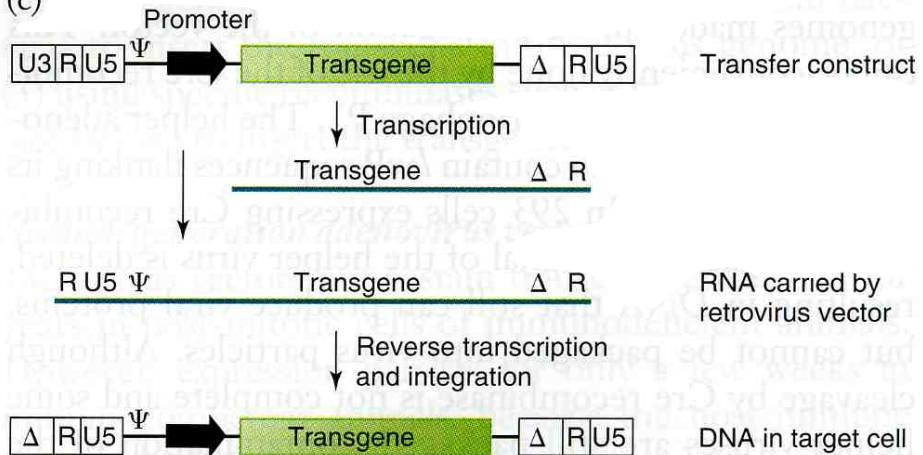
(a)



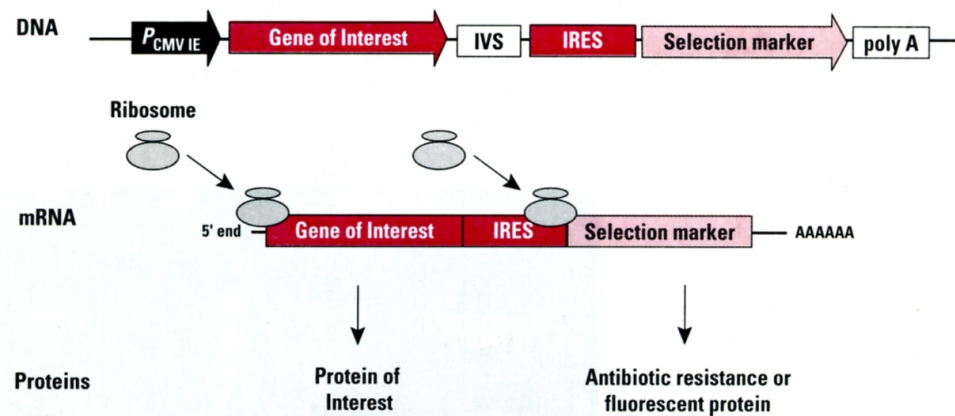
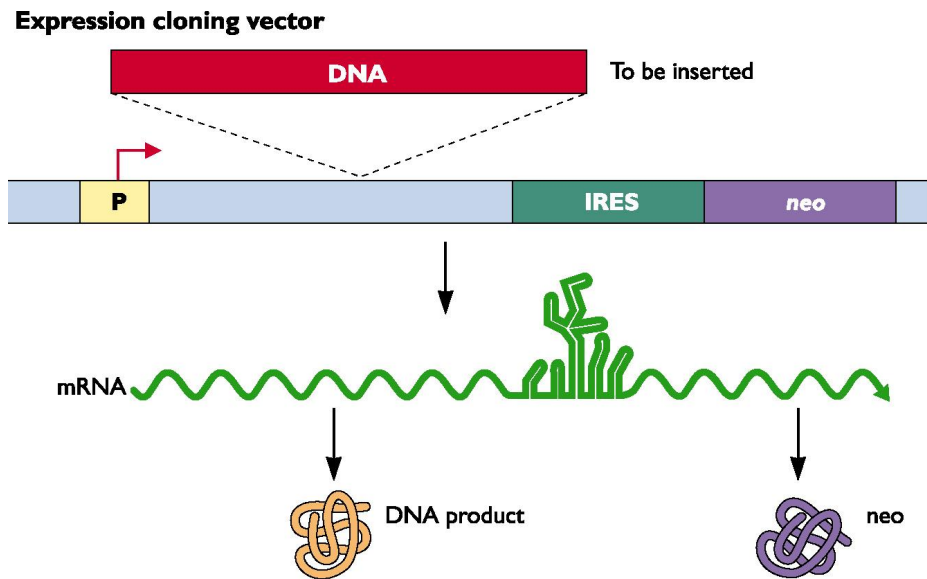
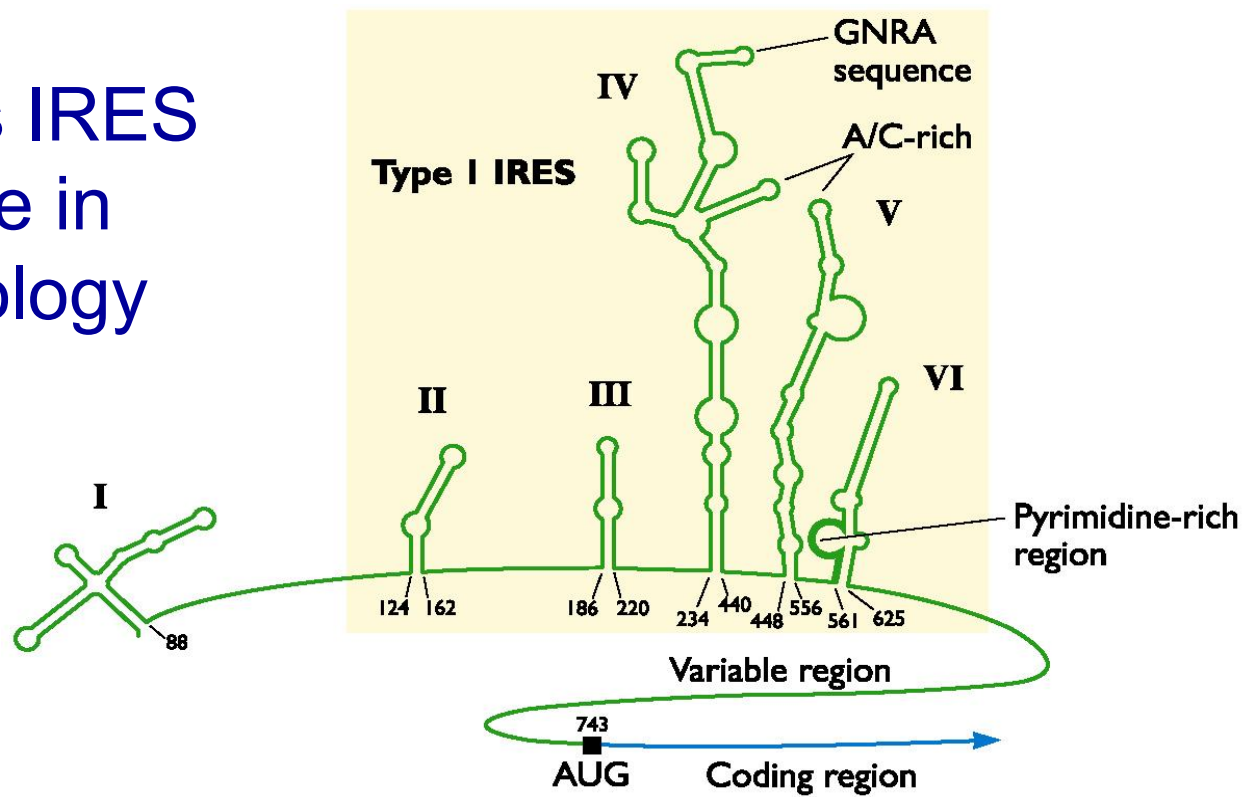
(b)

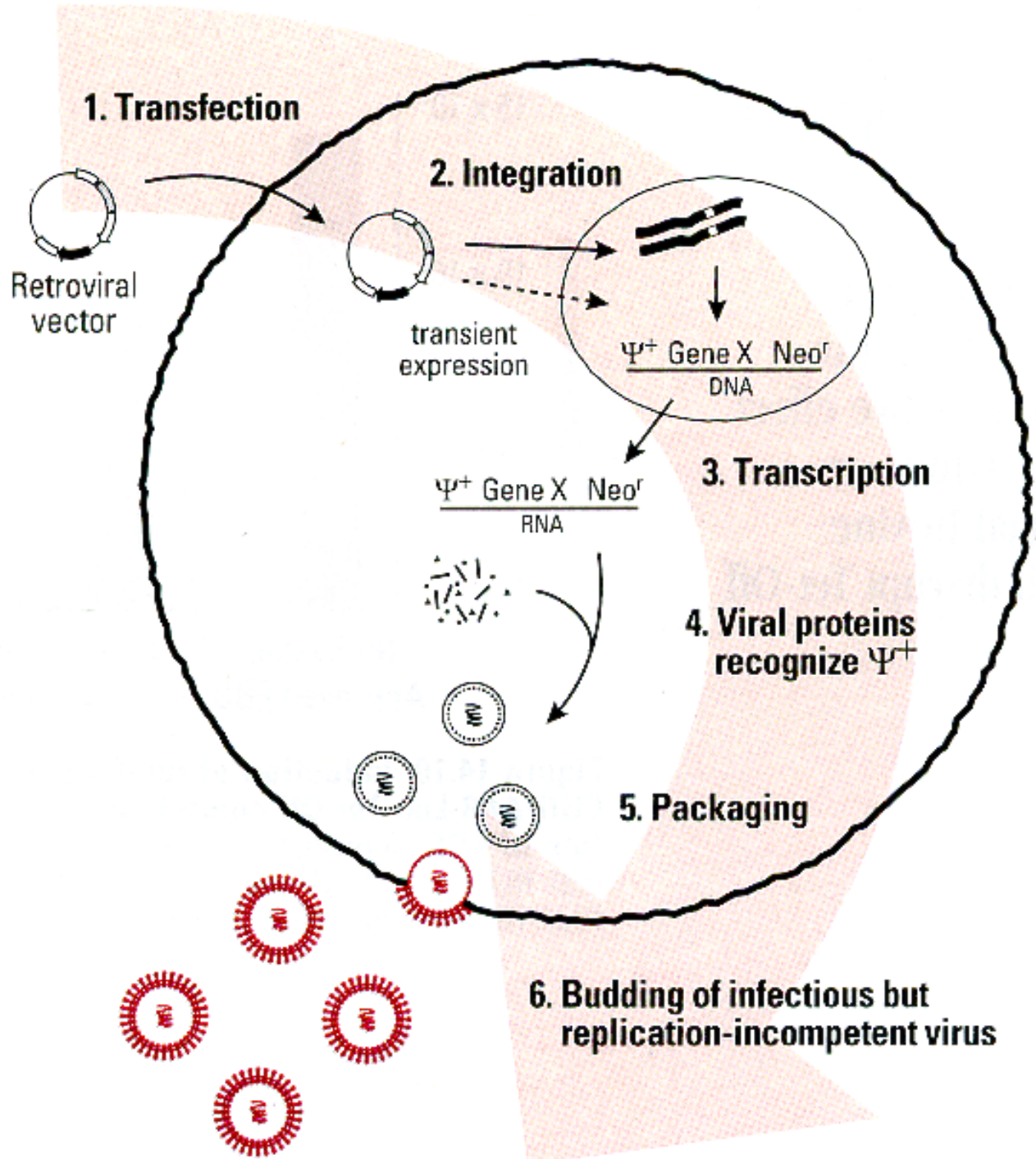


(c)



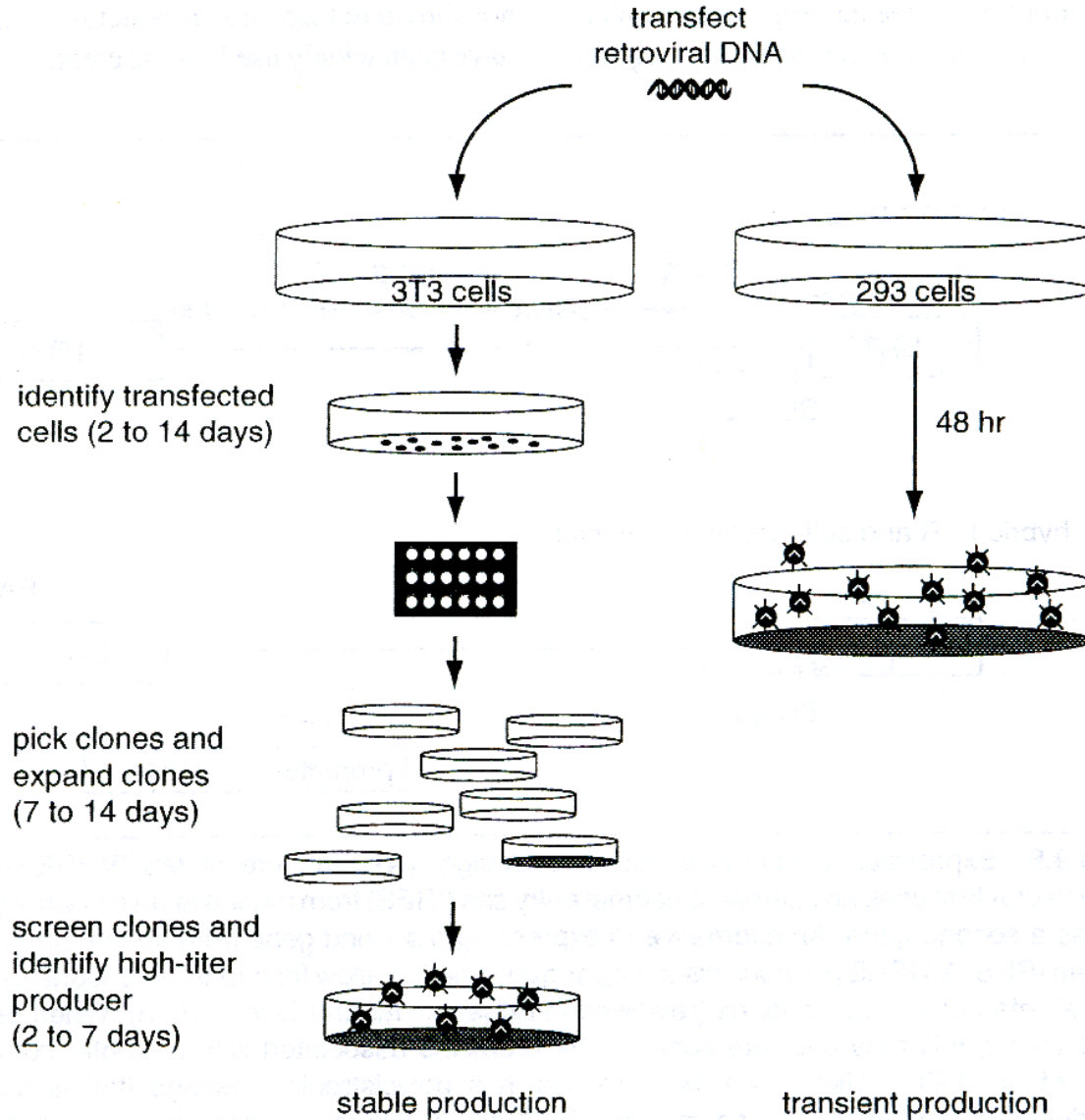
# Picornaviruses IRES and their use in molecular biology



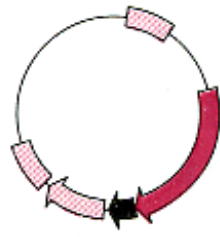


Packaging cell:  
produces viral  
proteins from  
stably integrated  
genes

# Production of recombinant retroviral stocks by stable and transient producer cell lines



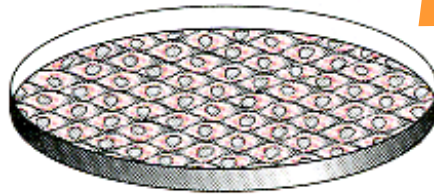
RetroXpress Vector  
expressing gene  
of interest



Transfection

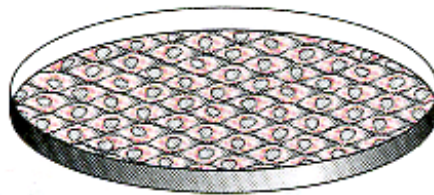
RetroPack PT67 cell line is  
a NIH/3T3-based  
packaging line expressing  
the 10A1 viral envelop

- Transient virus production  
( $10^5$ – $10^6$  ffu/ml)



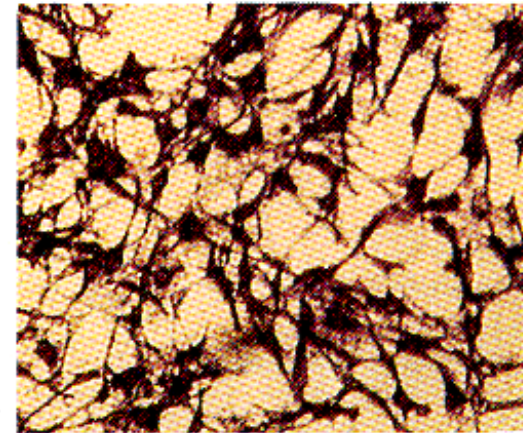
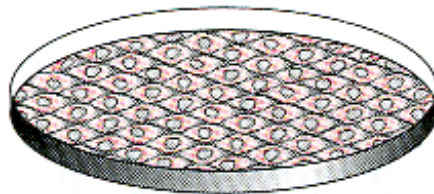
Antibiotic  
selection

- Stable  
virus-producing  
population  
( $10^5$ – $10^6$  ffu/ml)

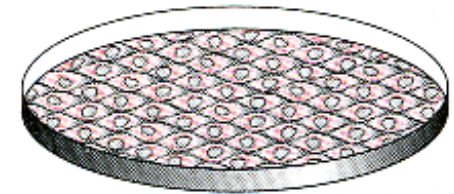


Isolation  
of clones

- High-titer clone  
( $10^6$ – $10^7$  ffu/ml)



Collection of  
virus and infection  
of target cells



## Disadvantages of Retrovirus Transduction System

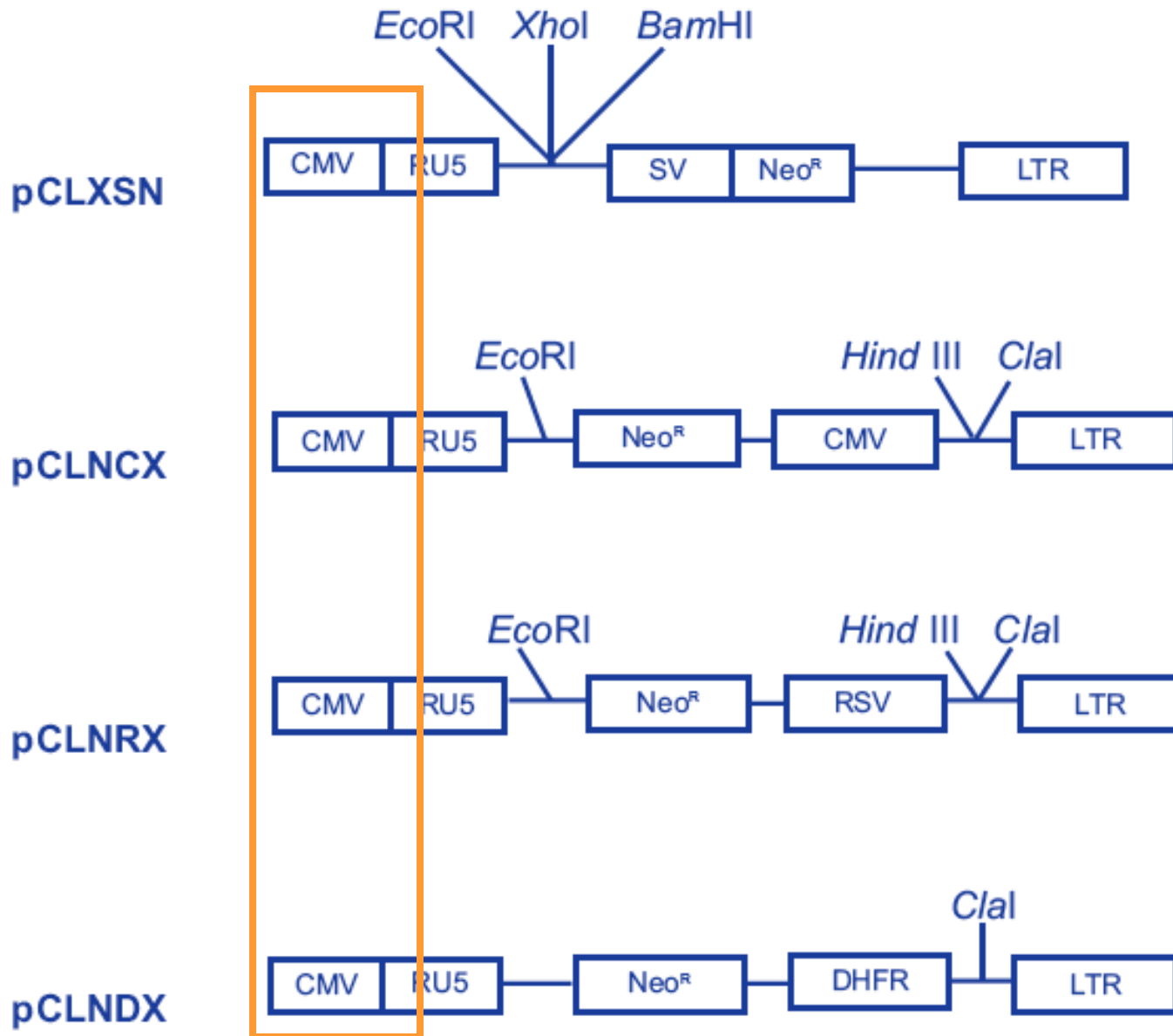
- Post-mitotic cells cannot be transduced
- Unable to transduce large (>11kb) DNA fragments
- Random integration and genome rearrangement (risk of insertional activation of cellular genes)

**Retrovirus vectors - a research lab  
application:**

**generation of a GFP-expressing retroviral  
vector by using the Retromax system**



# Retromax system: choice of vectors



# Retromax system: choice of packaging vectors

Ecotropic  
(usually (MoMuLV))

mouse and rat cells only  
(not human)

Amphotropic  
(from 4070 MuLV)

most mammalian cells  
(no hamster)

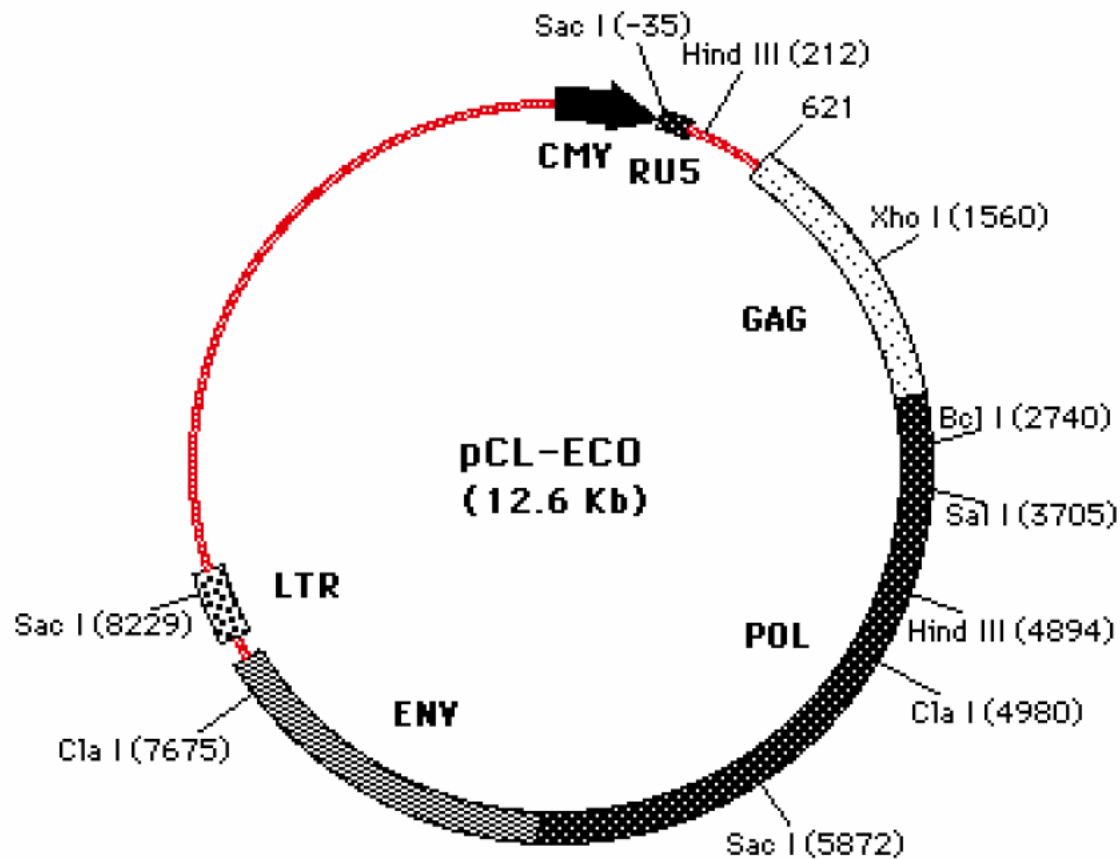
Gibbon Ape leukemia  
virus (GALV)

many mammalian cells  
(including hamster)

10A1 (MuLV)

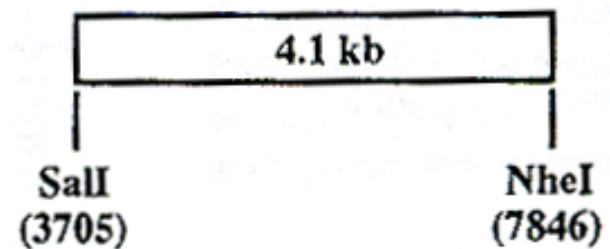
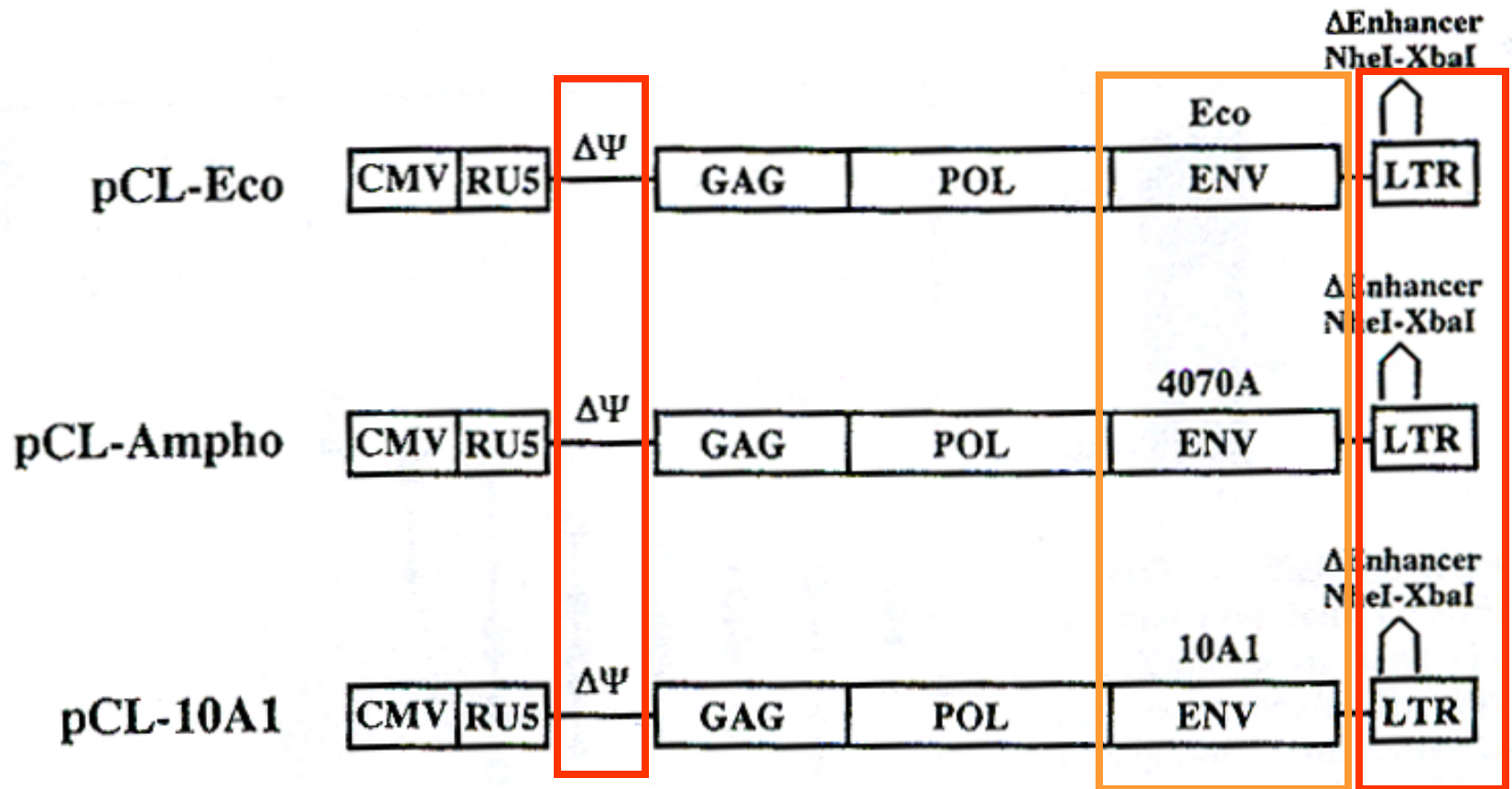
most mammalian cells  
(including hamster)

# Retromax system: choice of packaging vectors

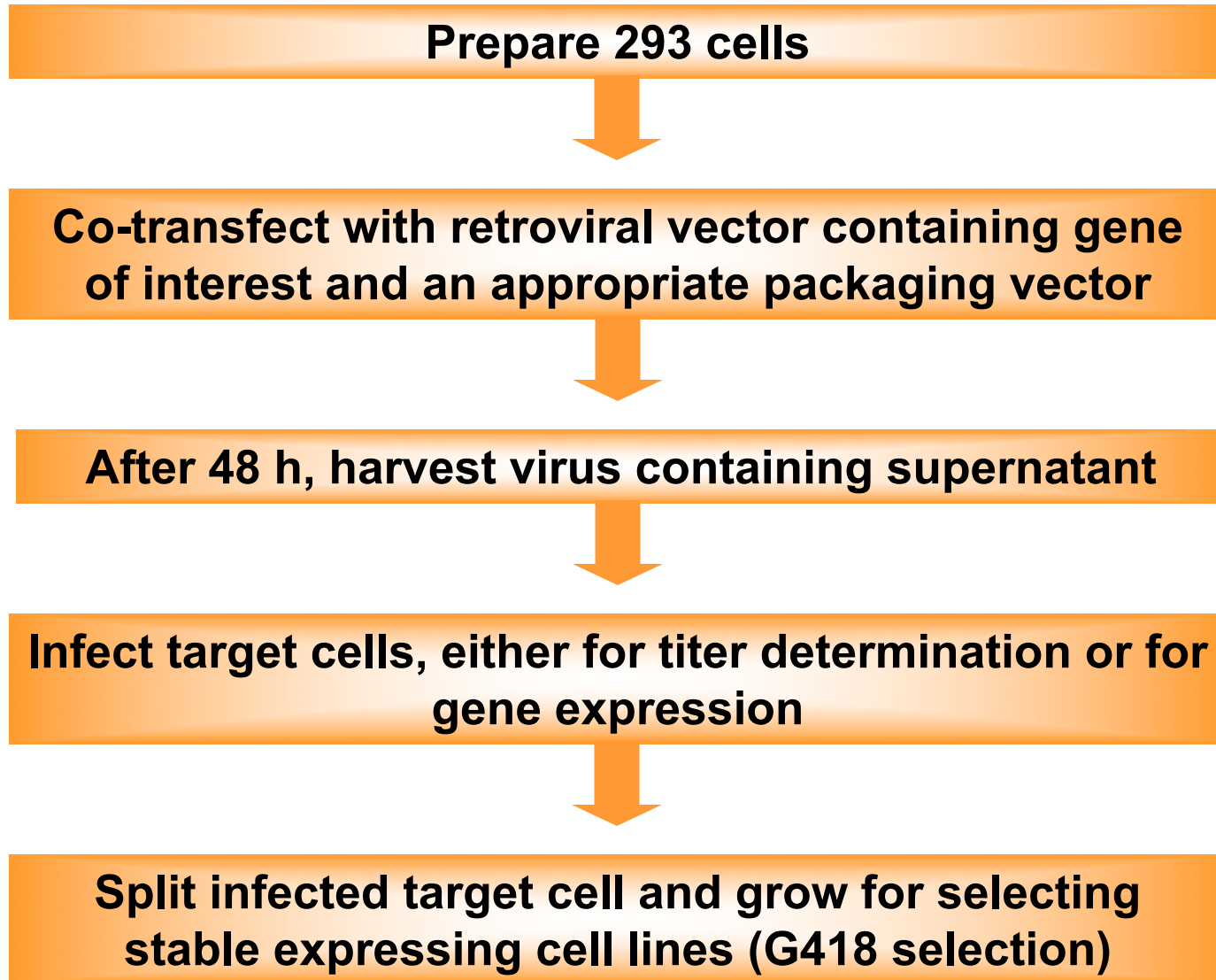


The **pCL-Eco** packaging vector. The gene coding for **env** was replaced with env gene from different MULV strains (4070A and 10A1) to create **pCL-Ampho** and **pCL-10A1** packaging vectors

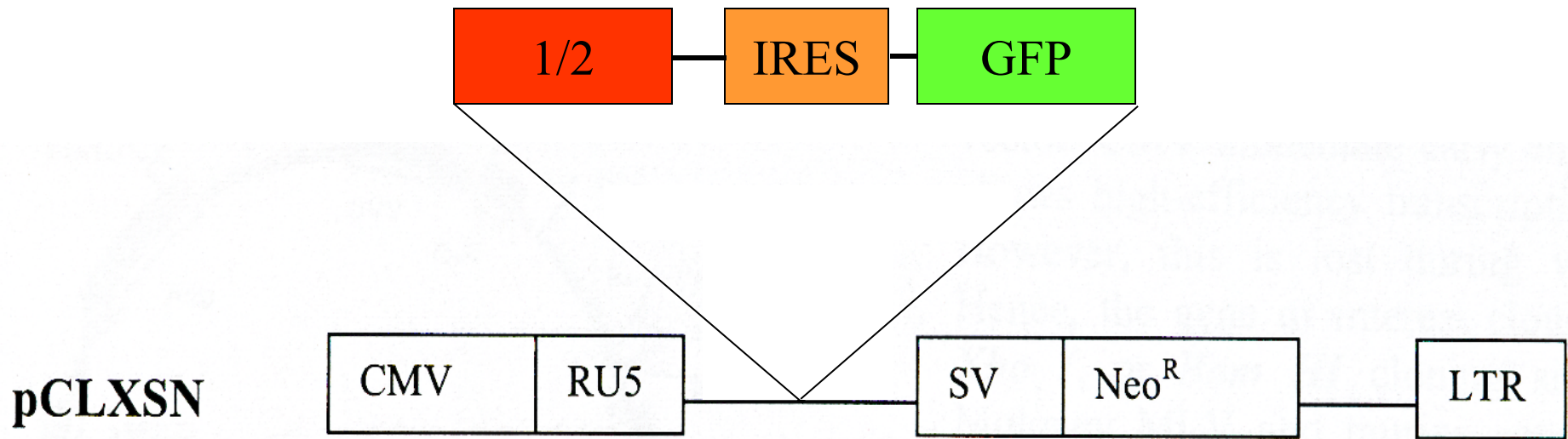
# Retromax system: pCL packaging vectors



# Retromax system: outline of the procedure

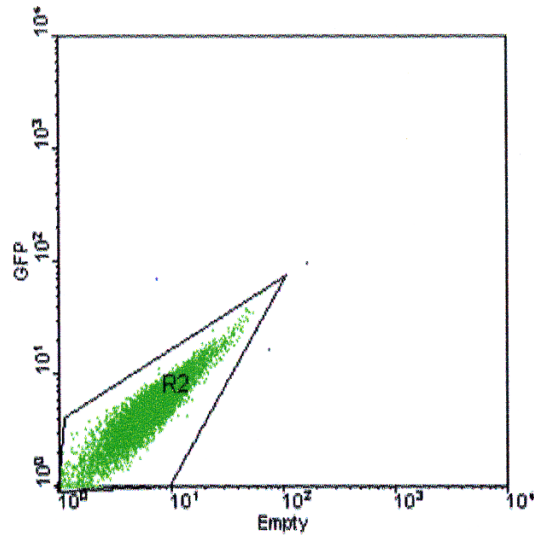


# Retromax system: construction of pCLXSN-GFP vectors

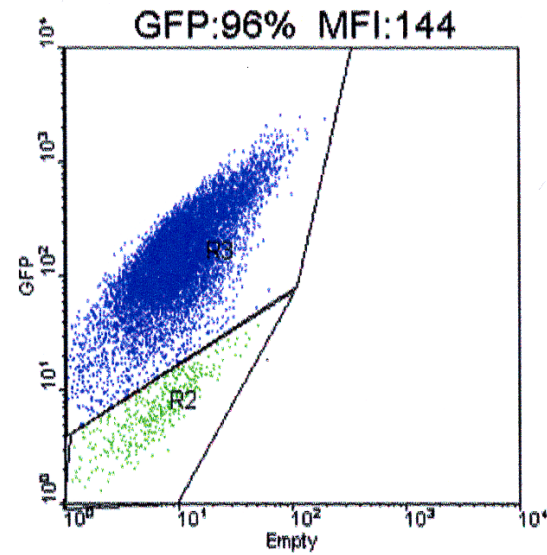


# GFP exp in infected HUVEC after 1 wk of G418 selection

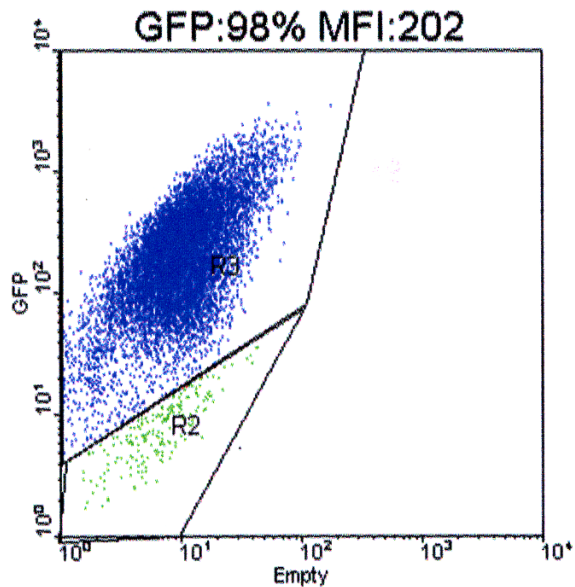
**Mock**



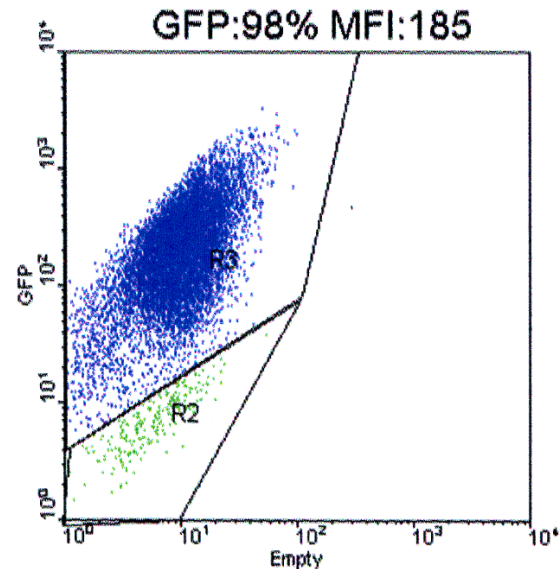
**P1**



**P2**



**PE**



# VIROLOGY

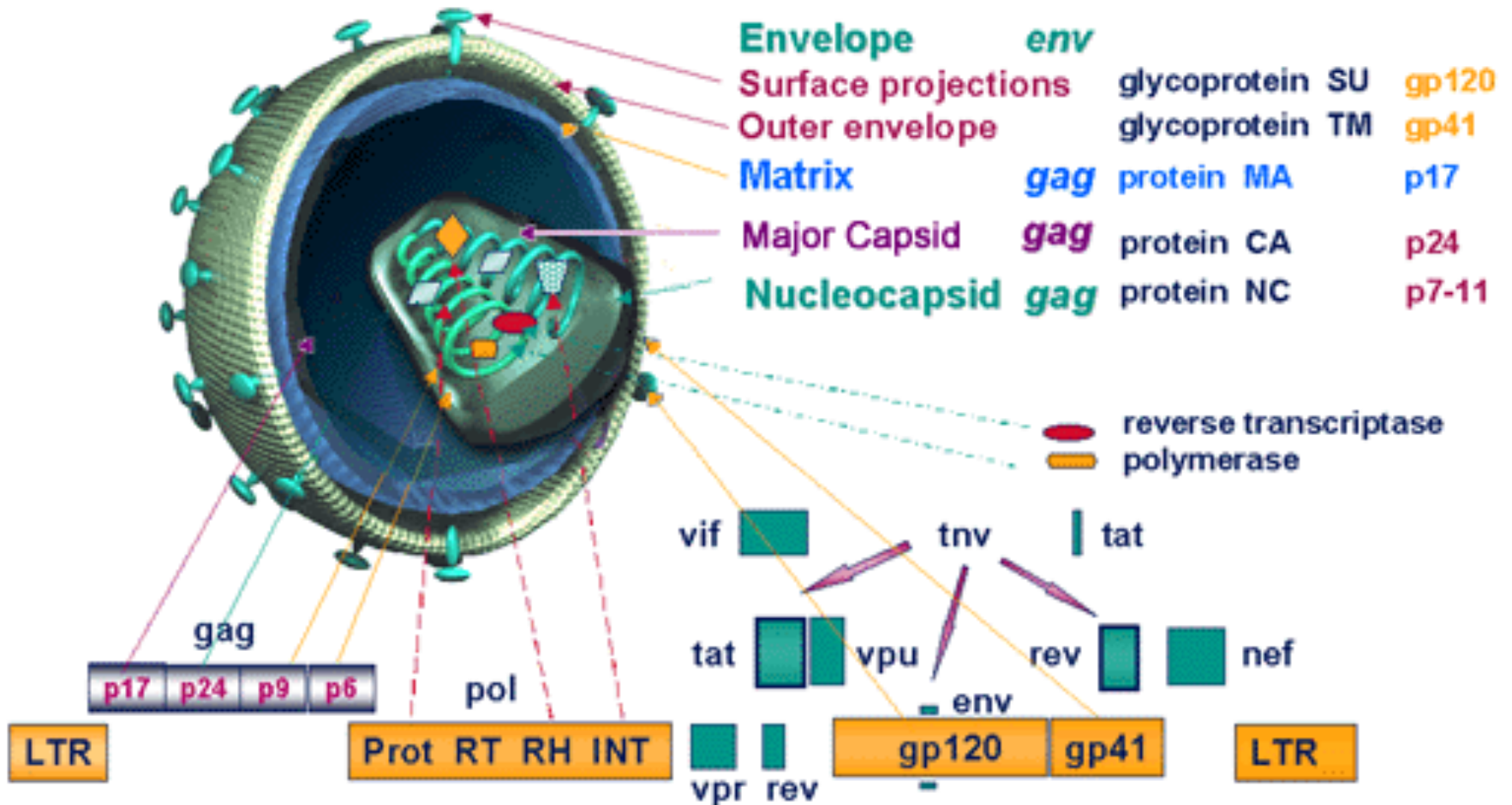
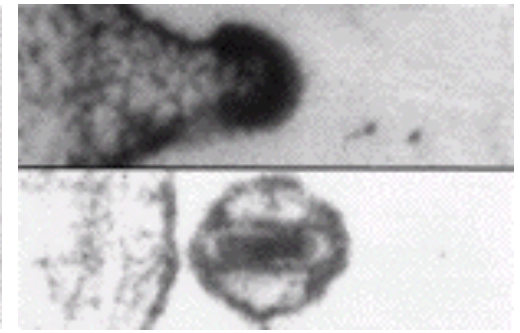
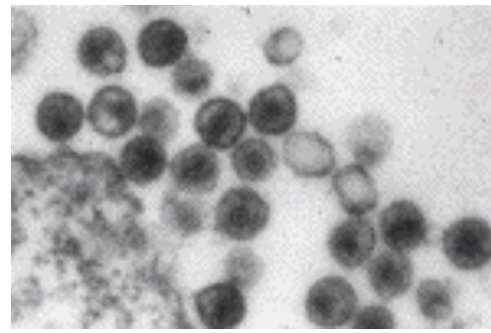
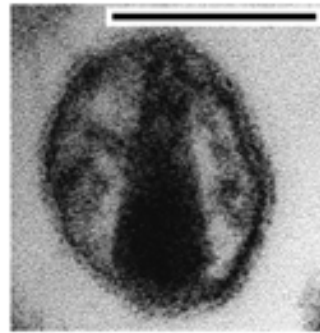
## Engineering Viral Genomes: **Lentivirus Vectors**



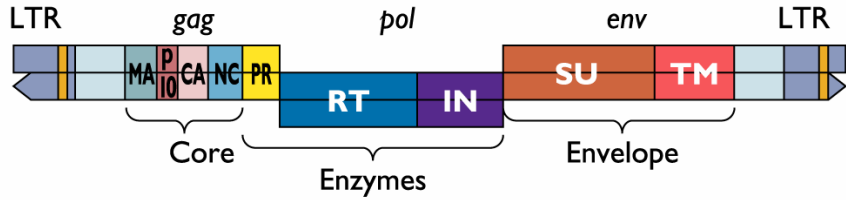
# Viral vectors

Virus	Insert size	Integration	Duration of expression	Advantages	Disadvantages
Adeno-associated virus	~4.5–9 (?) kb	Low efficiency	Long	Nonpathogenic, episomal, infects nondividing cells	Immunogenic, toxicity
Adenovirus	2–38 kb	No	Short	Efficient gene delivery	Transient, immunogenic
Alphavirus	~5 kb	No	Short	Broad host range, high-level expression	Virulence
Herpes simplex virus	~30 kb	No	Long in central nervous system, short elsewhere	Neurotropic, large capacity	Virulence, persistence in neurons
Influenza virus	Unknown	No	Short	Strong immune response	Virulence
Lentivirus	7–18 kb	Yes	Long	Stable integration; infects nondividing and terminally differentiated mammalian cells	Insertional mutagenesis
Poliovirus	~300 bp for helper-free virus; ~3 kb for defective virus	No	Short	Excellent mucosal immunity	Limited capacity, reversion to neurovirulence
Retrovirus	1–7.5 kb	Yes	Shorter than formerly believed	Stable integration	May rearrange genome, insertional mutagenesis, require cell division
Rhabdovirus	Unknown	No	Short	High-level expression, rapid cell killing	Virulence, highly cytopathic
Vaccinia virus	At least ~25 kb, probably ~75–100 kb	No	Short	Wide host range, ease of isolation, large capacity, high-level expression	Transient, immunogenic

# HIV

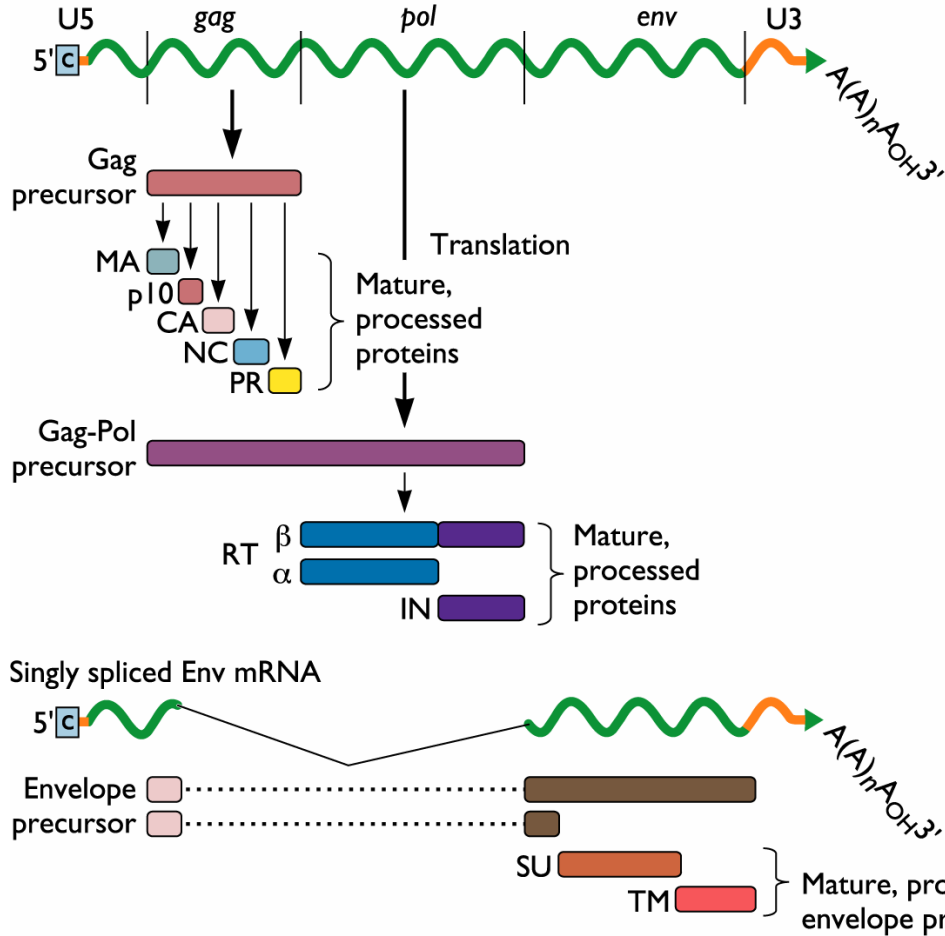


## B Simple retrovirus (ALV)

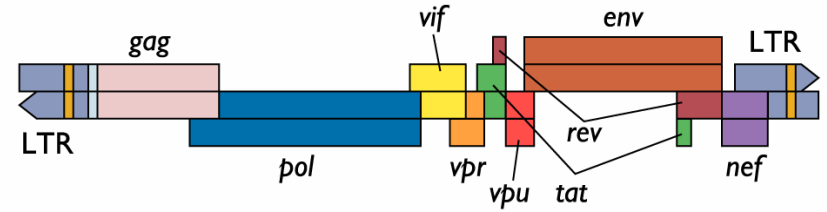


## Genome expression

Genomic RNA, Gag-Pol mRNA, pre-mRNA

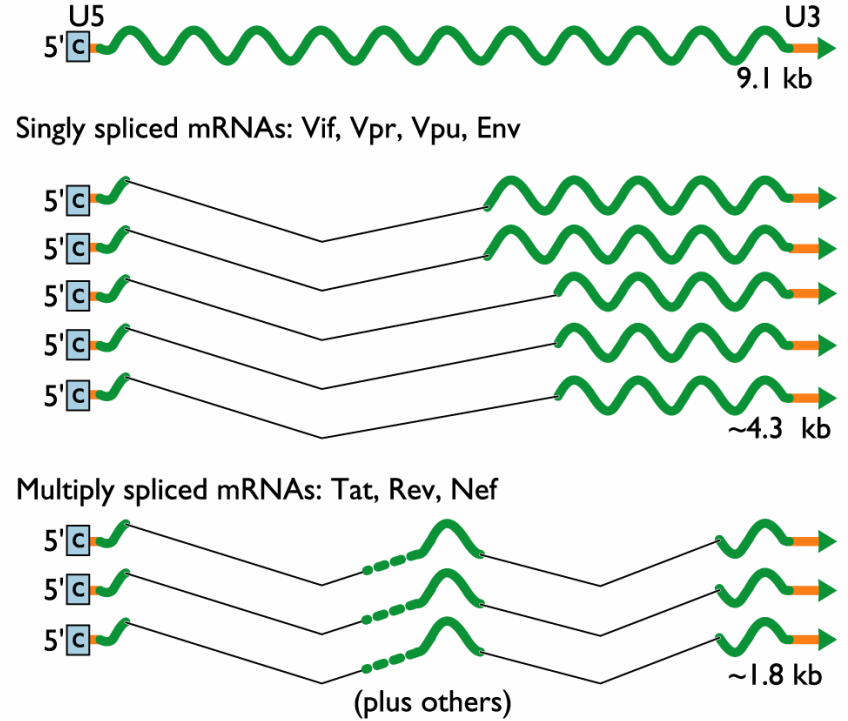


## Complex retrovirus (HIV-1)



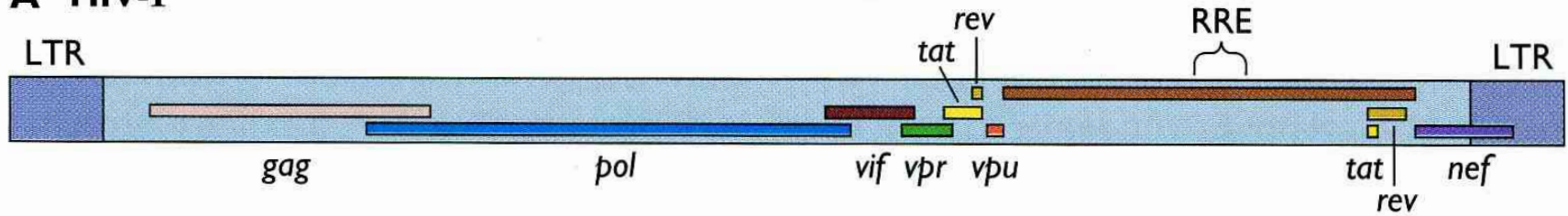
## Genome expression

Genomic RNA, Gag-Pol mRNA, pre-mRNA

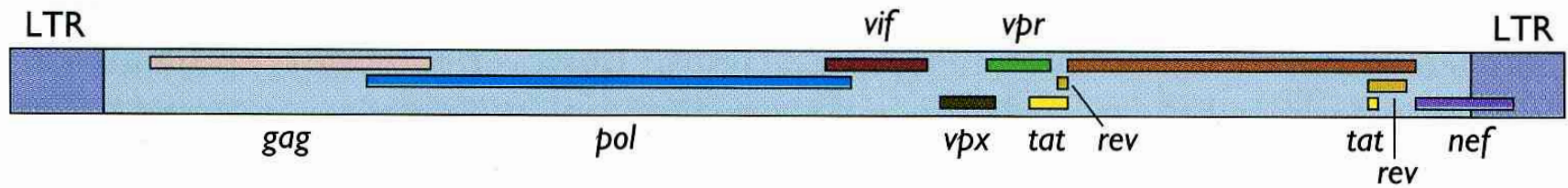


# Genome organization of HIV-1 and HIV-2

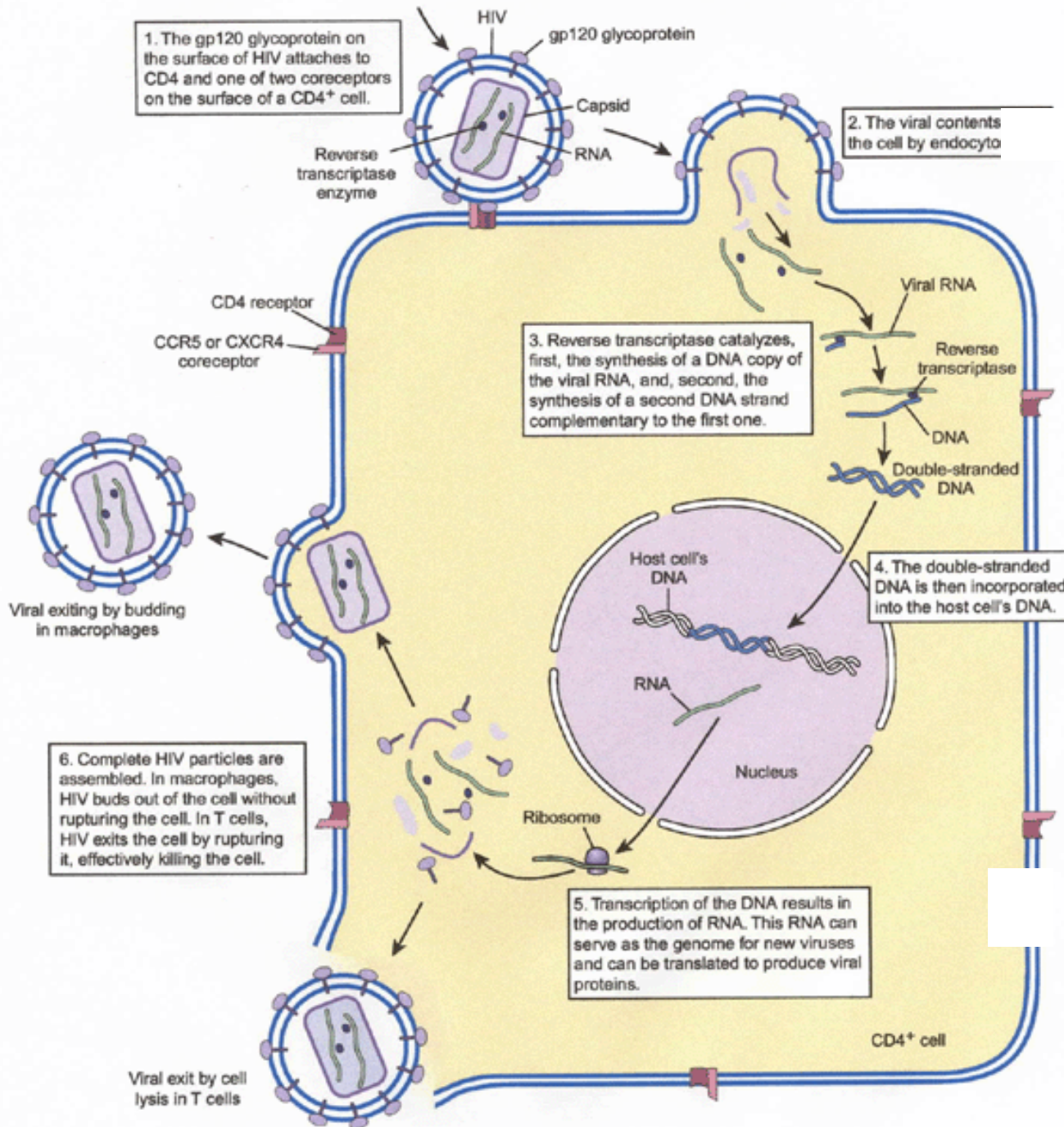
## A HIV-1



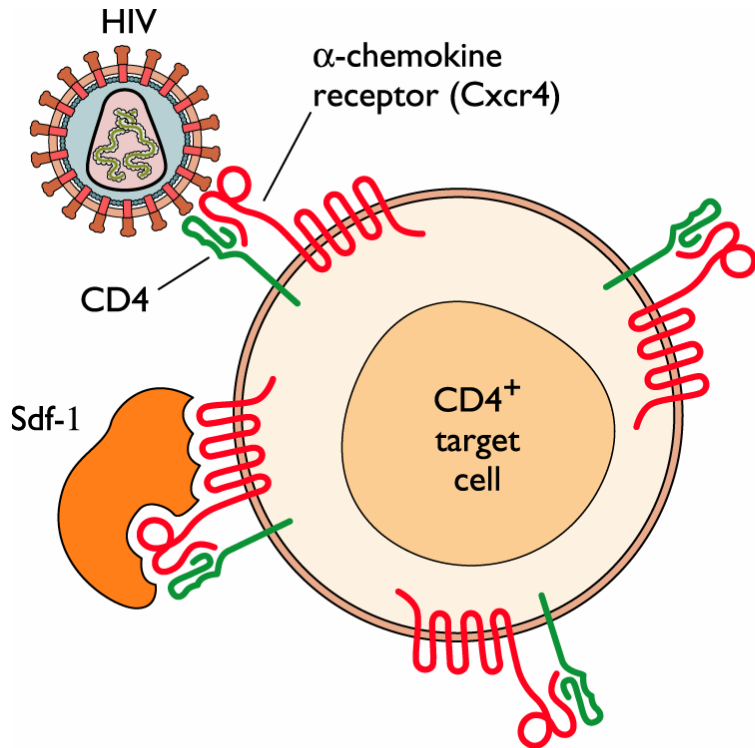
## B HIV-2



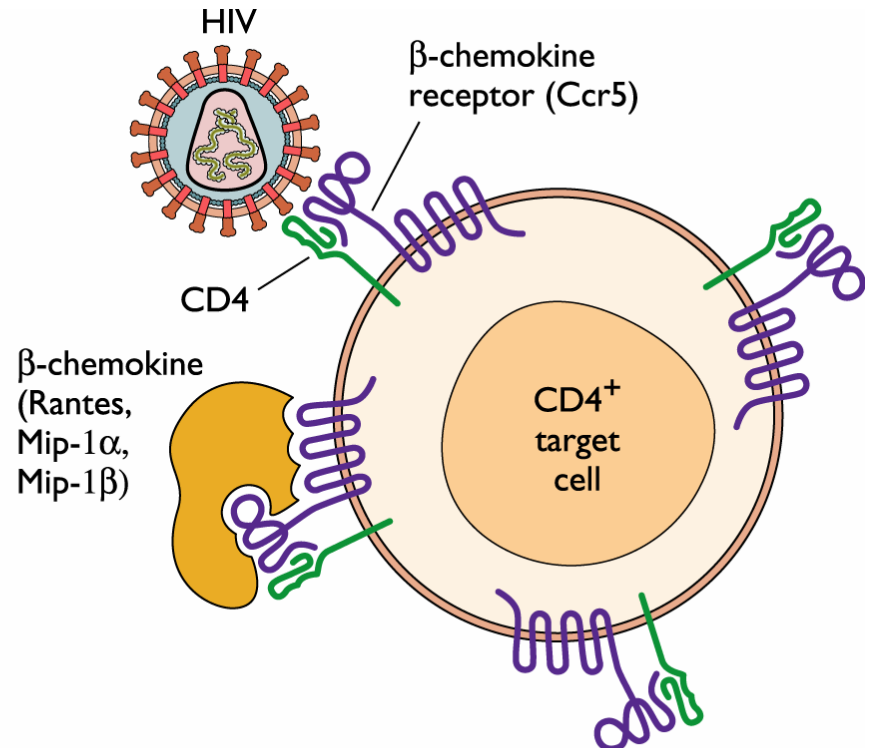
# HIV lyfe cycle



# HIV receptor and coreceptors

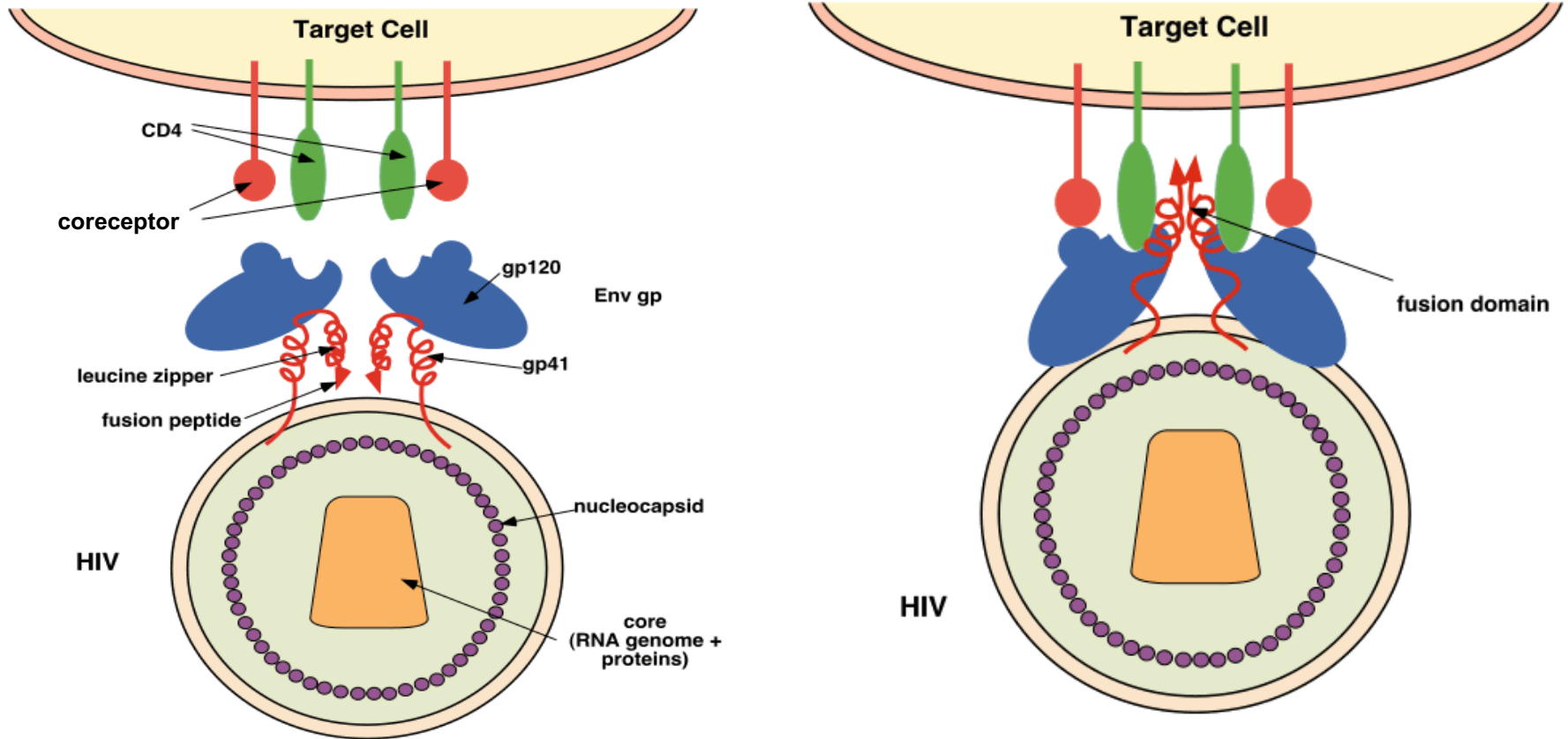


T-cell-line-tropic strain of HIV-1

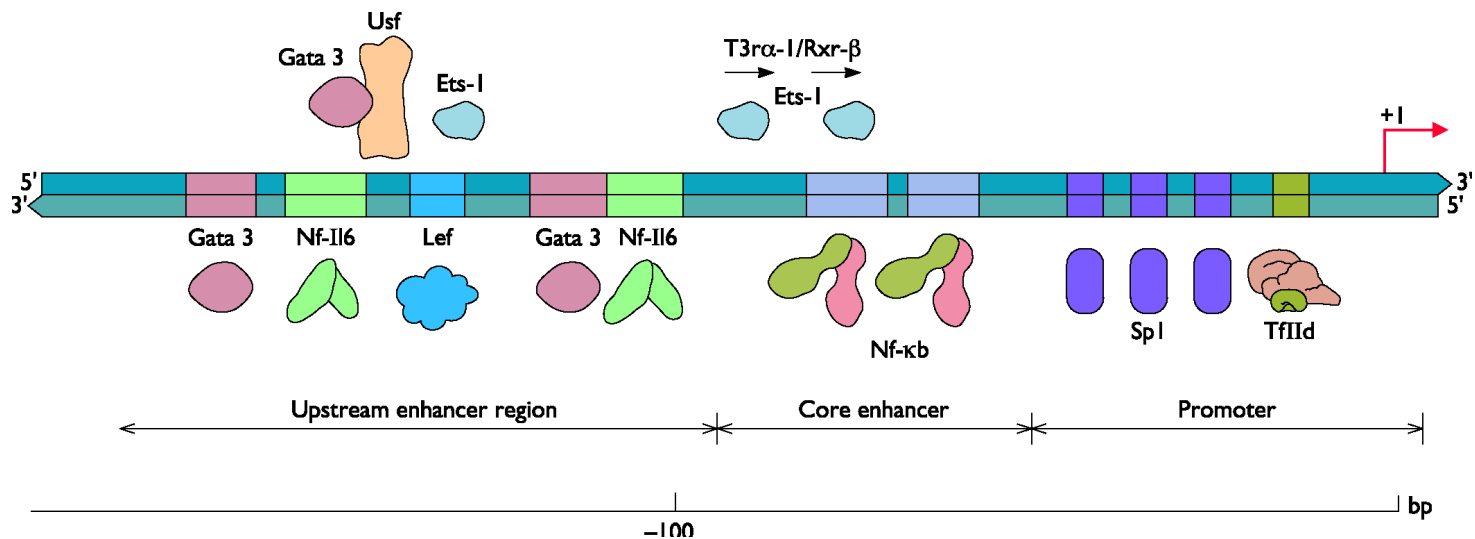
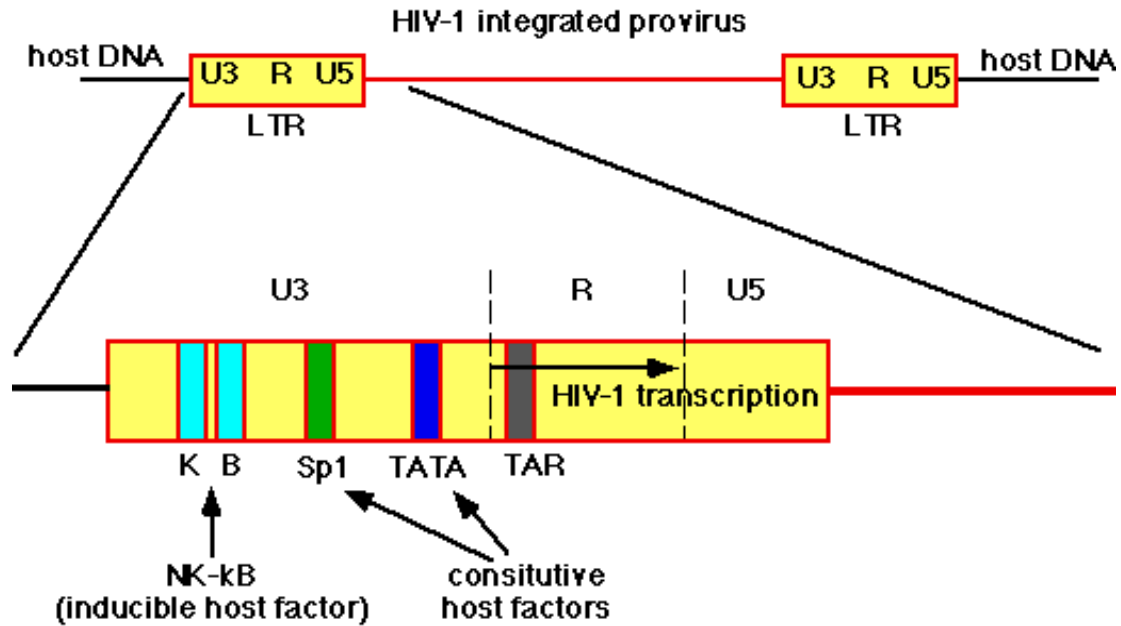


Macrophage-tropic strain of HIV-1

# HIV attachment and entry into cells

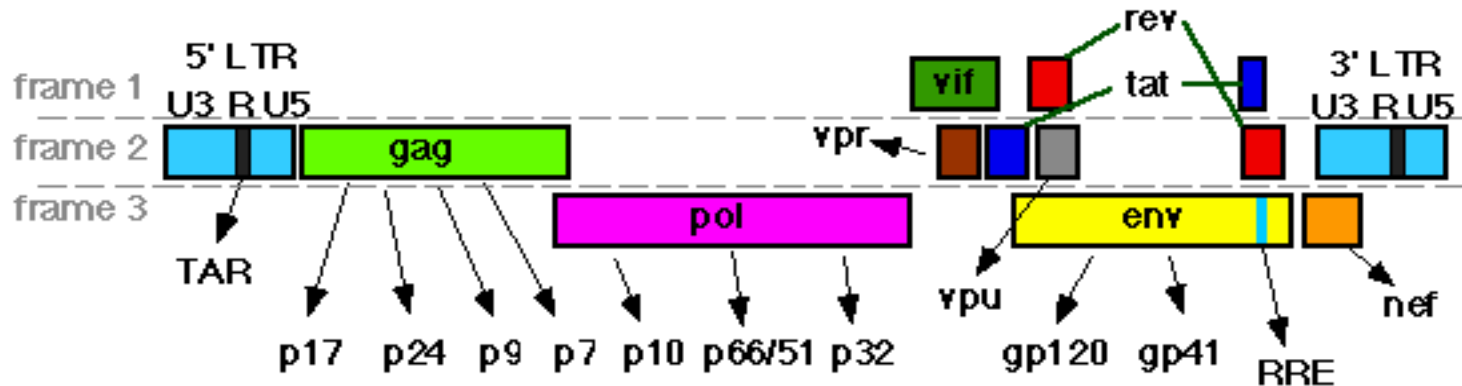


# HIV-1 LTR





# HIV gene expression



## gag:

p17 - myristylated capsid protein  
 p24 - major capsid protein  
 p9 - RNA-binding nucleocapsid protein  
 p7 - RNA-binding nucleocapsid protein

## pol:

p10 - protease  
 p66/51 - reverse transcriptase  
 (heterodimer)  
 p32 - integrase

## env:

gp120 - envelope glycoprotein (external)  
 gp41 - envelope glycoprotein (transmembrane)

## regulatory proteins:

tat: transactivating protein  
 (transcription)  
 rev: regulator of viral protein  
 synthesis  
 nef: "negative factor"

## accessory proteins:

vif - virion infectivity factor  
 vpr - viral protein R  
 (transactivator?)  
 vpu - viral protein U  
 (virion release)

## RNA sequence regions:

LTR - long terminal repeat  
 U3 - unique 3' region  
 R - terminal redundancy  
 U5 - unique 5' region  
 TAR - tat responsiveness  
 RRE - rev response element

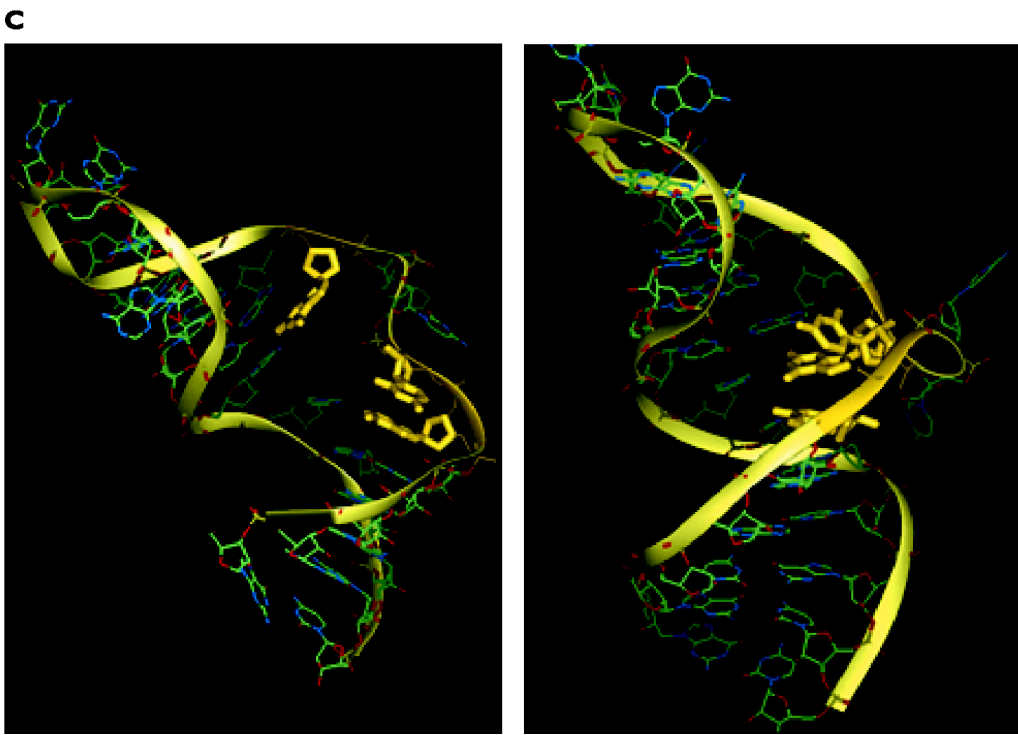
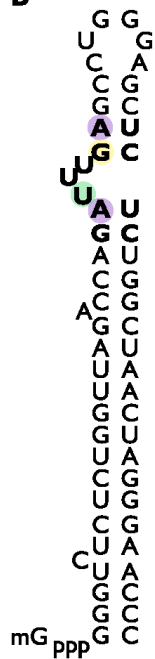
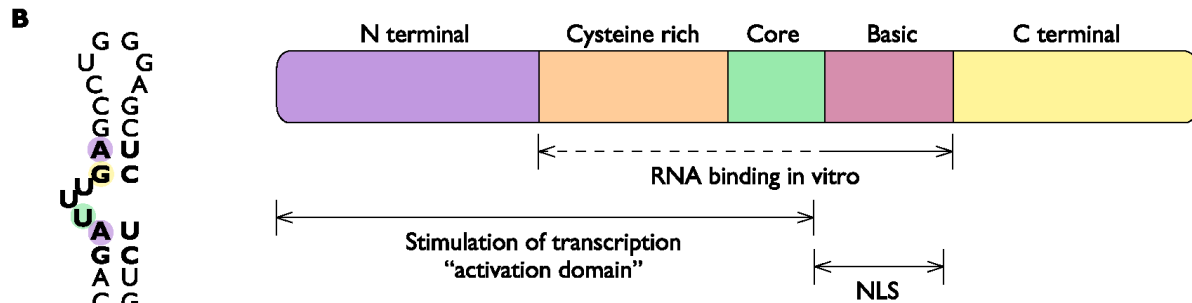
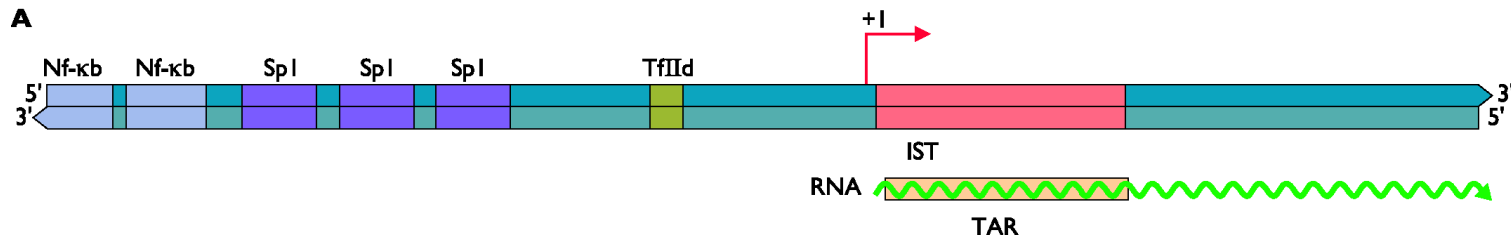
# HIV auxiliary proteins

Protein <sup>b</sup>	Size (kDa)	Function	Location
<b>Regulatory</b>			
Tat	14	Transactivation; binds TAR to facilitate initiation and elongation of viral transcription	Primarily in cell nucleus
Rev	19	Regulation of viral mRNA expression; binds RRE and facilitates nuclear export of unspliced or singly spliced RNAs	Primarily in cell nucleus
<b>Accessory</b>			
Nef	27	Pleiotropic, can increase or decrease virus replication; down-regulates MHC-I and the CD4 receptor; influences T-cell activation; enhances virion infectivity	Cell cytoplasm, plasma membrane
Vif	23	Increases virus infectivity; helps in virion assembly and in viral DNA synthesis	Cell cytoplasm
Vpr	15	Helps in virus replication; causes G <sub>2</sub> arrest; facilitates nuclear entry of preintegration complex	Virion
Vpu <sup>c</sup>	16	Helps in virus release; disrupts Env-CD4 complexes; causes CD4 degradation	Integral cell membrane protein
Vpx <sup>d</sup>	15	Nuclear entry of preintegration complexes	Virion

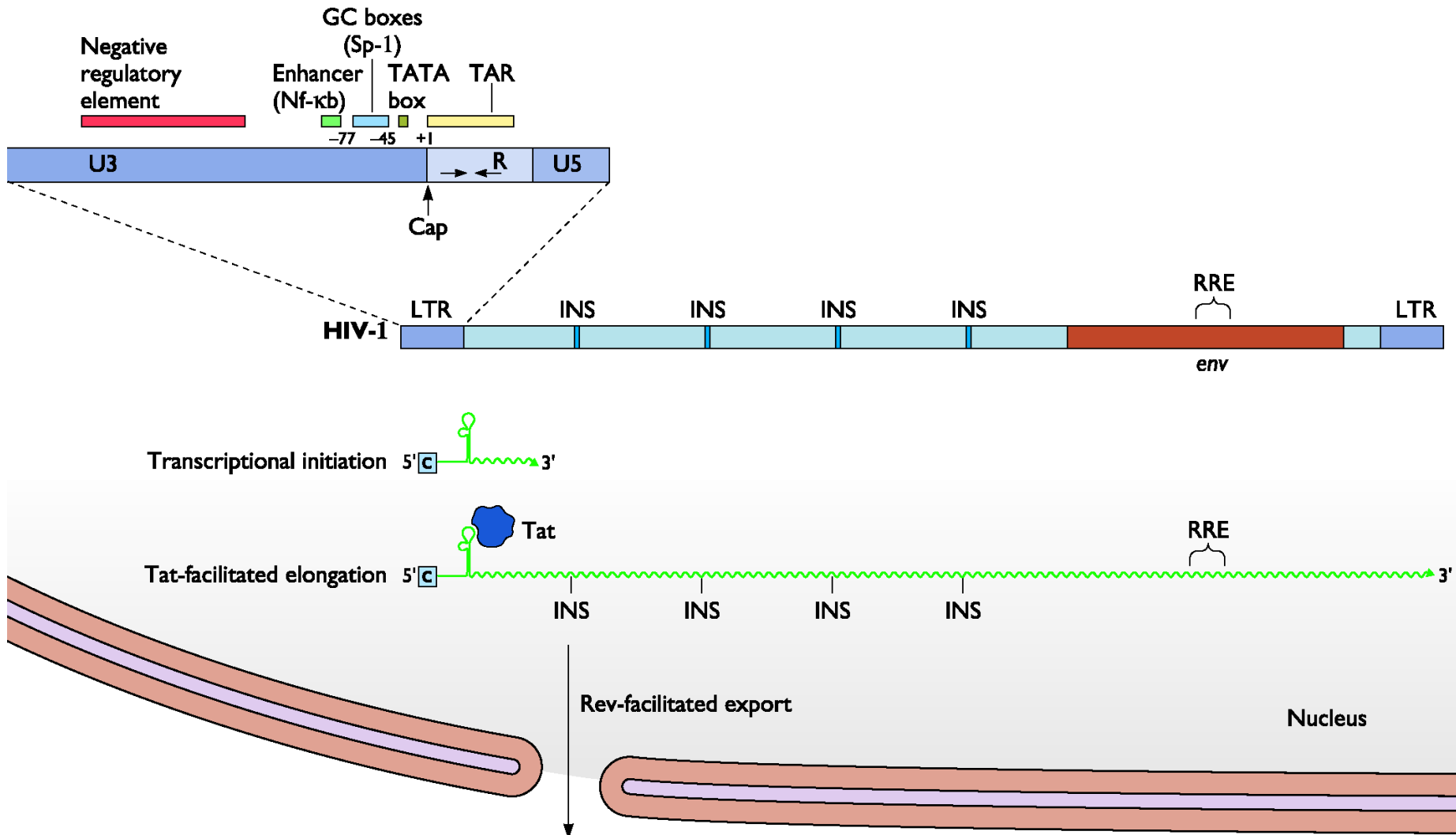
# HIV Tat: features and functions

- 14 kDa protein encoded by a multisplliced mRNA
- Binds the TAR element and stabilizes mRNA conformation
- Binds cyclin T1+CDK9 and stimulates the kinase activity of TFIIH
- Stimulates phosphorylation of RNA pol II CTD and increase its processivity
- Allows the transcription of long mRNA (e.g., gag-pol full length mRNA)
- Stimulates production of viral RNA as much as 100 fold

# HIV TRANSCRIPTION - Tat and TAR

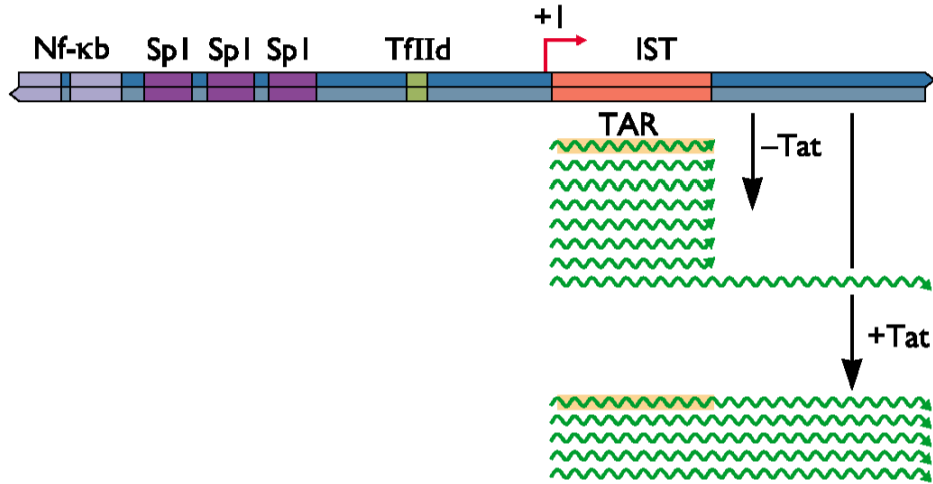


# HIV TRANSCRIPTION - Tat and TAR

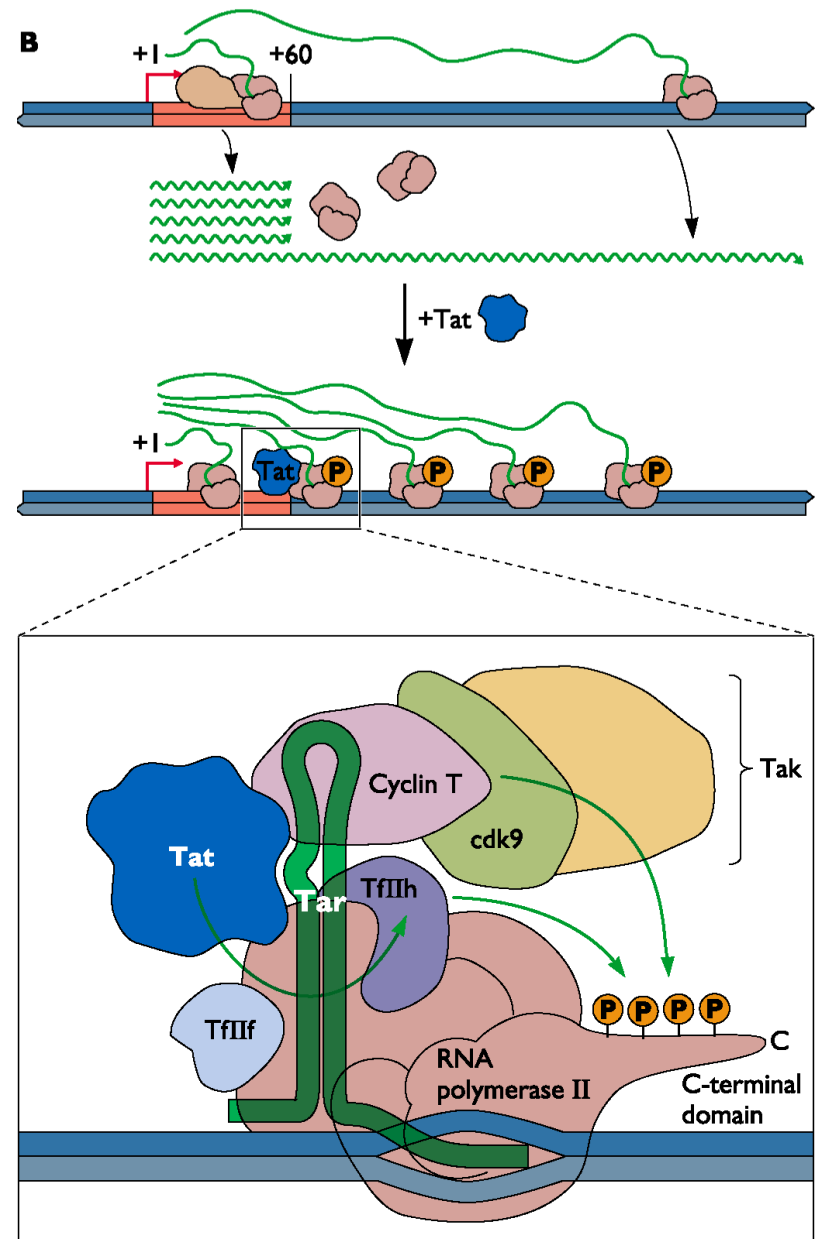


# HIV TRANSCRIPTION

## Tat and TAR



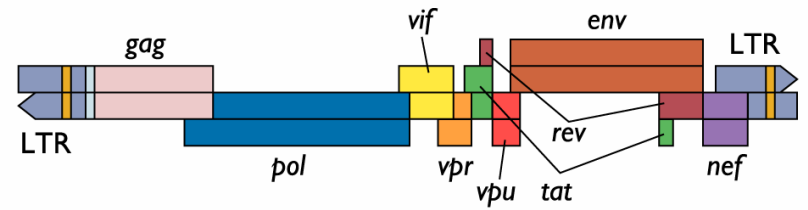
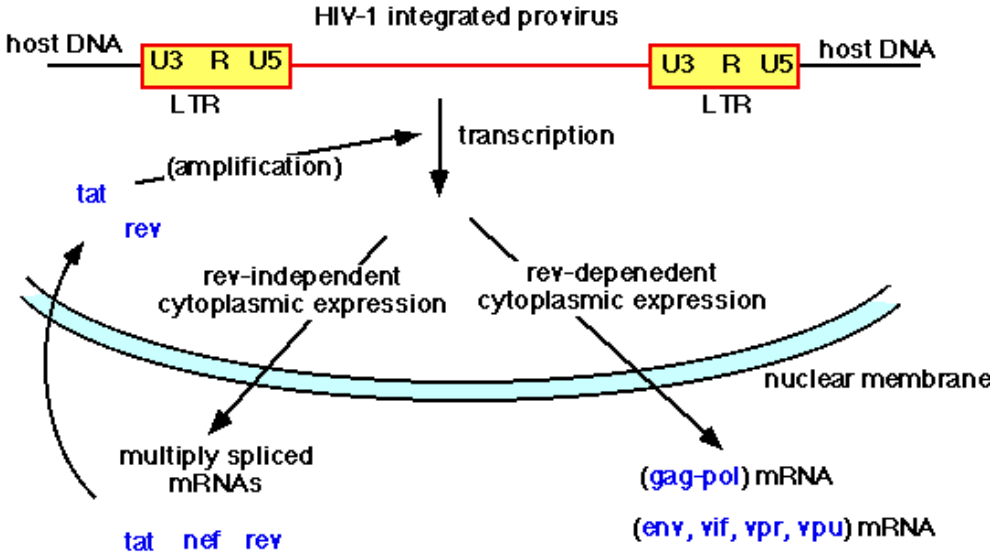
HIV-1 transcription in the presence or absence of Tat



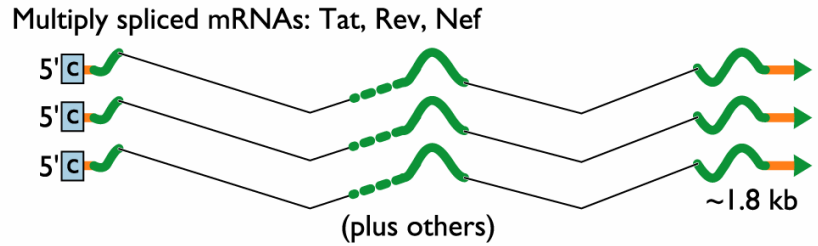
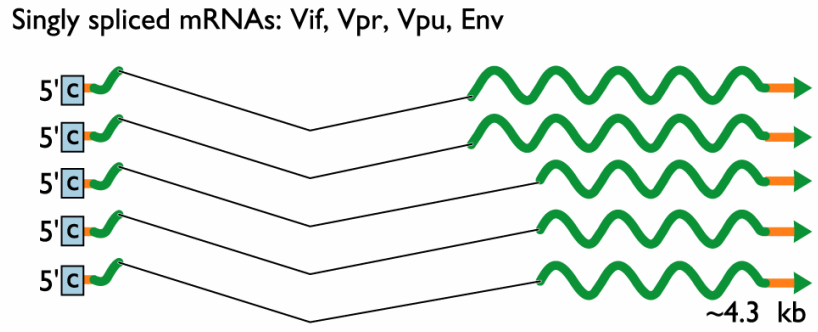
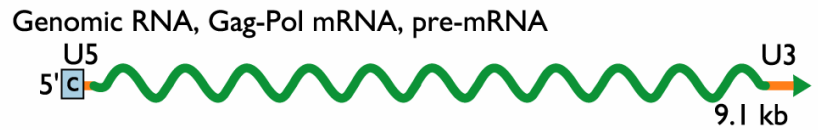
Stimulation of transcription of HIV-1 proviral DNA by Tat

# HIV TRANSCRIPTION

## mRNA splicing



### Genome expression

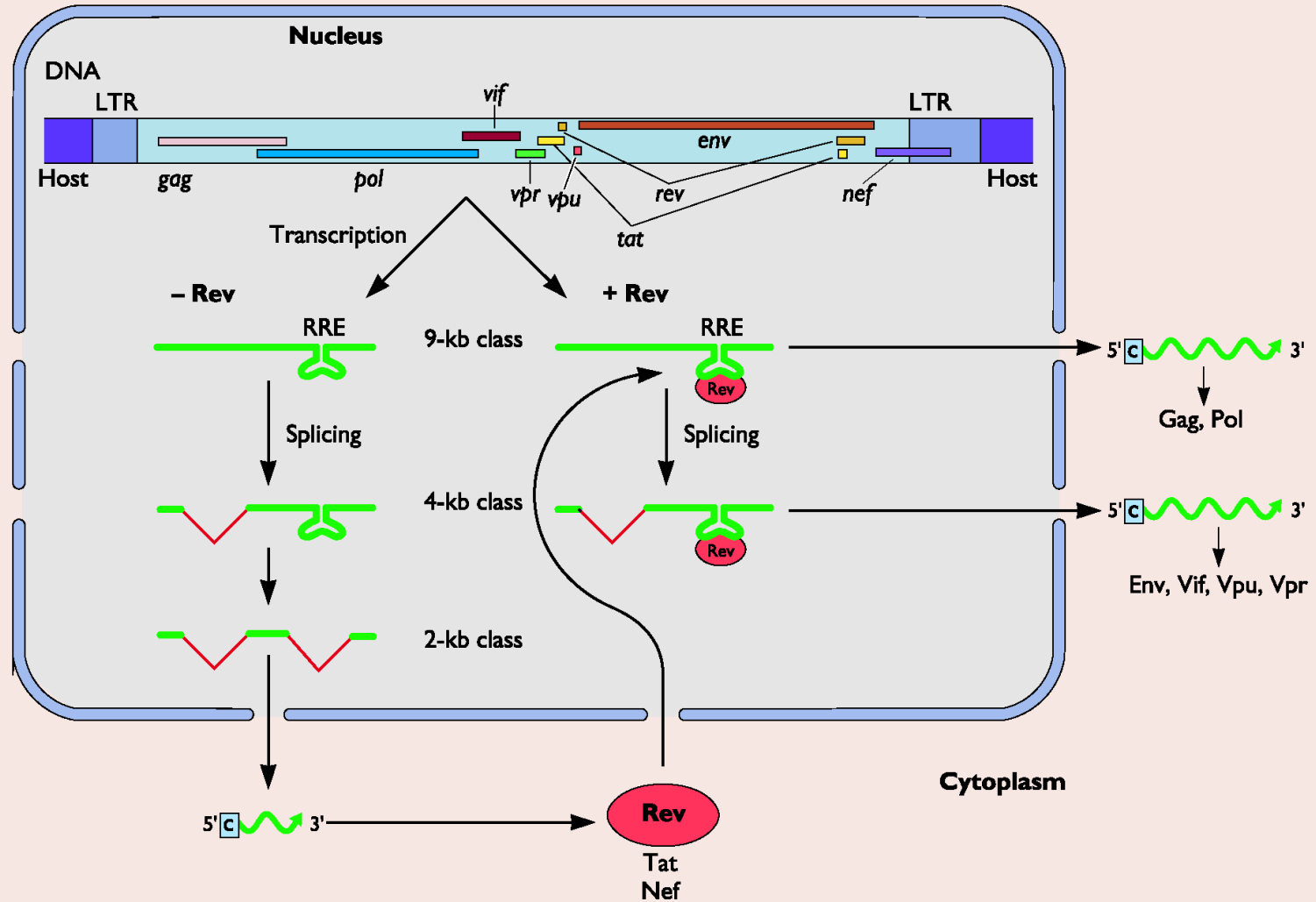


# HIV Rev: features and functions

- 19 kDa protein encoded by a multispliced mRNA
- Binds the RRE element of HIV mRNAs
- Allows export to cytoplasm of RRE-containing mRNAs from which virion structural proteins and enzymes are made. It interacts with exportin-1 and Ran-GTP
- Allows expression of proteins encoded by unspliced mRNAs (gag-pol) or single-spliced (Vif, Vpr, Vpu, Env)
- Determines a shift in HIV gene expression (regulatory protein -----> structural proteins)
- Absent in simple Retroviruses in which full-length mRNAs contain a constitutive export sequences

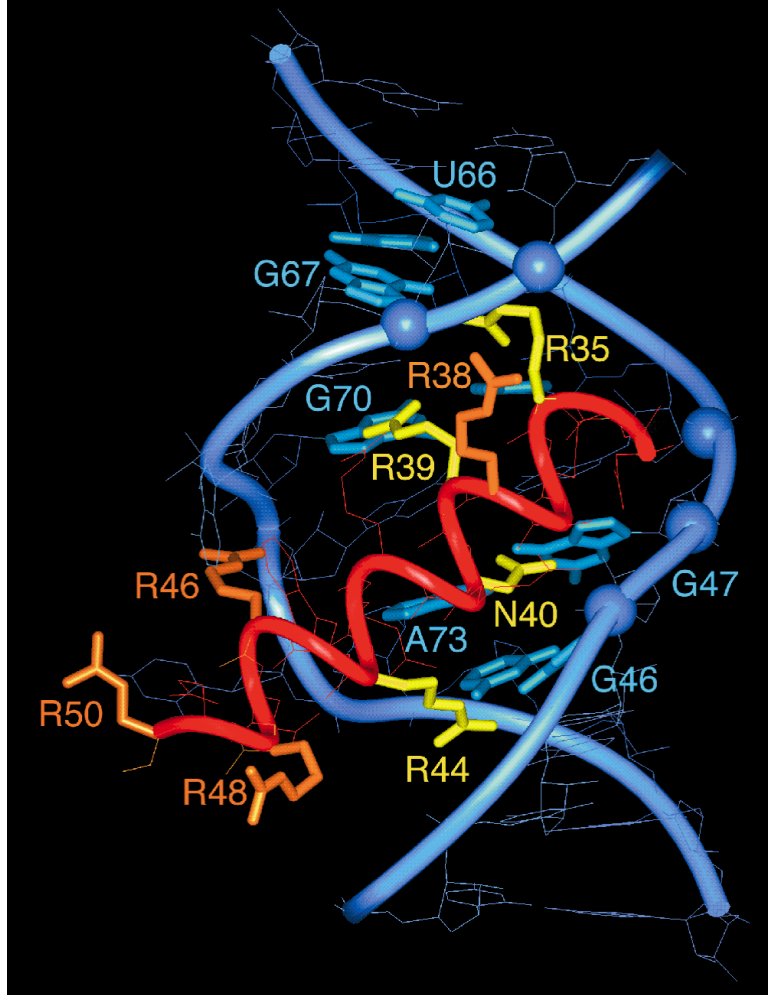
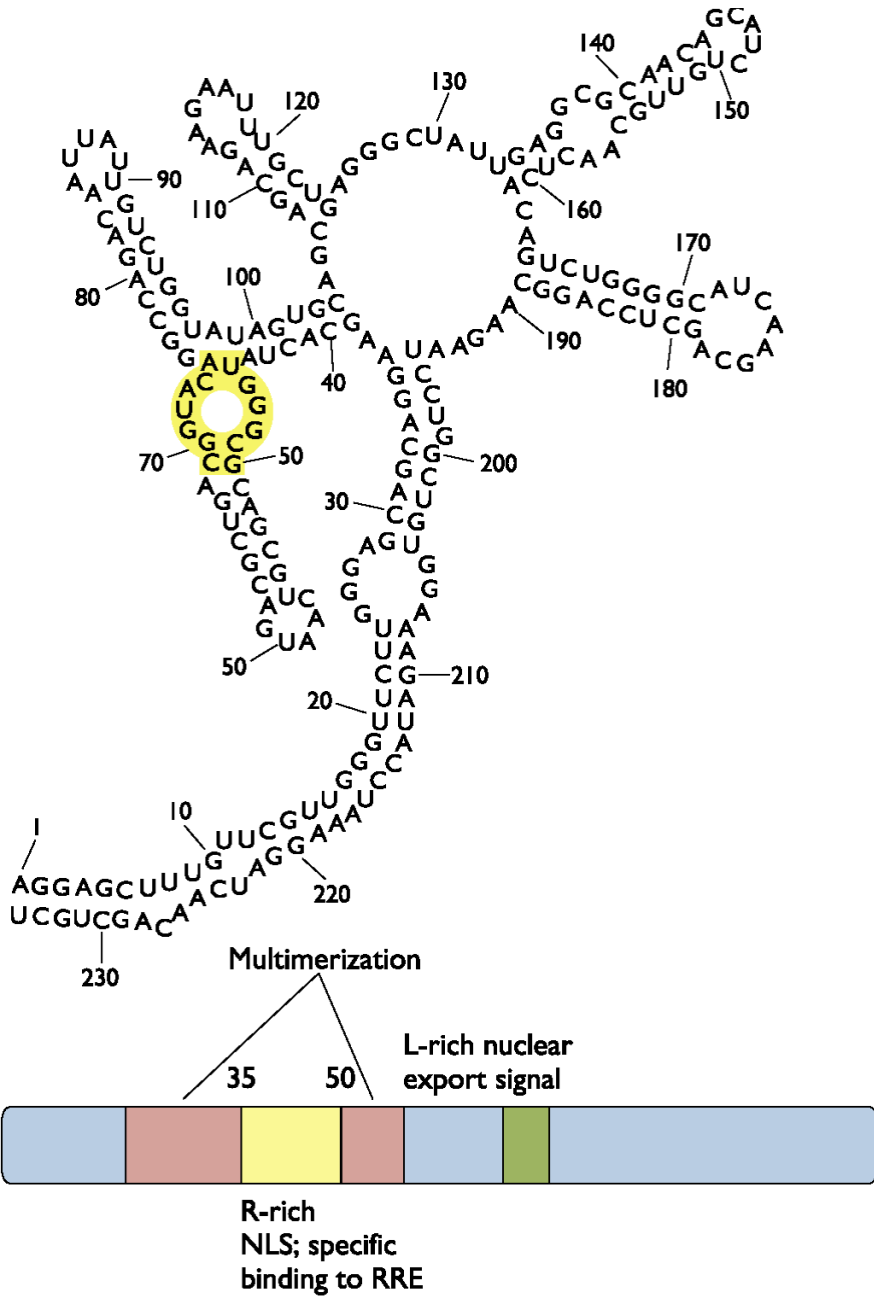


# Regulation of export of HIV-1 mRNAs by the viral Rev protein

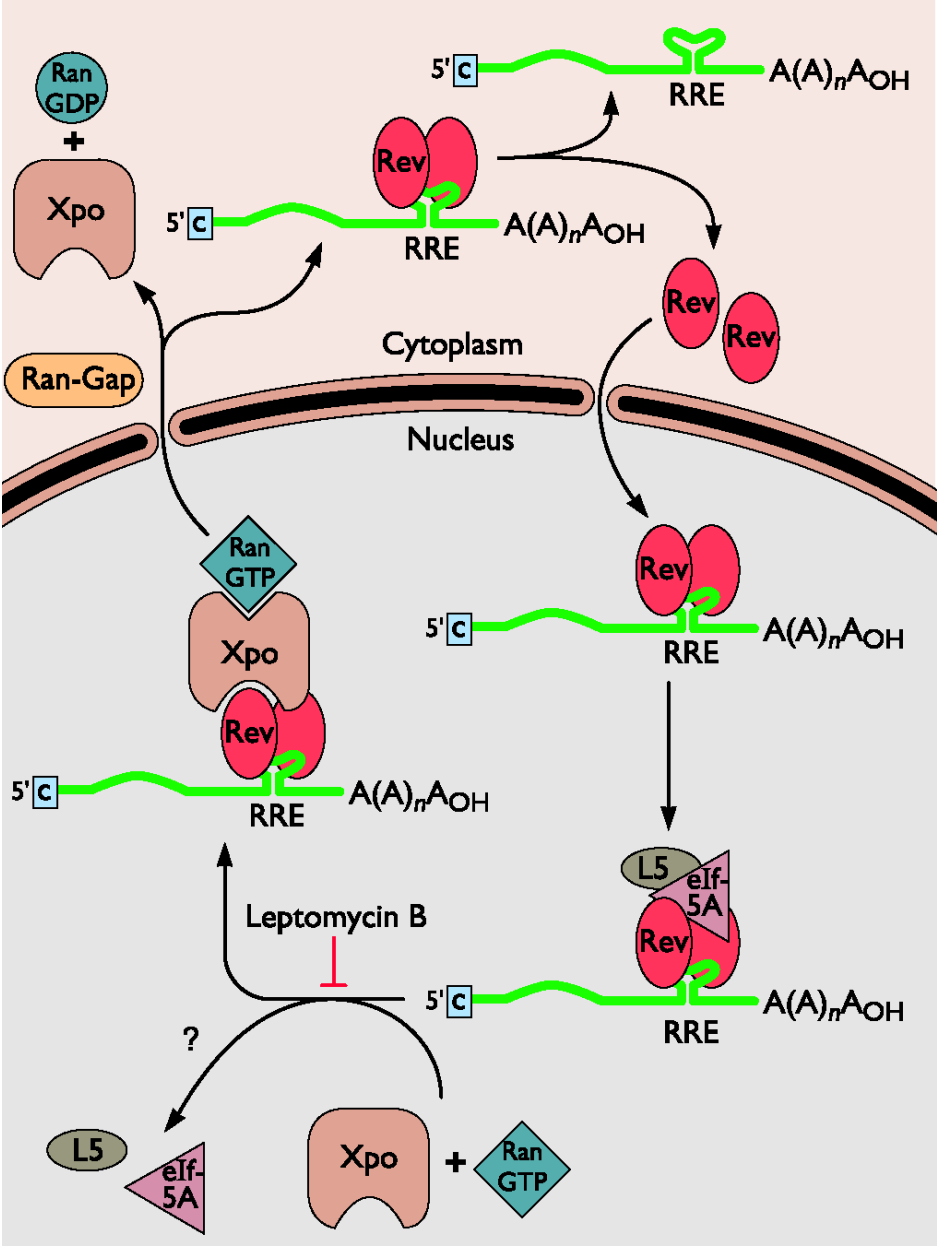


Prior to Rev synthesis only fully spliced mRNA (2-kb class) are exported in the cytoplasm. When Rev is made it enters the nucleus and binds the RRE in unspliced (9-kb class) and singly-spliced (4-kb class) viral mRNAs. This interaction induces export to cytoplasm of RRE-containing mRNAs, from which virion structural proteins and enzymes are made.

# Binding of Rev protein to the rev-responsive element (RRE)

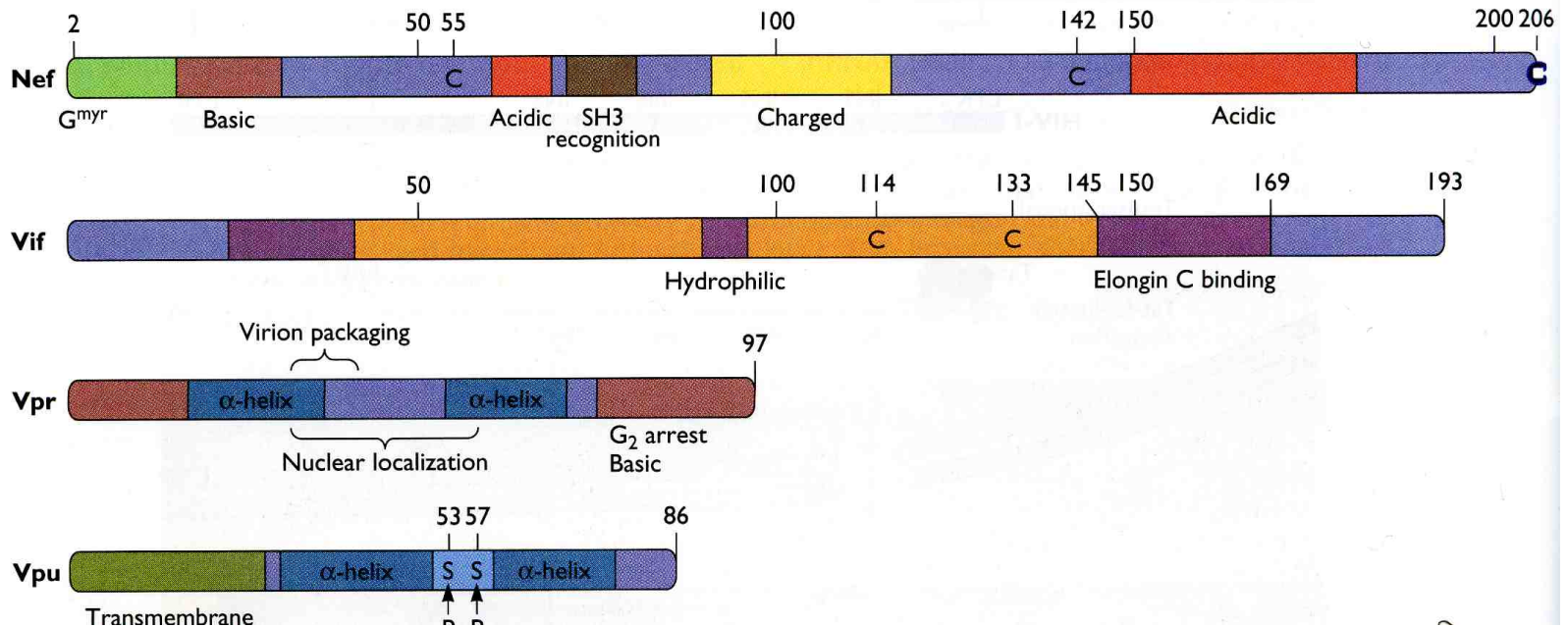


# Model of export HIV-1 mRNAs containing introns by the viral Rev protein



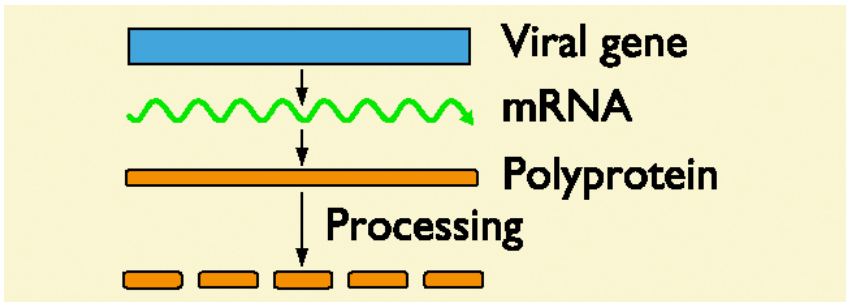
# HIV auxiliary proteins

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<b>Accessory</b>			
Nef	27	Pleiotropic, can increase or decrease virus replication; reduces expression of MHC class I and the CD4 receptor; affects T-cell activation; enhances virion infectivity	Cell cytoplasm, plasma membrane
Vif	23	Increases virus infectivity; affects virion assembly and/or viral DNA synthesis	Cell cytoplasm
Vpr	15	Causes G <sub>2</sub> arrest; facilitates nuclear entry of preintegration complex	Virion
Vpu <sup>c</sup>	16	Affects virus release; disrupts Env-CD4 complexes; CD4 degradation	Integral cell membrane protein
Vpx <sup>d</sup>	15	Nuclear entry of preintegration complexes	Virion



# Translation strategies of HIV

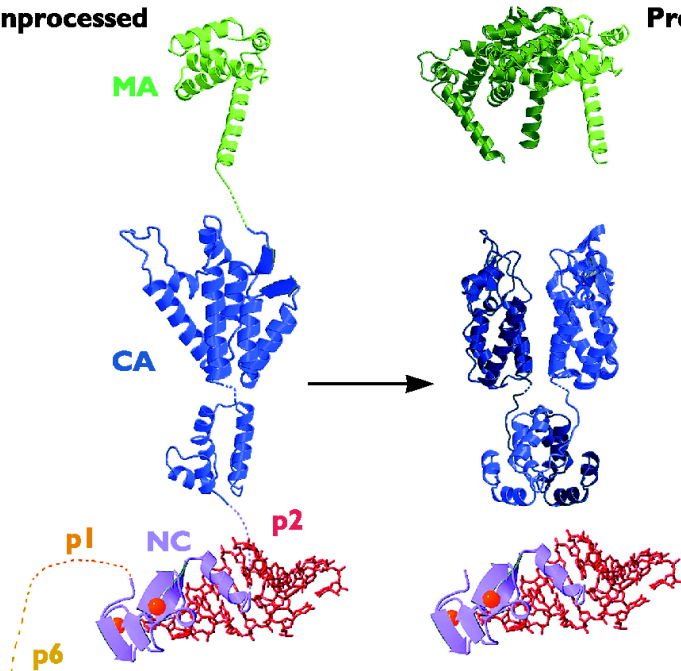
## The synthesis of multiple proteins



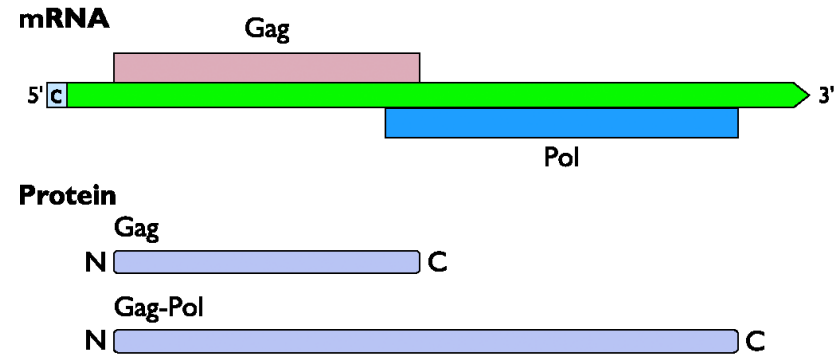
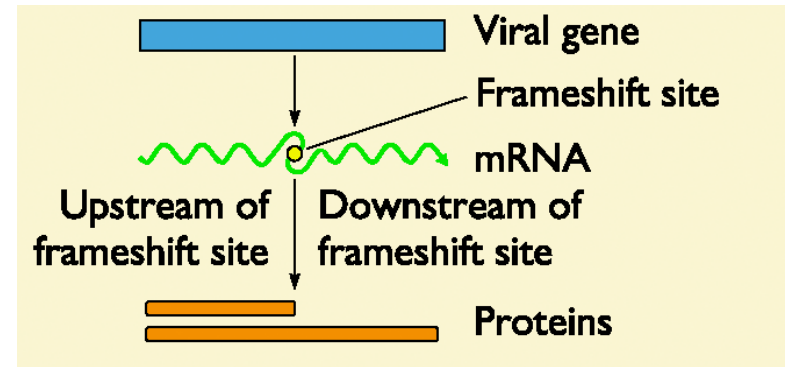
Plasma membrane

Unprocessed

Processed

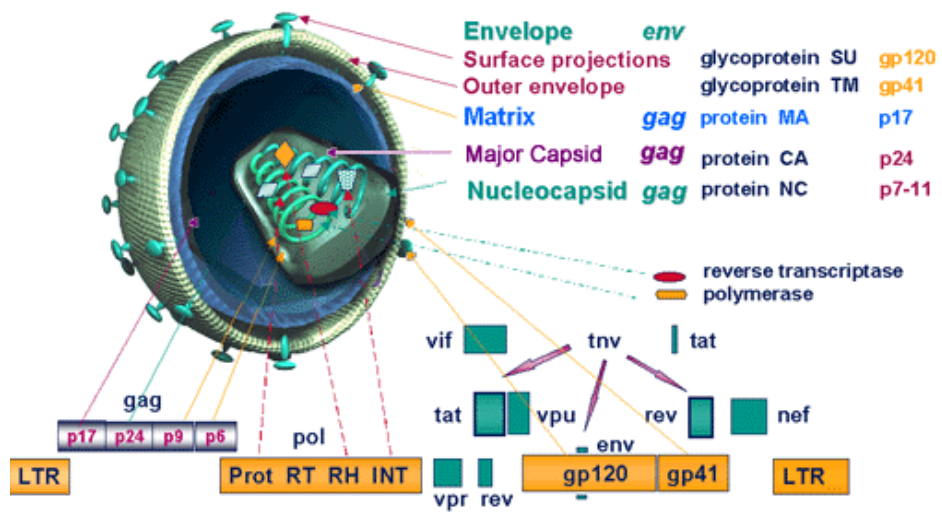
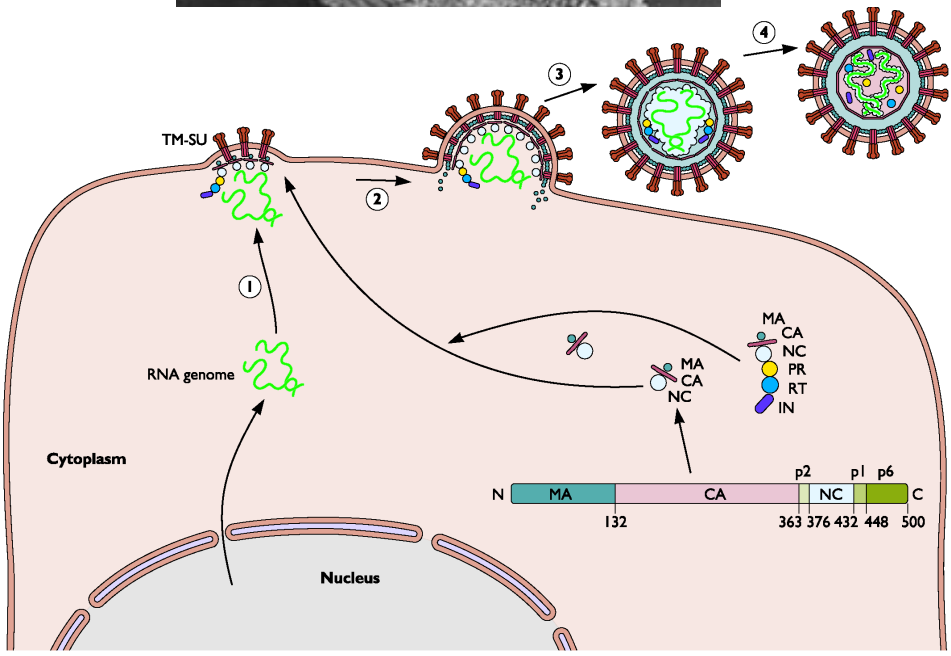
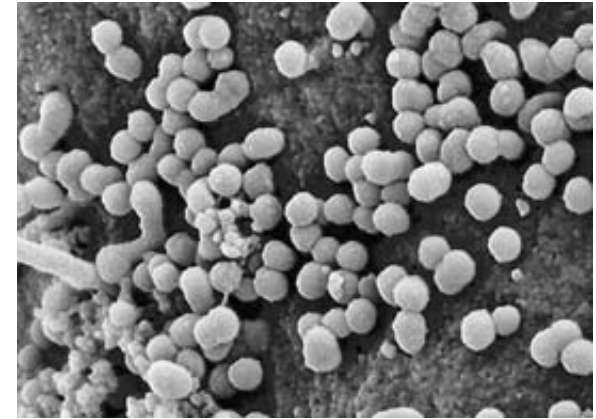
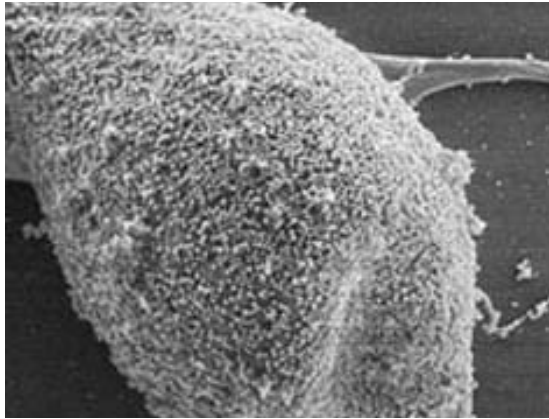


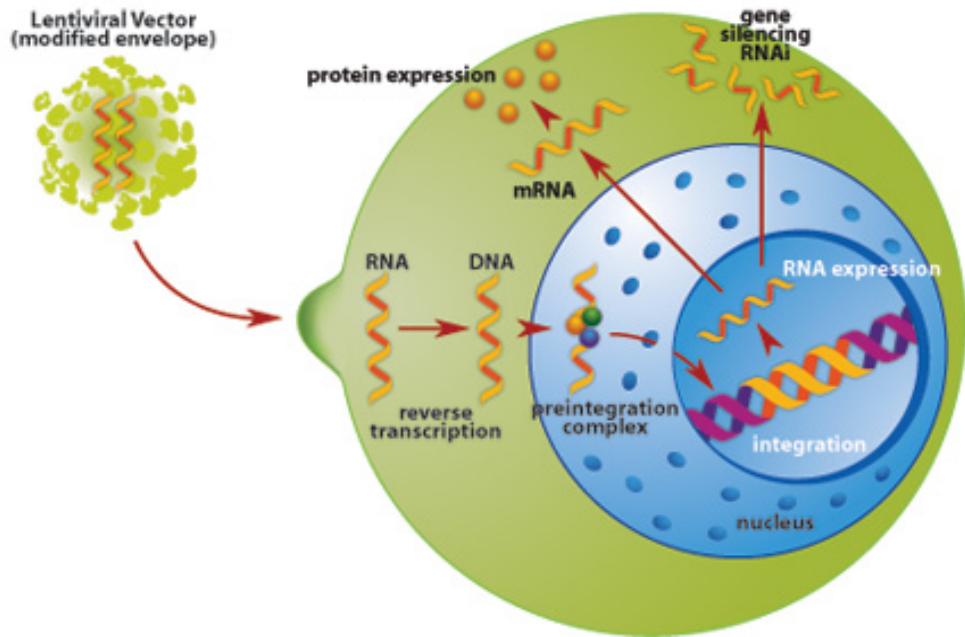
**Polyprotein synthesis:** the Gag polyprotein



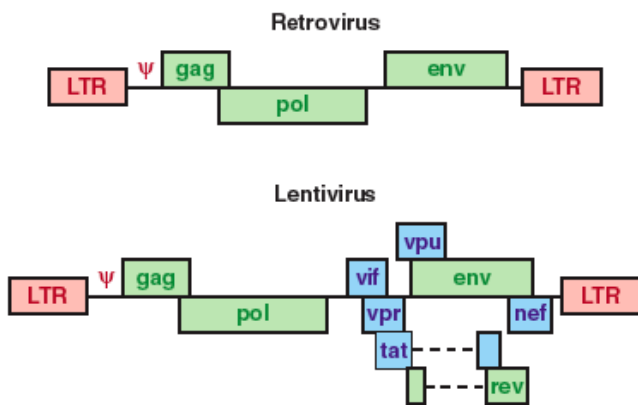
**Ribosomal frameshifting:** the Gag-Pol fusion

# HIV egress by budding at the plasma membrane





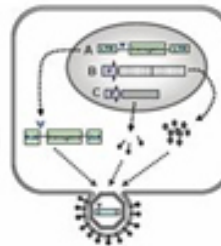
# Lentiviral vectors



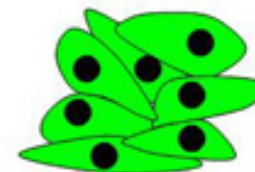
**Lentivector Design**



**Virus Particle Production**



**Ex vivo Gene transfer**  
Cell lines and Primary cells



**In vivo Gene transfer**



# Favorable Features of Lentivirus Vectors

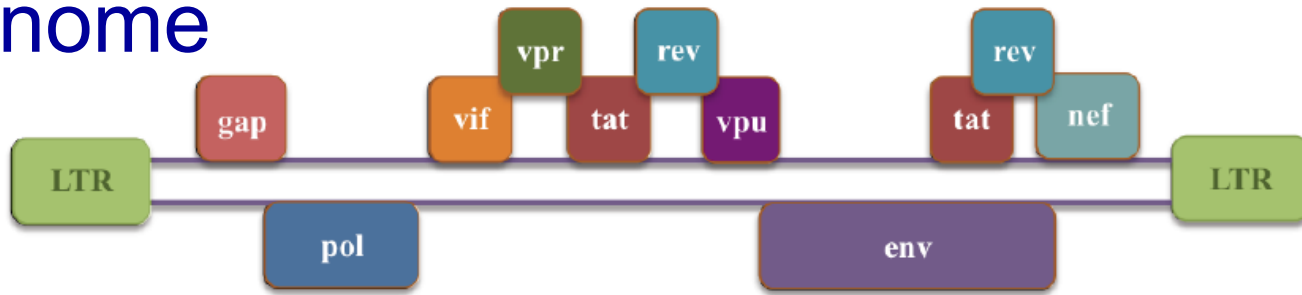
- HIV-1 integrates its DNA and completes a replication cycle in fully differentiated, non dividing cells (macrophages).
- So, this virus must have a mechanism for the active transport of preintegration complexes into the nucleus.
- Vpr and a minor, phosphorylated, form of the matrix (MA/p17) protein direct nuclear import of the HIV-1 preintegration complex.
- Nuclear localization signals have been found in the IN protein of HIV-1



# Retrovirus and Lentivirus vectors

Viral System	Transient expression		Stable expression			
	Dividing Cells	Non Dividing Cells	Dividing Cells	Neuronal Cells	Drug or Growth Arrested Cells	Contact Inhibited Cells
Adenovirus	•	•				
Retrovirus	•		•			
Lentivirus	•	•	•	•	•	•

# HIV genome



	GENE	PRECURSOR PROTEINS → PRODUCTS
<b>Essential Genes &amp; Regulatory Elements</b>	<b>gag</b>	Group-specific antigen gag → MA, CA, SP1, NC, SP2, P6
	<b>pol</b>	Polymerase pol → RT, RNase H, IN, PR
	<b>env</b>	Envelope gp160 → gp120, gp41
	<b>tat</b>	HIV Transactivator Positive regulator of transcription
	<b>rev</b>	Regulator of expression of virion proteins Important for synthesis of major viral proteins and essential for viral replication
<b>Accessory Genes</b>	<b>vif</b>	Viral infectivity Required for infectivity in some cell types
	<b>vpr</b>	Virus protein R Nuclear import of pre-integration complex and host cell cycle arrest
	<b>vpu</b>	Virus protein U Proteasomal degradation of CD44 and release of virions from infected cells
	<b>nef</b>	Negative factor Role in apoptosis and key in increasing virus infectivity

# Lentiviral Vector Construction

Lentiviruses have high mutation and recombination rates, so the likelihood that HIV could self-replicate and be produced during vector manufacturing by recombination is a serious safety concern. To reduce that probability:

Essential genes are separated into different plasmids, and the four viral accessory genes (*vif*, *vpr*, *vpu* and *nef*) are deleted.

Thus, multiple recombination events would be necessary to reconstitute a replicationcompetent lentivirus (RCL).

Several components are essential to generate a lentiviral vector, including:

1. A lentiviral construct: with LTRs and the Packaging Signal Psi ( $\Psi$ );
2. The transgene of interest: e.g., a cDNA, miRNA, or shRNA cloned into the lentiviral construct;
3. Helper plasmids: packaging and envelope plasmids;
4. A packaging cell line: the “factory” in which the viral vector production takes place. The lentiviral construct with the transgene and helper plasmids are transiently transfected into a packaging cell line such as HEK-293T cells, where they get assembled.

## Lentiviral Vector Generations Summary Table

	<b>First Generation</b>	<b>Second Generation</b>	<b>Third Generation</b>
Plasmids	<b>3</b>	<b>3</b>	<b>4</b>
Deletion in 3' LTR - SIN	<b>No</b>	<b>No</b>	<b>Yes</b>
Packaging plasmids with HIV genes	<b>1</b>	<b>1</b>	<b>2</b>
Accessory genes: vif, vpr, vpu, nef	<b>All absent</b>	<b>All absent</b>	<b>All absent</b>
tat and rev genes	<b>On a single packaging plasmid</b>	<b>On a single packaging plasmid</b>	<b>tat is absent; rev on a separate plasmid</b>
gag and pol genes	<b>Same plasmid</b>	<b>Same plasmid</b>	<b>Same plasmid</b>
Recombination events needed to generate Replication Competent Lentiviruses (RCL)*	<b>2 recombinations</b>	<b>3 recombinations</b>	<b>4 recombinations between plasmids without homology &amp; must pick a promoter to complement SIN deletion</b>

**First-generation:** includes a packaging system with all HIV genes except for the env gene (usually heterologous) that is included in another vector.



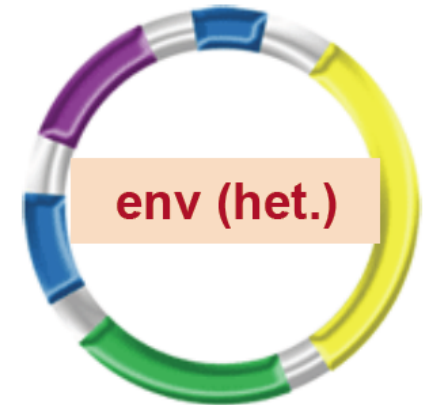
**Transfer vector**

+



**Packaging plasmid**

+



**Envelope plasmid**

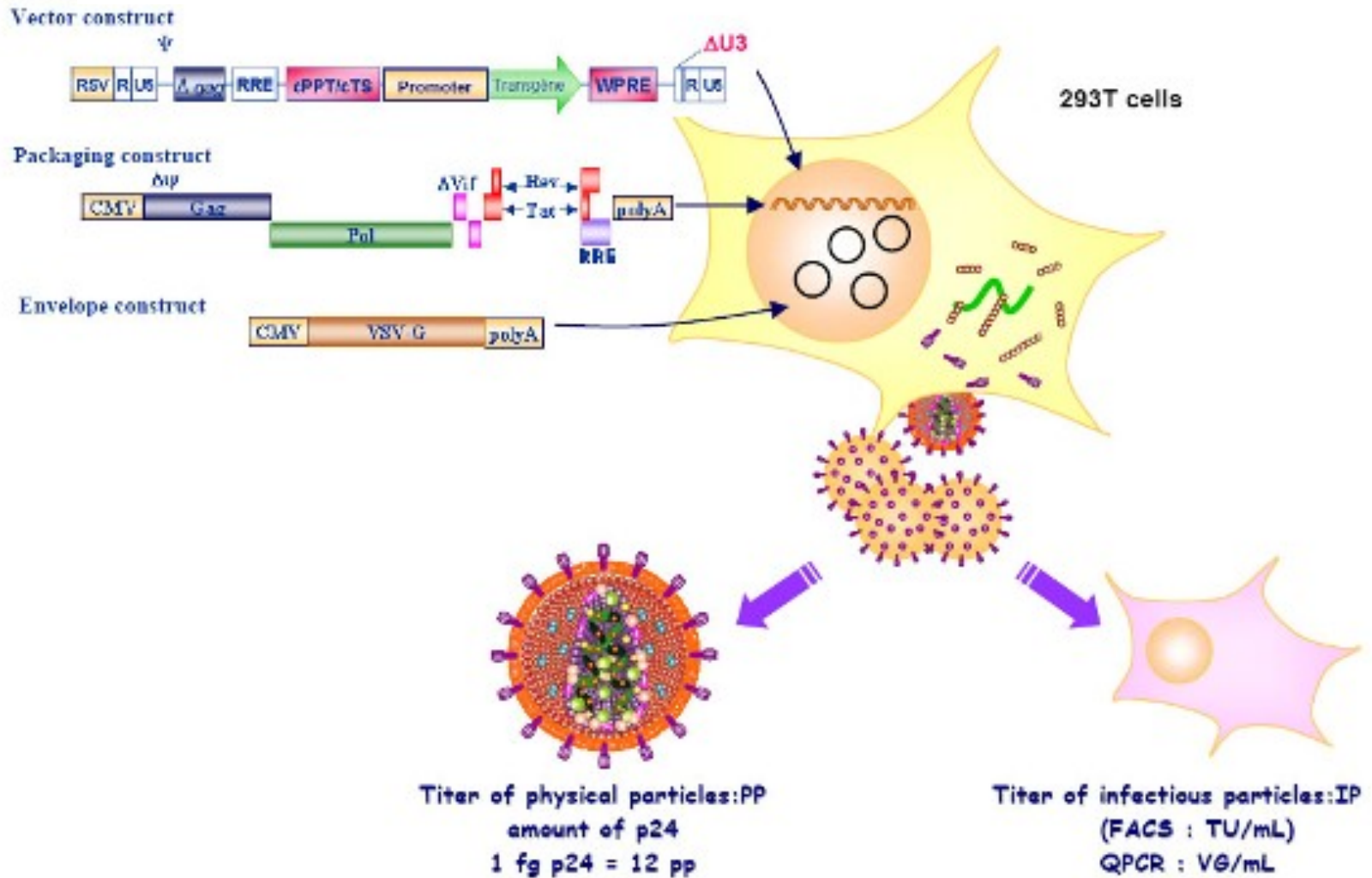
\* The risk of formation of RCLs exists not only during lentiviral vector production, but also during experiments involving materials infected with wild-type HIV. Recombination between the lentiviral vector and HIV can lead to the generation of new viruses with unknown safety consequences. For that reason, experiments involving human materials not screened for HIV pose an enhanced risk for laboratory workers.

**Second-generation:** Researchers discovered that the four HIV accessory genes - vif, vpr, vpu and nef - were not required for HIV replication in immortalized cell lines. This led to the engineering of second-generation vectors. In this system, the four accessory genes were eliminated leaving the gag and pol reading frames and the tat and rev genes. In general, lentiviral vectors with a wild-type 5' LTR need the 2<sup>nd</sup> generation packaging system because they need tat for activation

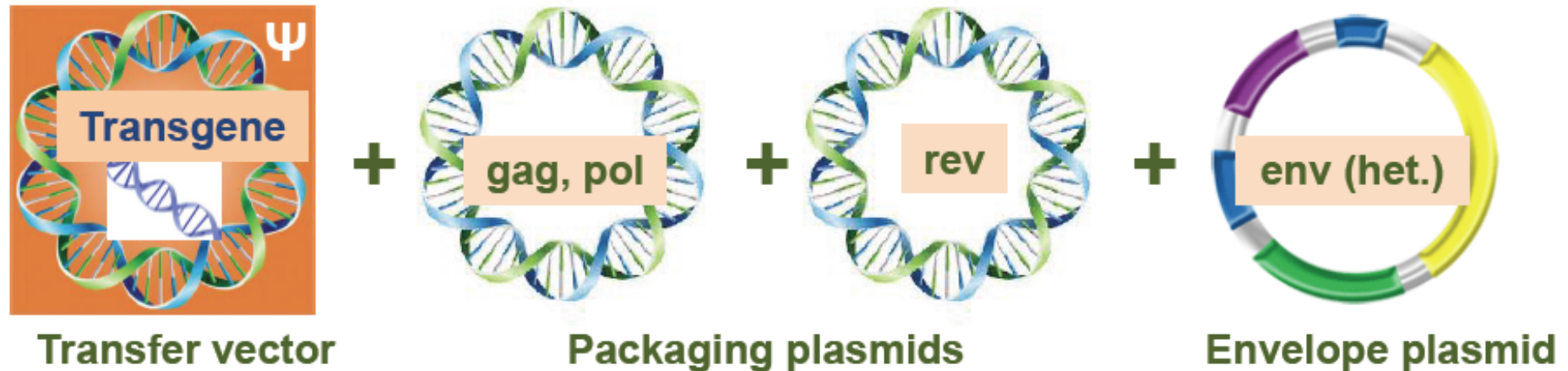


\* The risk of formation of RCLs exists not only during lentiviral vector production, but also during experiments involving materials infected with wild-type HIV. Recombination between the lentiviral vector and HIV can lead to the generation of new viruses with unknown safety consequences. For that reason, experiments involving human materials not screened for HIV pose an enhanced risk for laboratory workers.

# Production of a 2<sup>nd</sup> generation Lentiviral SIN vector



**Third-generation / Self-Inactivating (SIN):** In a third-generation vector, the 3' LTR is modified, with *tat* being eliminated and *rev* provided in a separate plasmid. Since the HIV promoter in the 5' LTR depends on *tat*, a vector without *tat* needs to have its wild-type promoter replaced with a heterologous enhancer/promoter to ensure transcription. Such promoter could be either viral (like CMV) or cellular (like EF1- $\alpha$ ).

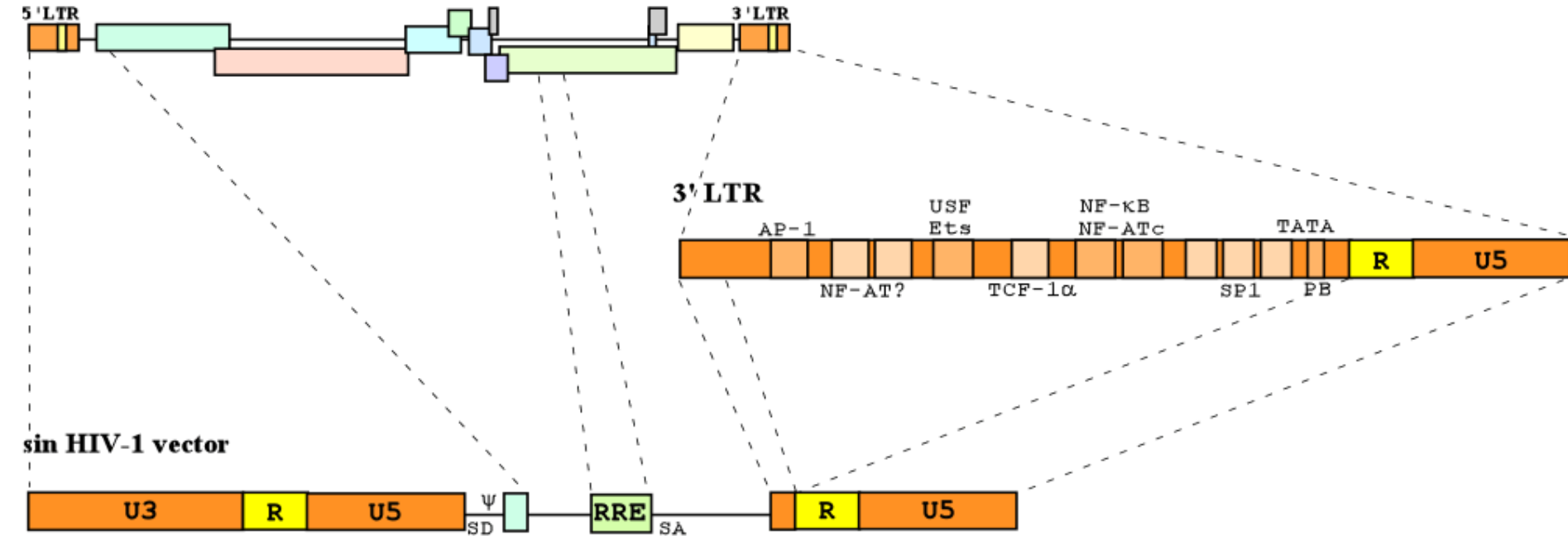


\* The risk of formation of RCLs exists not only during lentiviral vector production, but also during experiments involving materials infected with wild-type HIV. Recombination between the lentiviral vector and HIV can lead to the generation of new viruses with unknown safety consequences. For that reason, experiments involving human materials not screened for HIV pose an enhanced risk for laboratory workers.

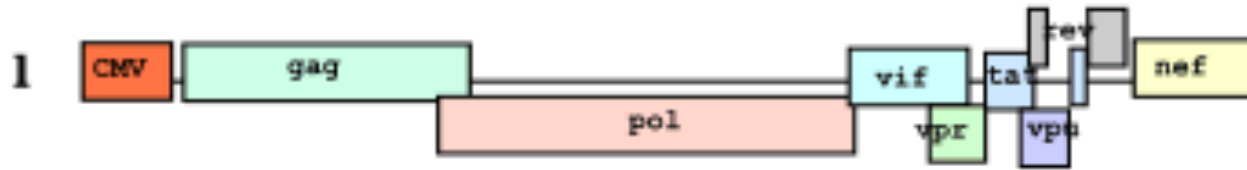


# Structure of a non-RCR (SIN) HIV-1 based vector

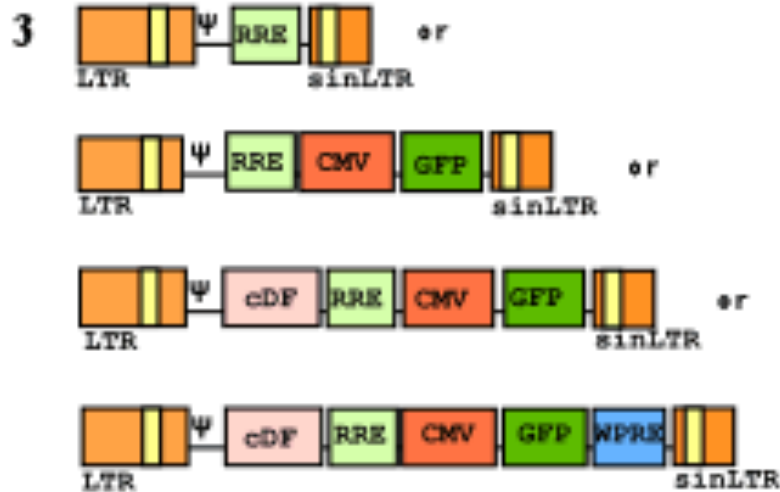
**HIV-1 genome**



# Development of self-inactivating vectors or SIN vectors

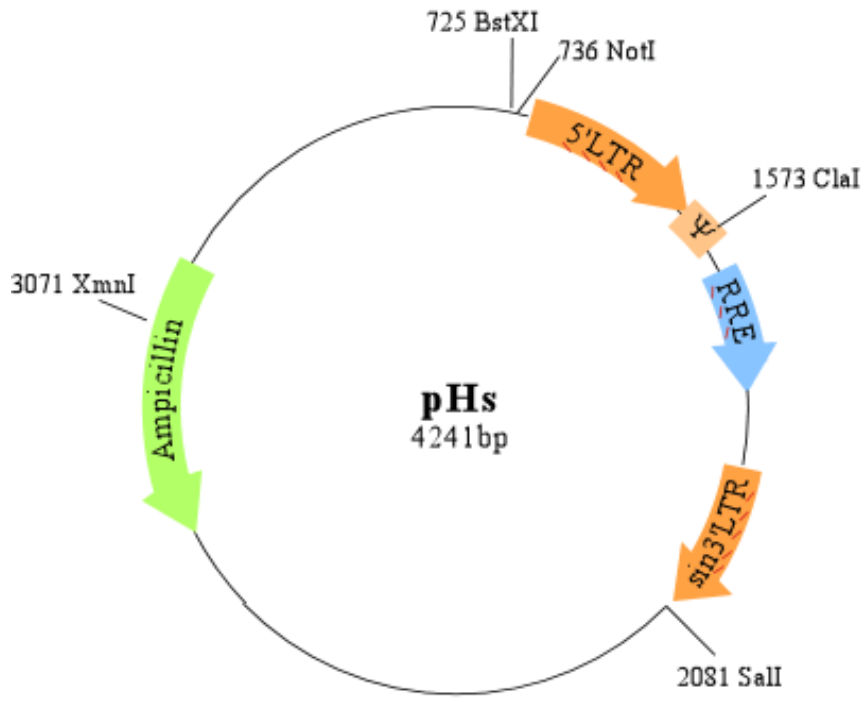


Packaging plasmids

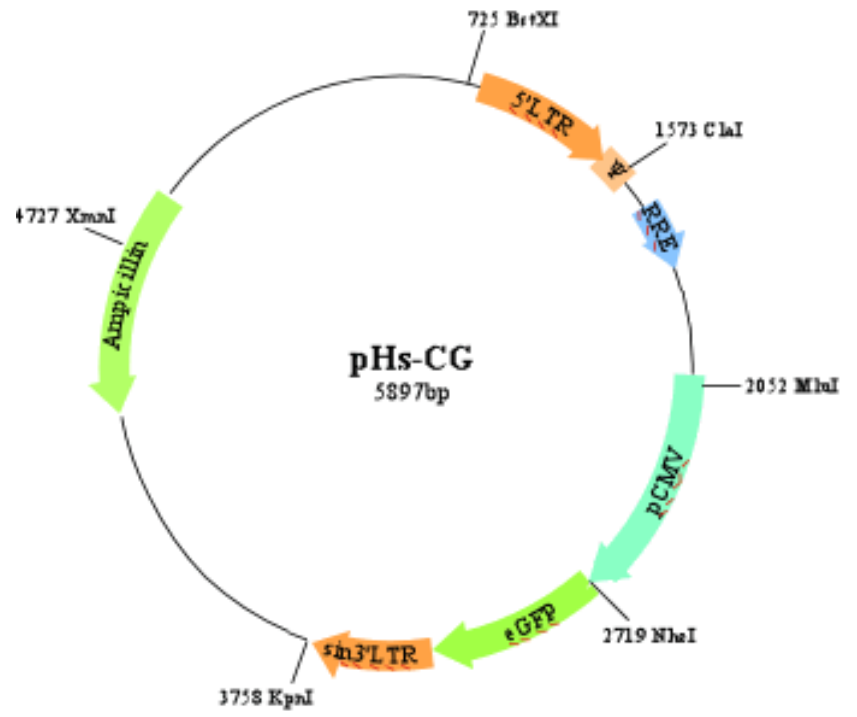


Transfer vectors

# Lentiviral SIN vectors

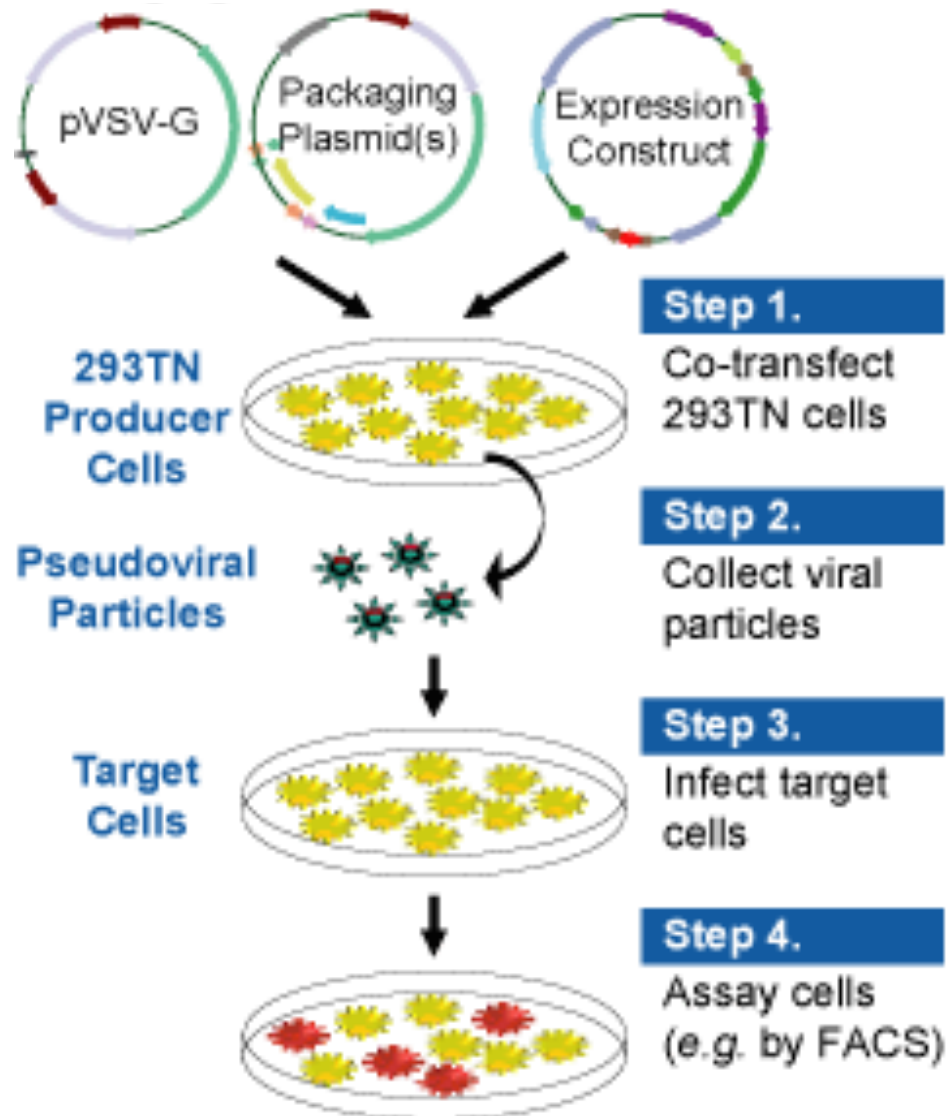


Basic HIV-1 based sin vector.

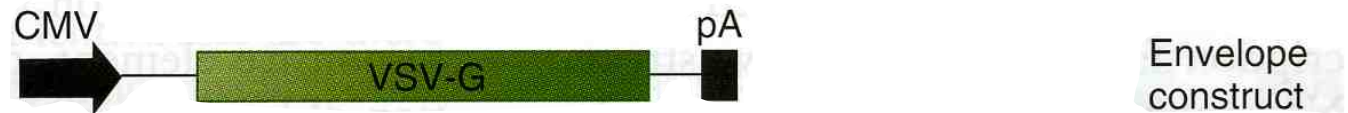
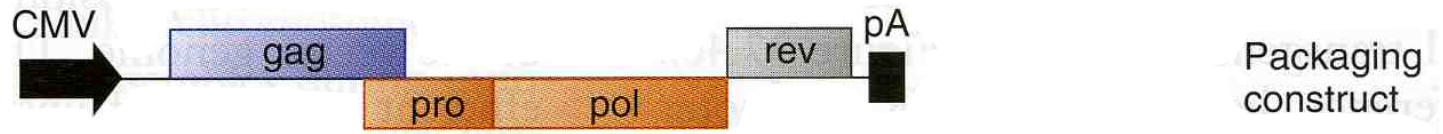


Basic HIV-1 based sin vector with the GFP marker driven by pCMV.

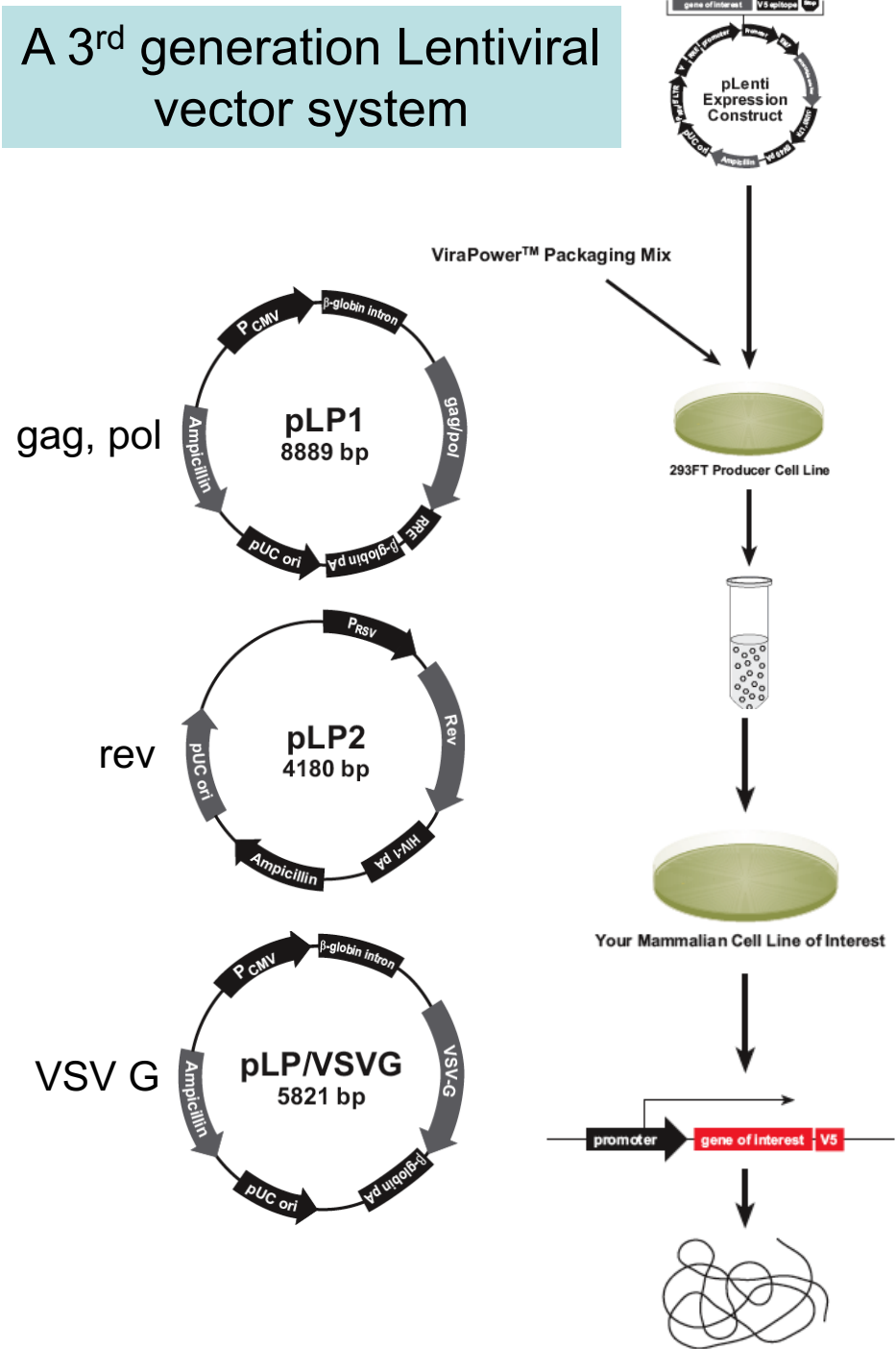
# Flow-chart production of a recombinant lentiviral vector



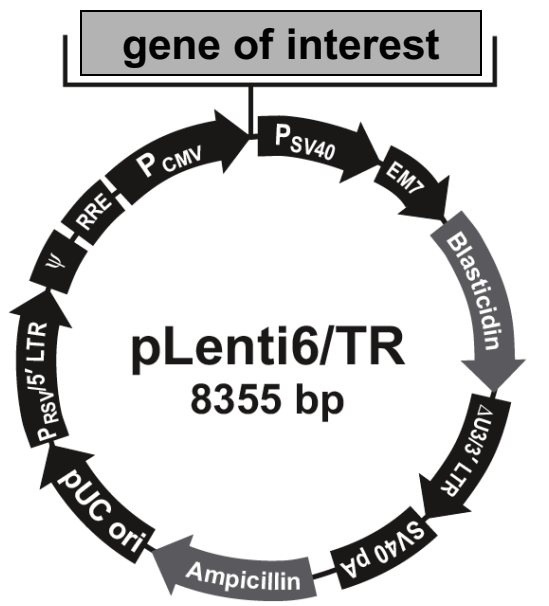
# A 3<sup>rd</sup> generation Lentiviral vector system



# A 3<sup>rd</sup> generation Lentiviral vector system



1. Generate the pLenti expression construct containing your gene of interest.
2. Cotransfect the 293FT producer cell line with your pLenti expression construct and the optimized packaging mix.
3. Harvest viral supernatant and determine the titer.
4. Add the viral supernatant to your mammalian cell line of interest. Select for stably transduced cells, if desired.
5. Assay for recombinant protein of interest.



**ViraPower™ Lentiviral Expression Systems**  
 Lentiviral systems for high-level expression in dividing and non-dividing mammalian cells

# Pros and Cons of Lentiviral Vectors

ADVANTAGES	DISADVANTAGES
Can carry large transgenes (up to 8 Kb)	Potential for generation of RCL
Efficient gene transfer	Potential for insertional mutagenesis: Even replication-incompetent lentiviruses with human tropism are able to infect human cells and integrate their genome into the host cells → risk in case of accidental exposure
Infects dividing and non-dividing cells	
No immunogenic proteins generated	
Stable integration into the host genome and stable expression of the transgene	

# Biosafety Considerations for Research with Lentiviral Vectors

## Biosafety Considerations and Risk Levels

### Biosafety Considerations

Higher Risk

Lower Risk



Vector Design

- Vector packaging functions on two plasmids
- Expression of viral genes

- Vector and packaging functions separated onto multiple plasmids
- Deletion of viral genes

Transgene

- Oncogene

- Non-oncogene

Vector Generation

- Large scale

- Laboratory scale

Animal Hosts

- Permissive host
- Animals engrafted with human cells

- Non-permissive host

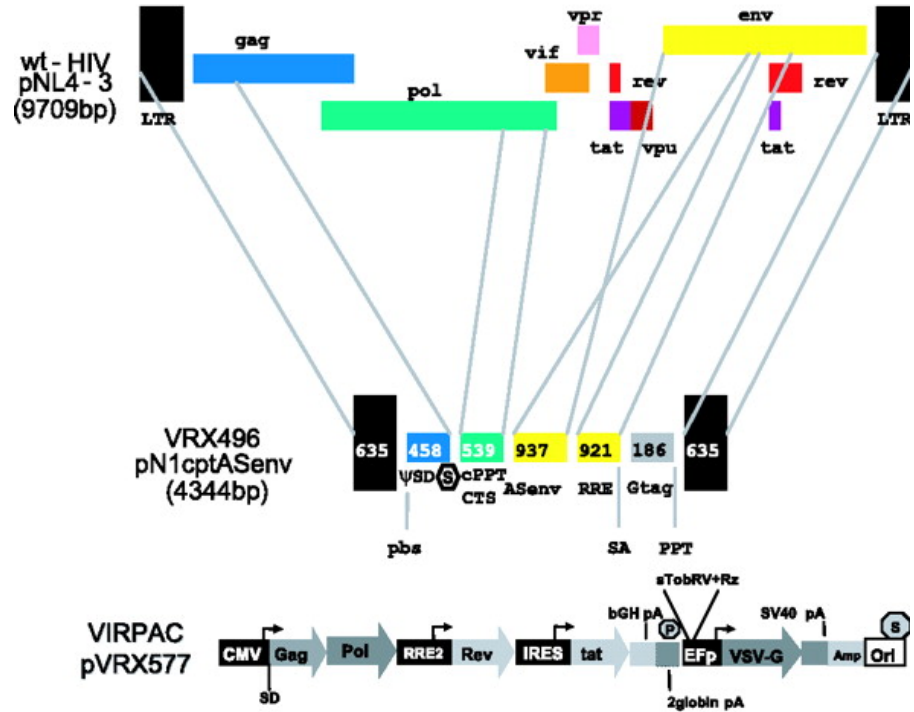
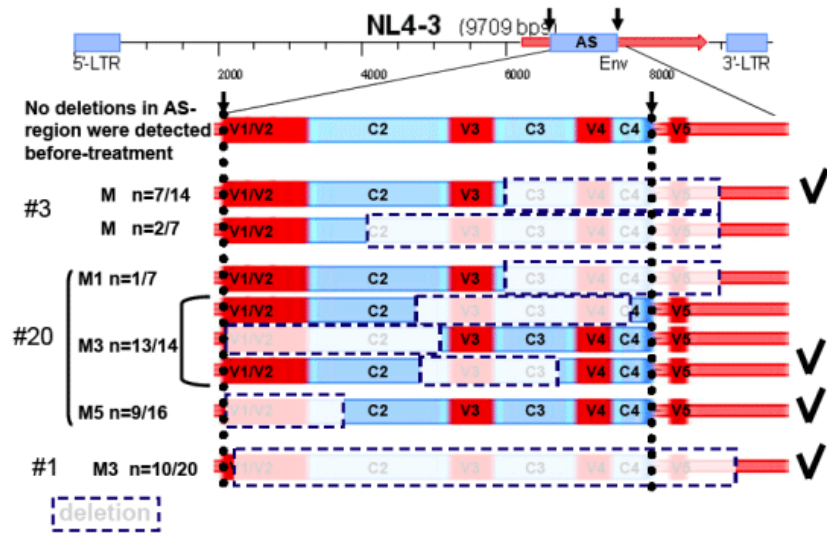
Animal Manipulation

- Vector administration (e.g., use of sharps during injection)

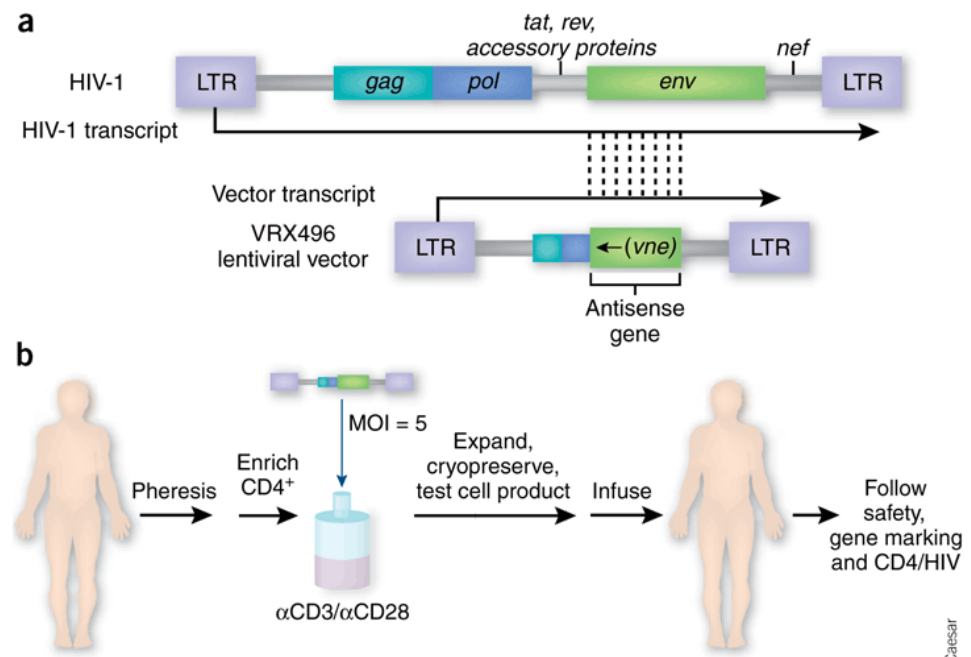
- Housing and husbandry (no use of sharps)



# VRX496 Anti-sense Mechanism Deletes Env



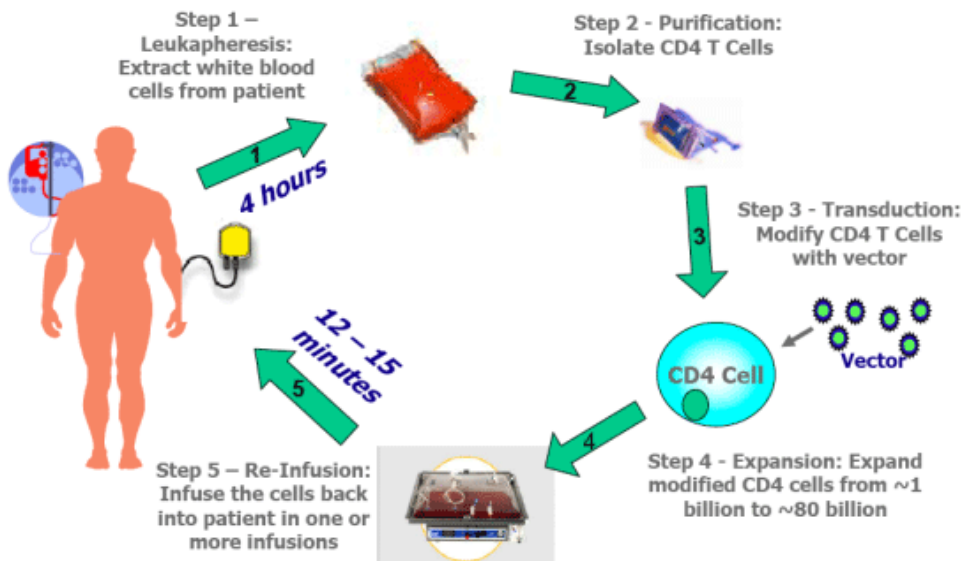
The first clinical trial of a lentiviral vector highlights the promise of this new class of gene-therapy vector



## Lexgenleucel-T (VRX496):

# Autologous CD4+ Cells Transduced with a Lentiviral Vector Encoding a 937 Base Antisense Sequence Targeting HIV Envelope

## VRX496 Anti-HIV T Cell Transplantation



## Conclusions from Clinical Trials

- No safety issues
- Reduced viral in treatment failures
- Reduced viral infectivity
- Sustained increases in CD4 counts in 10B bolus
- Additional clinical trials being developed

## VRX496 Clinical Studies – Summary

Clinical Trial	Infusion Schedule	Cell Dose	Status
<u>Phase I</u> Failed $\geq 2$ HAART CD4 $\geq 150$ ; VL $\geq 5000$	Single dose	~10 billion	Completed**
<u>Phase II</u> Failed $\geq 1$ HAART CD4 $\geq 150$ VL $\geq 5000$	Repeat 4 or 8 doses	10 billion per dose	Completed
	Single dose	10 billion 20 billion 30 billion	Ongoing
<u>Phase I/II</u> Virologically Controlled CD4 $\geq 350$ ; VL $\leq 50$	Repeated 6 doses	10 billion per dose	Ongoing

• U.S. multi-center study: University of Pennsylvania, Stanford University, University of Kentucky, Jacobi Medical Center, Mercy Medical Center, Circle Medical Center

\*\* PNAS 103:17372-17377. 2006